

Delta and Omega Baryons

Old Friends and New Physics

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Various faces of QCD

model-independent methods to explore QCD (and in general QFT):

- perturbative QCD
 - works at high energies where strong interaction is weak
- lattice QCD
 - works best around Λ_{QCD} , m_s (hadronic scale ≈ 1 GeV)
 - light pion sees itself around the torus *if* volume is too small
 - but advantage: quark masses can be varied
- (chiral) effective field theory \rightsquigarrow works at low energies
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- experiment! \rightsquigarrow but quark masses fixed

Unitarity and analyticity

- constraints from **local quantum** field theory:
partial-wave amplitudes for reactions/decays must be
 - **unitary**:

$$S S^\dagger = 1, \quad S = 1 + iT \quad \Rightarrow \quad 2 \operatorname{Im} T = T T^\dagger$$

↪ note that this is a matrix equation:

$$\operatorname{Im} T_{A \rightarrow B} = \sum_X T_{A \rightarrow X} T_{X \rightarrow B}^\dagger$$

- **analytic** (**dispersion relations**):

$$T(s) = \frac{1}{\pi} \int_{-\infty}^{\infty} ds' \frac{\operatorname{Im} T(s')}{s' - s - i\epsilon}$$

- ↪ can be used to calculate whole amplitude from imaginary part
 - practical limitation: too many states X at high energies
- ↪ in practice dispersion theory is a low-energy method ($\lesssim 1 \text{ GeV}$)

Old friends: baryon decuplet

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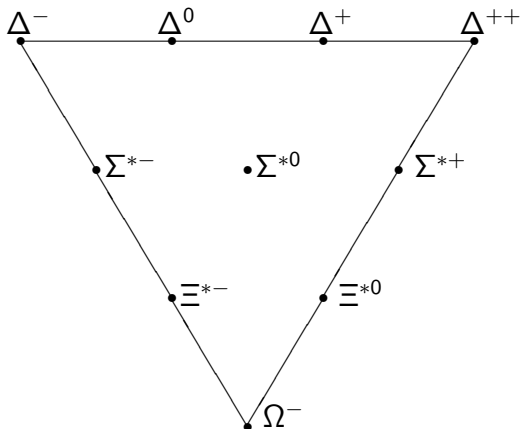
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- ↪ one multiplet is the baryon decuplet \rightsquigarrow next page

$$3 \times 3 \times 3 = 10_S \oplus 8_M \oplus 8_M \oplus 1_A$$

Flavor decuplet



- Gell-Mann predicted existence and mass of Ω^{-} baryon
- ↪ Nobel Prize 1969

Old friends: baryon decuplet

some more facts and history related to the baryon decuplet



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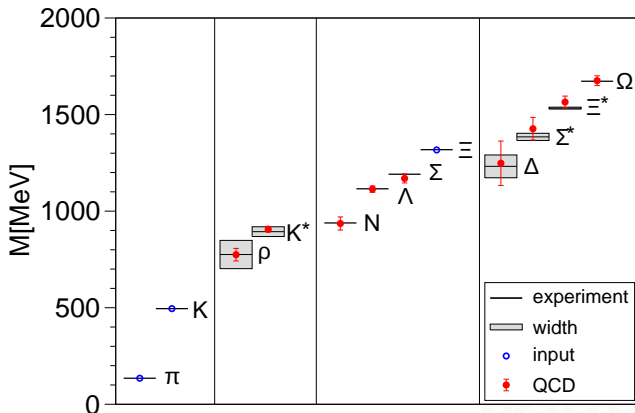


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- to save Pauli principle:
 - color was introduced
 - ↪ finally we got QCD
- Ω^- is only known long-living state with $J \geq \frac{3}{2}$
 - lowest-lying $S = -3$ state cannot decay strongly (kaon-cascade system is heavier)
 - lowest-lying $J = 3/2$ state cannot decay electromagnetically (Pauli principle forbids lower-lying $J = \frac{1}{2}$ state)
- ↪ all Ω decays are interesting!

Lattice QCD agrees with phenomenology



S. Dürr et al., Ab-Initio Determination of Light Hadron Masses, Science 322, 1224 (2008)

New physics: exploring neutrinos

- explore neutrino oscillations, CP violation in lepton sector, ...
- want to count how many neutrinos one has (of specific type and at specific distance)



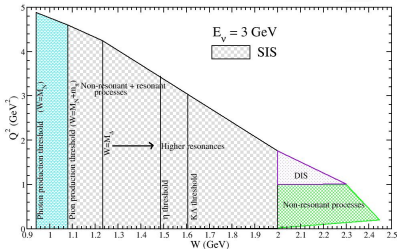
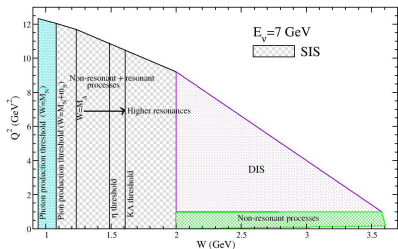
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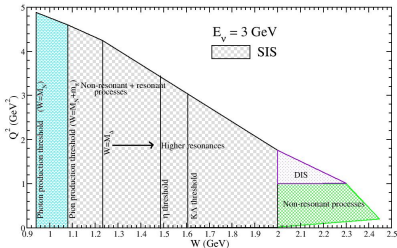
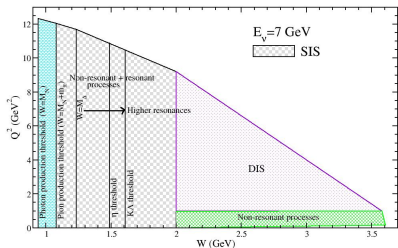
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($\sqrt{Q^2}$: energy transfer to hadronic system; W : mass of produced hadronic system)

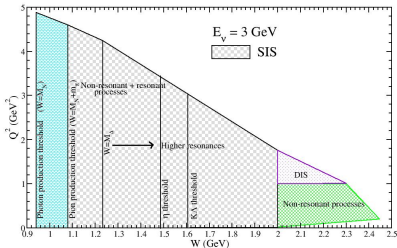
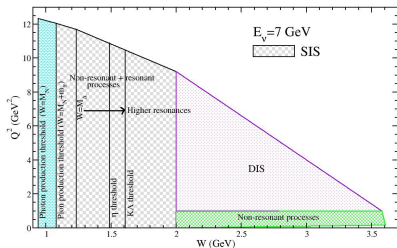
L. Alvarez Ruso et al., Contribution to Snowmass 2021, arXiv: 2203.09030 [hep-ph]

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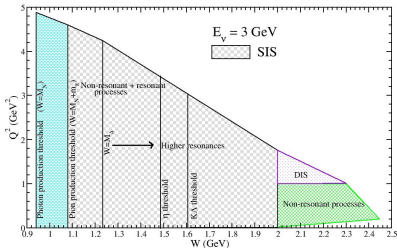
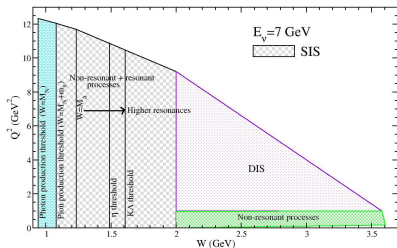
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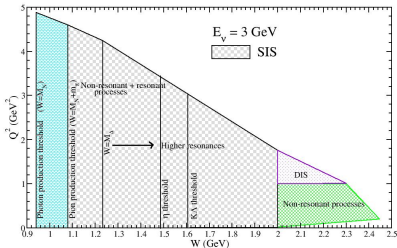
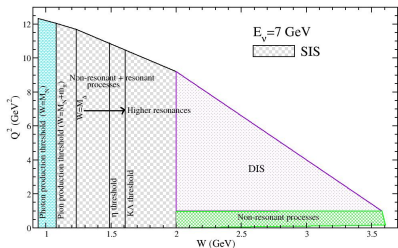
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- how neutrinos interact with matter
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 - ↪ nuclear-structure physics
 - at low energies: neutrinos see “nucleons”
 - ↪ vector and axial-vector form factors of nucleon and pion production! $\rightsquigarrow \Delta(1232)$

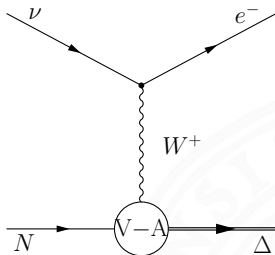
true for all energies: need to get stuff out from the nucleus

↪ transport theory, e.g. U. Mosel, J. Phys. G 46 (2019) 11, 113001

Why is Δ interesting?

Axial-vector (and vector) transition form factors

- interesting for scattering neutrino-nucleon to electron- Δ or muon- Δ
- subsequently: $\Delta \rightarrow \pi N$
- low energies: want to know deviation from current-algebra result



NuSTEC Collaboration, L. Alvarez-Ruso et al., Prog. Part. Nucl. Phys. 100 (2018) 1;
 M. Hilt, T. Bauer, S. Scherer, L. Tiator, Phys. Rev. C 97 (2018) 3, 035205;
 M. Holmberg, SL, Phys. Rev. D 100 (2019) 11, 114001;
 Y. Ünal, A. Küçükarslan, S. Scherer, Phys. Rev. D 104 (2021) 9, 094014;
 S.K. Singh, M.J. Vicente Vacas, Phys. Rev. D 74 (2006) 053009

How about lattice QCD?

- currently under investigation using lattice QCD:
 - form factors of [stable baryons](#)
 - and their quark-mass dependence
- interpretation of results by chiral effective field theory
 - e.g. M.F.M. Lutz, U. Sauerwein, R.G.E. Timmermans, Eur. Phys. J. C 80 (2020) 9, 844; Phys. Rev. D 105 (2022) 5, 054005
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 - much more complicated because Δ is **unstable**
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 - but for transition form factors $\Delta-N$:
 - much more complicated because Δ is **unstable**
 - ↪ essentially four-point function instead of three-point function
 - to circumvent problem: study **stable** flavor partners
- ↪ $\Omega-\Xi$ transition form factors

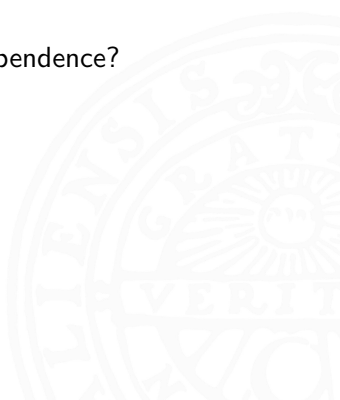
My suggestion for a research program

- 1 study Ω transition form factors in lattice QCD and experiment (and quark-mass dependence on lattice)
- 2 interpret results using (dispersively modified) chiral effective field theory ($\text{dim}\chi\text{EFT}$)
- 3 extrapolate to Δ transition form factors using ($\text{dim}\chi\text{EFT}$ and experimental data (**hyperons**))
- 4 obtain improved input for neutrino scattering (and obtain better understanding of structure of hadrons)

UU contribution: develop $\text{dim}\chi\text{EFT}$

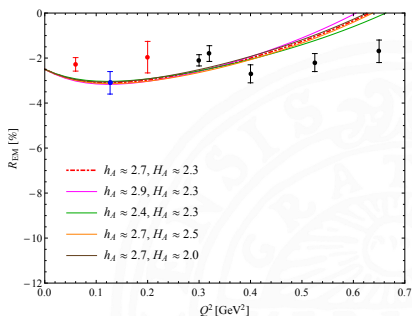
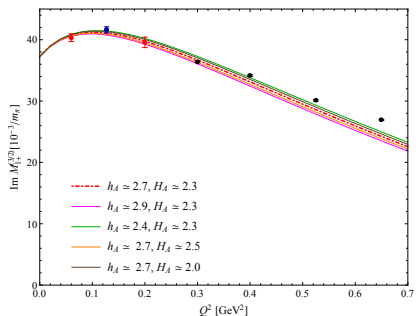
Does all this make sense?

- comparison to experiment:
 - **vector** transition Δ - N is experimentally much better known than **axial-vector**
 - ↪ How well does $\text{dim}\chi\text{EFT}$ work there?
- comparison to lattice QCD:
 - Can $\text{dim}\chi\text{EFT}$ describe quark-mass dependence?



Does all this make sense?

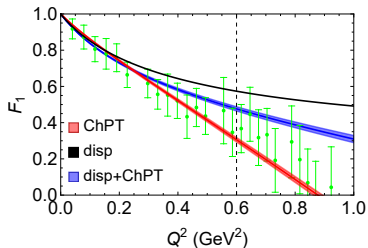
transition form factors from nucleon to Δ
 using subtracted dispersion relations
 (data from JLab, Mami, ...)



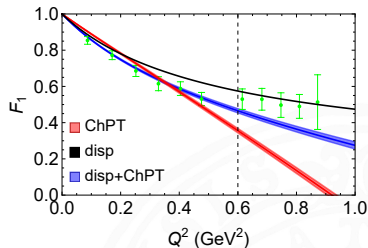
M. M. Aung, SL, E. Perotti, Y. Yan, arXiv: 2401.17756 [hep-ph]

Does all this make sense?

quark-mass and momentum dependence of nucleon Dirac form factor



$$M_\pi = 0.130 \text{ GeV}$$



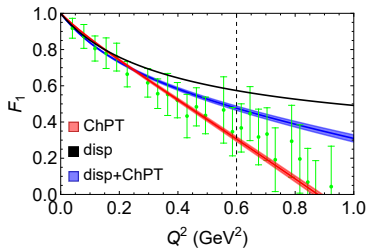
$$M_\pi = 0.223 \text{ GeV}$$

F. Alvarado, D. An, L. Alvarez-Ruso, SL, Phys. Rev. D 108 (2023) 11, 114021

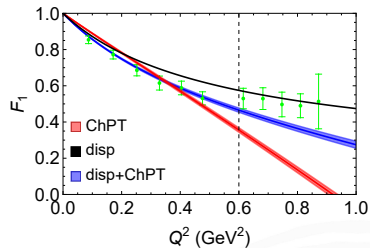
lattice data from Darmstadt-Edinburgh-Mainz group:

D. Djukanovic et al., Phys. Rev. D 103 (2021) 9, 094522

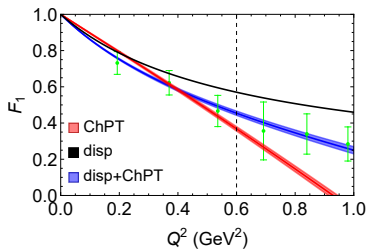
Dirac vector isovector form factor of nucleon



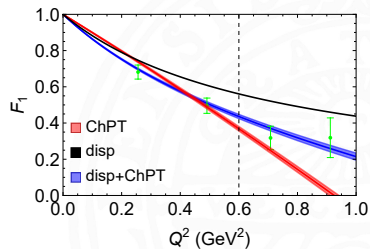
$M_\pi = 0.130$ GeV



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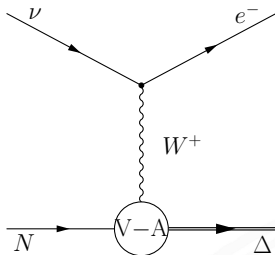
$M_\pi = 0.278$ GeV



$M_\pi = 0.353$ GeV

Neutrino-baryon interactions

- interesting for scattering neutrino-nucleon to electron-Delta
 - low energies: want to know deviation from current-algebra result \rightsquigarrow LEC c_E
 - in chiral perturbation theory at next-to-leading order (NLO): only one LEC c_E for whole multiplet
- \rightarrow study $\Omega^- \rightarrow \Xi^0 e^- \bar{\nu}_e$ instead of $N \nu_e \rightarrow \Delta e^-$

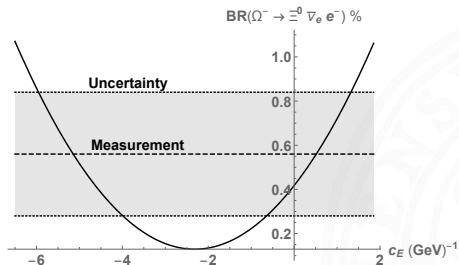


lingo of low-energy effective field theory:

- LO: leading-order calculation
- NLO: next to leading order
- LEC: low-energy constant, i.e. coupling constant

Branching ratio $\Omega^- \rightarrow \Xi^0 e^- \bar{\nu}_e$ (measured)

- so far only next-to-leading-order (NLO) calculation finished
- contribution from LO Lagrangian ($\sim h_A$) related to $\Sigma^* \rightarrow \Sigma \pi$
- contributions from NLO Lagrangian $\sim c_M, c_E$
- ↪ $|c_M|$ related to $\Sigma^{*0} \rightarrow \Lambda \gamma$
- ↪ get constraints on c_E from measured branching ratio:

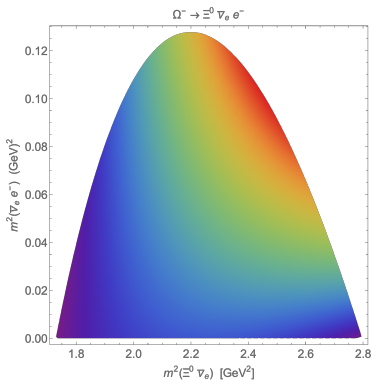


M. Holmberg, SL, Eur. Phys. J. A 54 (2018) 6, 103; Phys. Rev. D 100 (2019) 11, 114001
 C.J.G. Mommers, SL, Phys. Rev. D 106 (2022) 9, 093001

first steps beyond tree level: H. De Munck; M. Bertilsson, master theses UU 2023

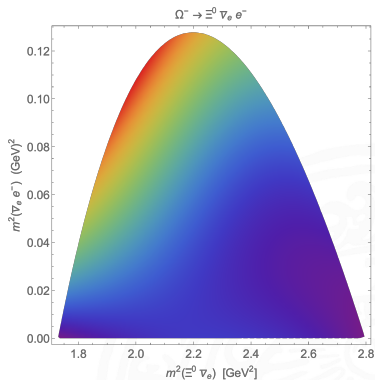
Dalitz plot $\Omega^- \rightarrow \Xi^0 e^- \bar{\nu}_e$ (not measured yet)

- different values for c_E and sign of c_M influence Dalitz plot:



$$c_E = 0.52 \text{ GeV}^{-1},$$

$$c_M = -1.92 \text{ GeV}^{-1}$$



$$c_E = -5.1 \text{ GeV}^{-1},$$

$$c_M = -1.92 \text{ GeV}^{-1}$$

- sign change of c_M flips plots right \leftrightarrow left

Back to QCD: Why are Δ s interesting?

Low-energy QCD (aka chiral effective field theory)



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 - description of properties of nuclear matter, neutron stars, ...
 - ...

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↪ not enough energy to excite Δ

↪ need only pions and nucleons to construct low-energy QCD

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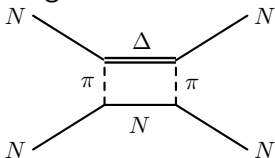
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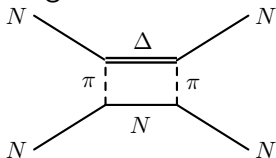
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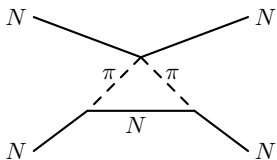
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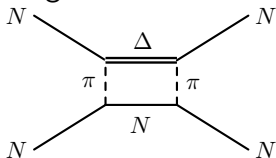


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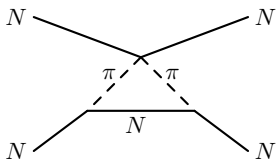
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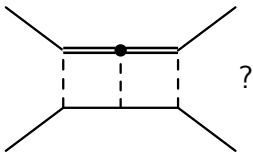


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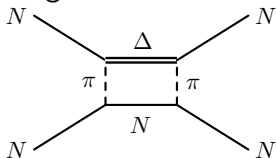
- how important is



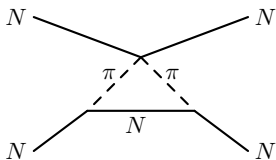
Role of Δ in low-energy QCD?

consider nucleon-nucleon scattering:

- is it necessary to consider

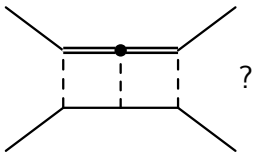


or is



sufficient?

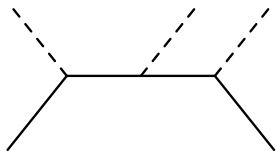
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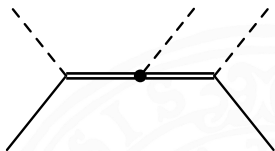
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What is unknown for Δ baryons?

- coupling constant Δ - Δ - π • completely unknown; not even sign is clear
- further example for its impact: is interference of



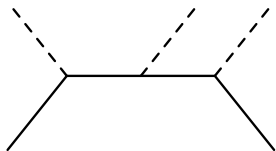
and



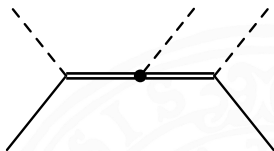
constructive or destructive?

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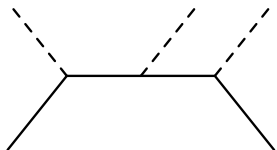


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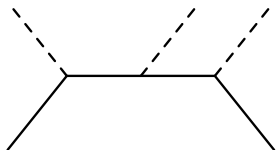


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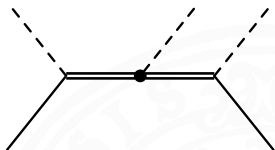
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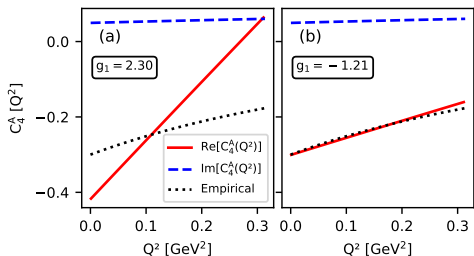


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- ↪ e.g. Ω - Ξ^* - K coupling

Δ couplings and Δ - N transition form factors

- Δ loops enter calculation of Δ - N transition form factors
- but coupling constant Δ - Δ - π (g_1) unknown in size and sign
(actually there are two couplings, p and f wave \rightsquigarrow here: p -wave coupling)



one of the axial-vector transition form factors; g_1 enters indirectly at loop level

Y. Ünal, A. Küçükarslan, S. Scherer, Phys. Rev. D 104 (2021) 9, 094014

- quark model and large- N_c QCD suggest **positive** g_1 (figure: left-hand side)
- recent analysis of π - N scattering suggests **negative** g_1
De-Liang Yao *et al.*, JHEP 05 (2016) 038

Decay $\Omega^- \rightarrow \Xi^{*0} \ell^- \bar{\nu}_\ell$ (not measured yet)

- provides access to **sign** and **size** of coupling constant $\Omega\text{-}\Xi^*(1530)\text{-}K$ via Golberger-Treiman relation
- flavor related to **sign** and **size** of coupling constant $\Delta\text{-}\Delta\text{-}\pi$ ($H_A = g_1$)
- so far only leading-order calculation for **branching ratio** and **forward-backward** (fb) asymmetry (Wu-type experiment)
(rest frame of dilepton, measuring angle between baryons and charged lepton)

	$\Gamma_{\Omega \rightarrow \Xi^* \ell \bar{\nu}_\ell} / \Gamma_{\Omega, \text{tot}}$	$\Gamma_{\text{fb}} / \Gamma_{\Omega \rightarrow \Xi^* \ell \nu}$
$\ell = e, H_A = +2$	$1.2 \cdot 10^{-4}$	+0.011
$\ell = e, H_A = 0$	$6.7 \cdot 10^{-5}$	-0.00043
$\ell = e, H_A = -2$	$1.2 \cdot 10^{-4}$	-0.012
$\ell = \mu, H_A = +2$	$4.3 \cdot 10^{-6}$	-0.23
$\ell = \mu, H_A = 0$	$2.5 \cdot 10^{-6}$	-0.33
$\ell = \mu, H_A = -2$	$4.3 \cdot 10^{-6}$	-0.25

- note: $\Xi^{*0}(1530)$ “easy” to reconstruct via sequence
 $\Xi^{*0} \rightarrow \Xi^- \pi^+, \Xi^- \rightarrow \Lambda \pi^-, \Lambda \rightarrow p \pi^-$

Some fun with group theory in hot QCD

$$SU(2)_L \times SU(2)_R \rightarrow SU(2)_V \text{ (isospin symmetry)}$$

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 - in vacuum, coupling is effectively generated by Δ - N - π - $\langle \sigma \rangle$

$$(2,2) \times (2,2) \times (2,1) = (4,3) \oplus (4,1) \oplus 2 * (2,3) \oplus 2 * (2,1)$$

Summary and outlook

- Delta (transition) form factors are interesting for neutrino physics and low-energy QCD



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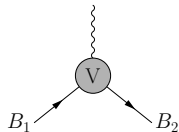
DOLCE

Spare slides



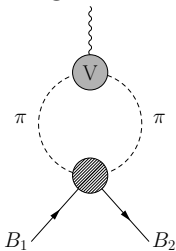
dim χ EFT: electromagnetic baryon form factors

- how to obtain a form factor?



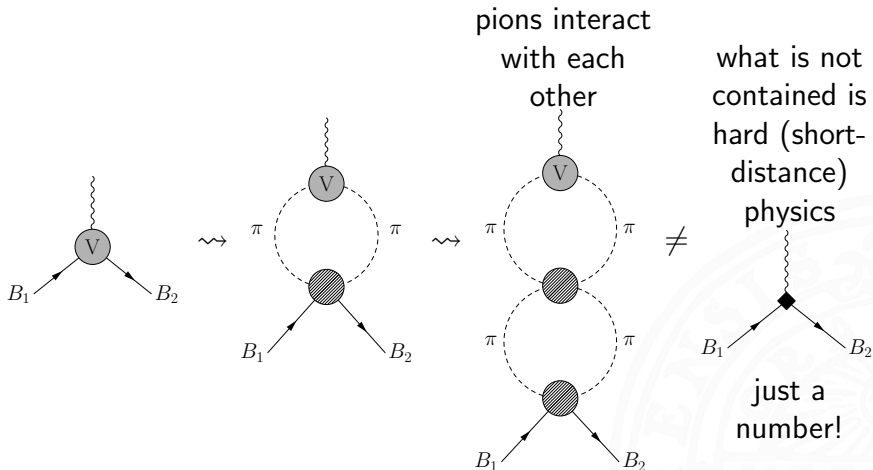
- need to resolve at least the finite size $\lesssim 1$ fm
- but inverse size of a hadron is larger than pion mass
- first one probes something universal (independent of $B_{1,2}$):

the “pion cloud”:



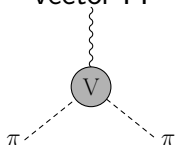
- now we are in the game with dispersion theory

Deconstruct a form factor



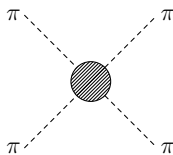
How to get the pion vector form factor?

apply same
logic to pion
vector FF

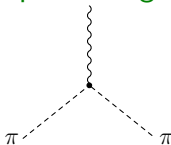


input:

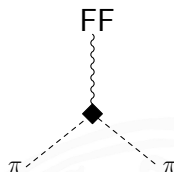
pion
scattering



pion charge



hard part of
pion vector
FF

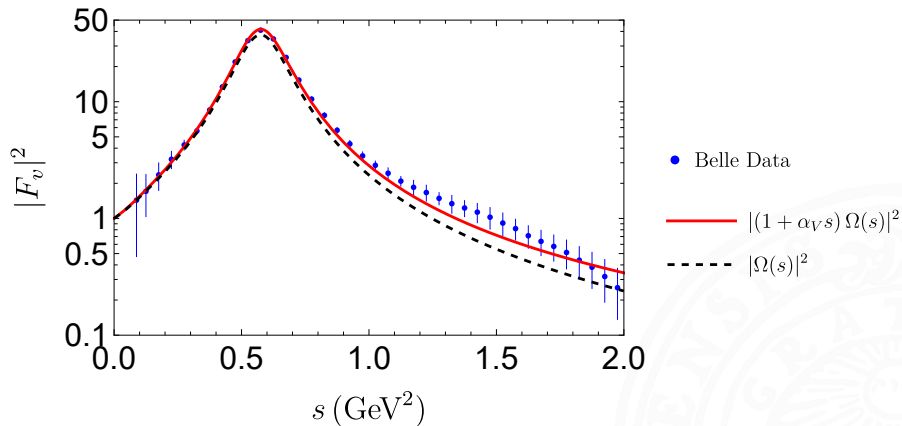


$$F_V(s) = (1 + \alpha_V s) \exp \left\{ s \int_{4m_\pi^2}^{\infty} \frac{ds'}{\pi} \frac{\delta(s')}{s'(s' - s - i\epsilon)} \right\}$$

with pion phase shift δ

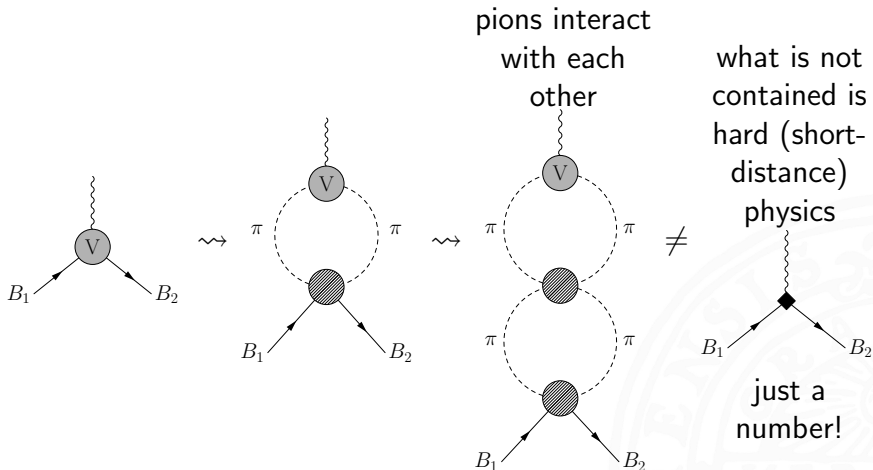
and $\alpha_V \approx 0.12 \text{ GeV}^{-2}$ (from fit to FF data)

Pion vector form factor and data



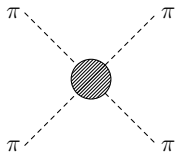
Alvarado/An/Alvarez-Ruso/SL, Phys. Rev. D 108 (2023) 11, 114021

Deconstruct a form factor

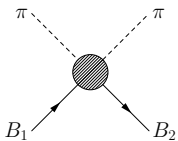


Scattering processes

from data
plus
dispersion
theory:

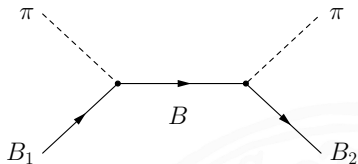


part that is
not pion
rescattering:

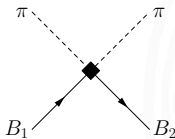


\rightsquigarrow

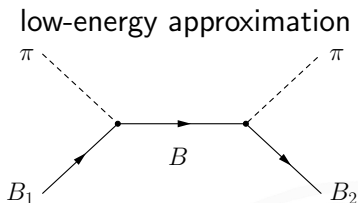
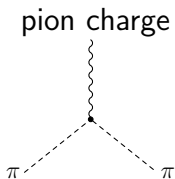
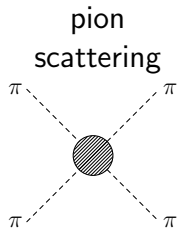
low-energy approximation:



what is not covered is hard physics
(contact terms)



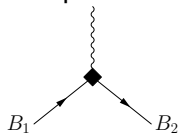
Known input



- baryon-pion coupling constants from decay widths
- ↪ sometimes only moduli known

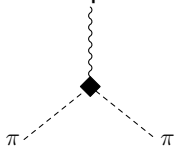
Unknown: some numbers

part without
two
intermediate
pions



↪ fit to data
(now)

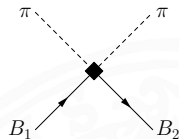
intermediate
state with
more than
two pions



or

calculate with quark-gluon based methods
(future)

intermediate
state that is
not one
baryon



Why all decays of Ω^- are interesting

- $\Omega^- \rightarrow \Xi^0 e^- \bar{\nu}_e$ (Br = $6 \cdot 10^{-3}$; experiment)
flavor related to neutrino-nucleon scattering



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- $\Omega^- \rightarrow \Xi^- \pi^+ \pi^-$ (Br = $4 \cdot 10^{-4}$)
 - exp: seems not to show $\Xi^*(1530)$ as intermediate state
 - ↪ flaw in resonance saturation?
 - ↪ EFT construction:
What are the relevant degrees of freedom in Ω decays?

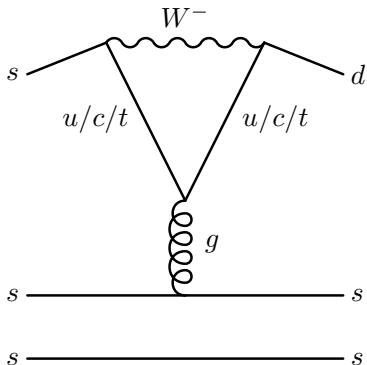
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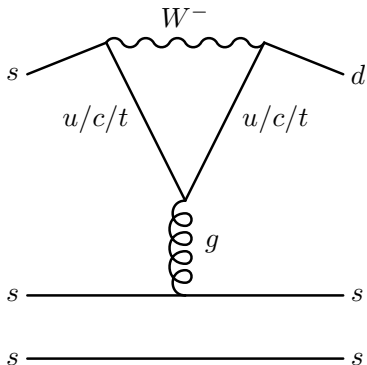


\rightsquigarrow

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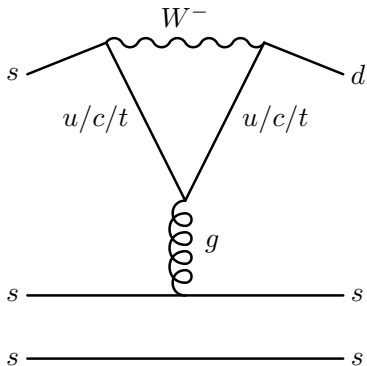
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- ↪ $\Delta I = \frac{1}{2}$ rule

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- $\Omega^- \rightarrow (\Xi^{*-} \rightarrow) \Xi^- \pi^0$:

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Some details about main decays of Ω^-

- $\text{Br}(\Omega^- \rightarrow \Lambda K^-) = (67.8 \pm 0.7)\%$
- $\text{Br}(\Omega^- \rightarrow \Xi^0 \pi^-) = (23.6 \pm 0.7)\%$
- $\text{Br}(\Omega^- \rightarrow \Xi^- \pi^0) = (8.6 \pm 0.4)\%$
- measured at SPS (≈ 1984), recently confirmed by BES III

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- if $\text{Br}(\Omega^- \rightarrow \Lambda K^-) \approx 68\%$ and if $\Delta I = 1/2$ rule holds:

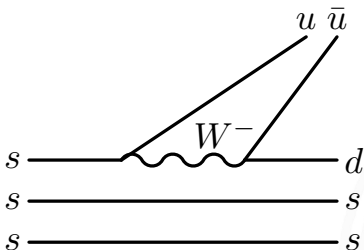
$$\text{Br}(\Omega^- \rightarrow \Xi^0 \pi^-) \approx 21.3\%, \quad \text{Br}(\Omega^- \rightarrow \Xi^- \pi^0) \approx 10.7\%$$

- ↪ deviation by about 3σ and 5σ , respectively
- ↪ worth to check $\Delta I = 1/2$ rule, e.g. in $\Omega \rightarrow \Xi \pi \pi$

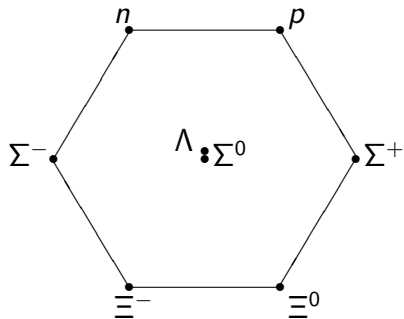
C.J.G. Mommers, SL, Phys. Rev. D 106 (2022) 9, 093001

Not everything is a penguin

example for a non-penguin diagram (contribution to $\Omega^- \rightarrow \Xi^- \pi^0$)

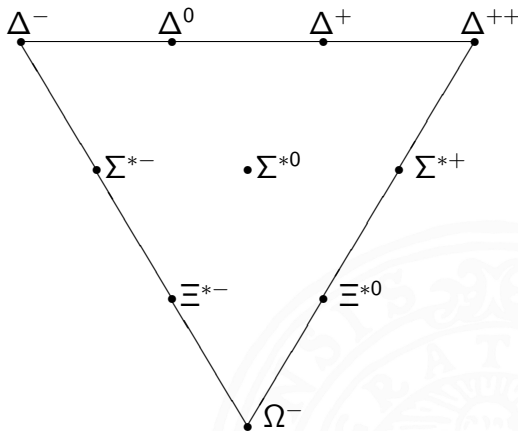


Reminder about multiplets



baryon octet

- antisym. in color
- **antisym.** in flavor, spin



baryon decuplet

- antisym. in color
- **sym.** in flavor, spin

Large- N_c QCD

for a large number of colors, $N_c \rightarrow \infty$,



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- baryons consist of N_c quarks



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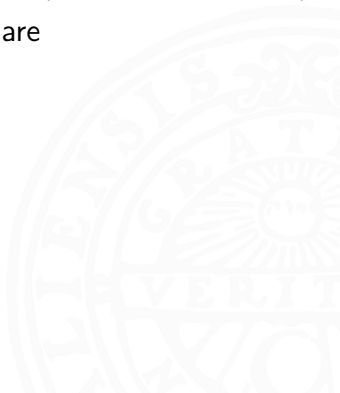
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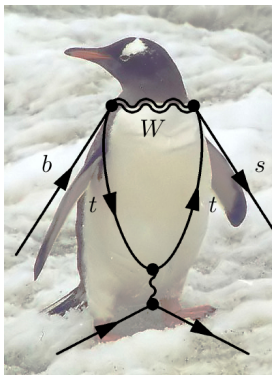
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- ↪ nucleon ($I = J = \frac{1}{2}$) and Δ ($I = J = \frac{3}{2}$) are (approximately) equal in mass
- ↪ can be useful to treat them on equal footing for low-energy QCD
- ↪ extension to three flavors (baryon octet and decuplet) also useful

(expansion in $1/N_c$ could be as meaningful/meaningless as expansion in electric charge $e \approx 0.3$)

A penguin and its diagram



by Quilbert - own work derived from a LaTeX source code given in

<http://cnlart.web.cern.ch/cnlart/221/node63.html> (archived) (slightly modified) and

Image:Pygoscelis papua.jpg by User:Stan Shebs, CC BY-SA 2.5,

<https://commons.wikimedia.org/w/index.php?curid=2795824>