

*Open charm production cross
section from combined LHC
experiments*

Jacek Otwinowski (IFJ PAN)

Various faces of QCD,
Wrocław, 26-28.04.2024

OUTLINE

- ❑ Heavy-flavour measurements
- ❑ Open charm hadrons at LHC
- ❑ Charm cross section
- ❑ Summary and Outlook

Focus on charm production in pp collisions!

Heavy flavor measurements

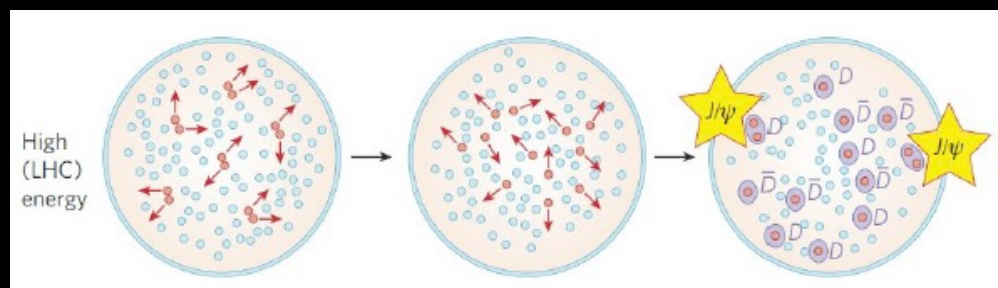
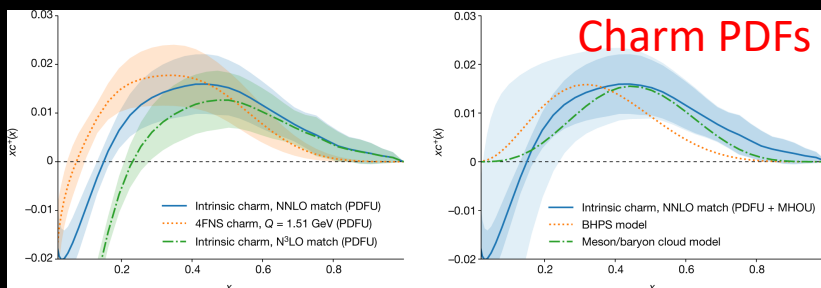
- Ideal probes of initial- and final-state effects on particle production in pp, p-A and A-A
 - Parton Distribution Functions
 - Parton energy loss
 - Hadronization mechanisms (fragmentation/coalescence/dissociation/(re)generation)
- Important for testing perturbative QCD

→ Intrinsic charm in the proton, NNPDF Coll. *Nature* **608**, 483–487 (2022)

→ Dead-cone measurement for charm quark, ALICE Coll. *Nature* **605**, 440–446 (2022)

→ Baryon vs meson hadronization mechanism, ALICE Coll. *Phys. Rev. Lett.* **127** (2021) 202301

Transport and thermodynamic properties of the Quark-Gluon Plasma



Open charm hadrons at LHC

Measurements in pp collisions

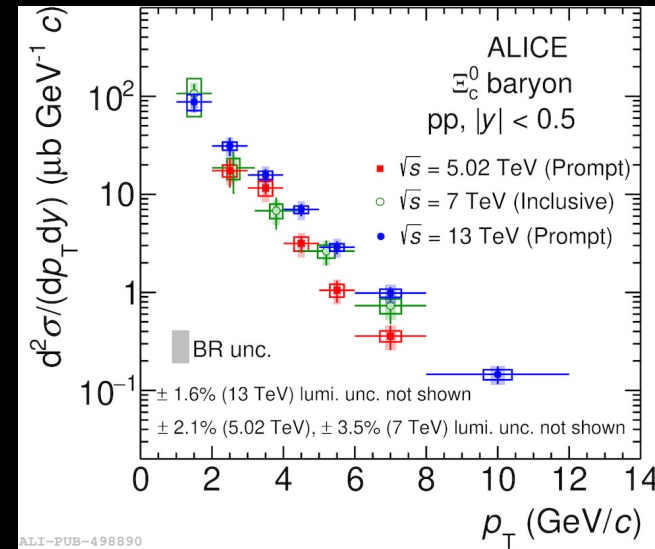
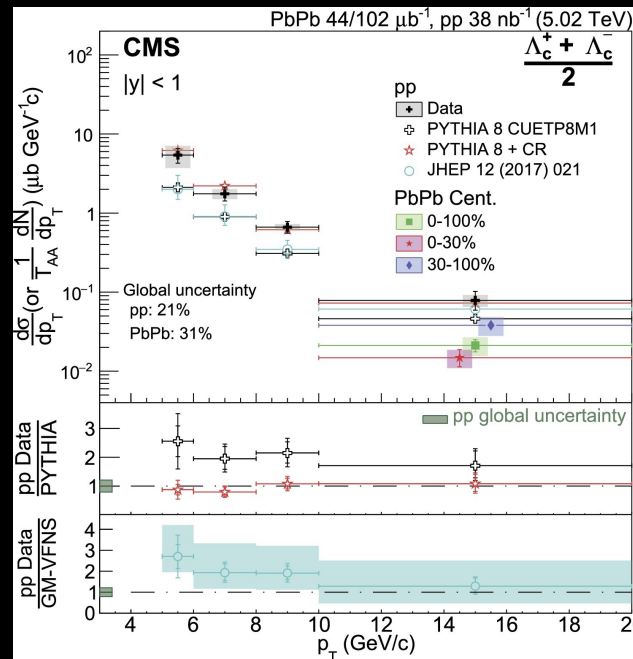
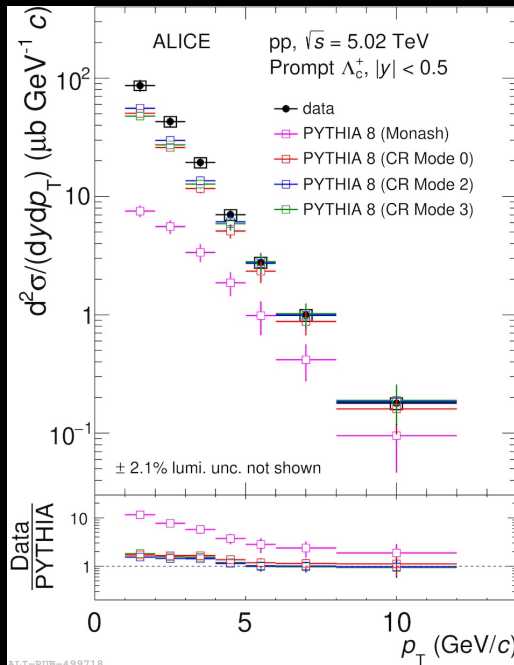
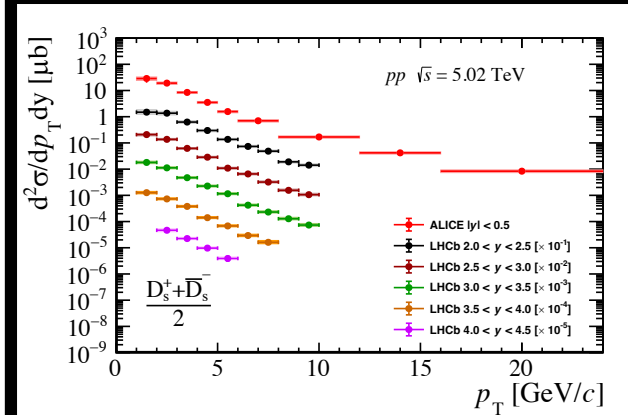
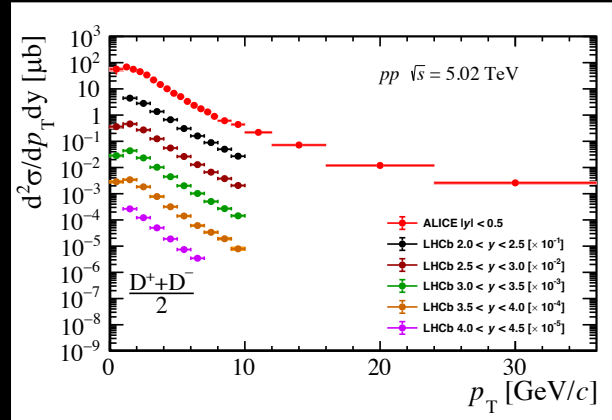
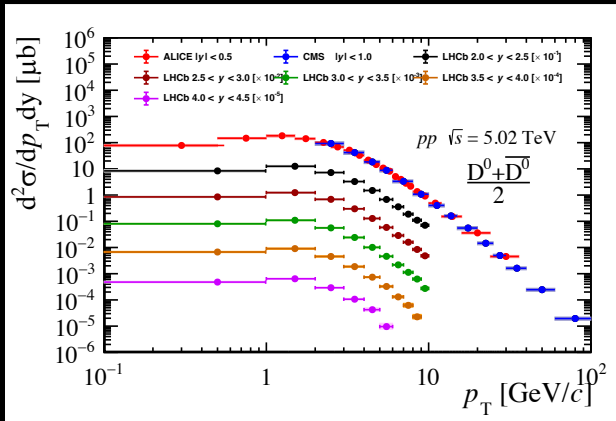
Experiment/ \sqrt{s} (TeV)	ALICE	ATLAS	CMS	LHCb
2.76	D^0, D^+, D^{*+}	-	-	-
5.02	$D^0, D^+, D^{*+}, D_S^+,$ Λ_C^+, Ξ_C^0	-	D^0, Λ_C^+	D^0, D^+, D^{*+}, D_S^+
7	$D^0, D^+, D^{*+}, D_S^+,$ Λ_C^+	D^+, D^{*+}, D_S^+	D^0, D^+, D^{*+}	$D^0, D^+, D^{*+}, D_S^+,$ Λ_C^+
13	$D^0, D^+, D^{*+}, D_S^+,$ $\Lambda_C^+, \Xi_C^{0,+}, \Sigma_C^{0,++},$ Ω_C^0	-	D^0, D^+, D^{*+}	D^0, D^+, D^{*+}, D_S^+

- Prompt open charm mesons and baryons (and their charge conjugates) are measured at central and forward rapidity and over wide p_T range

→ Total charm cross section determination using combined results from LHC experiments

Open charm hadrons in pp at 5 TeV

ALICE *Eur.Phys.J. C79 (2019) no.5, 388*, *JHEP 05 (2021) 220*, CMS *Phys. Lett. B782 (2018) 474-493* LHCb *JHEP06 (2017) 147*

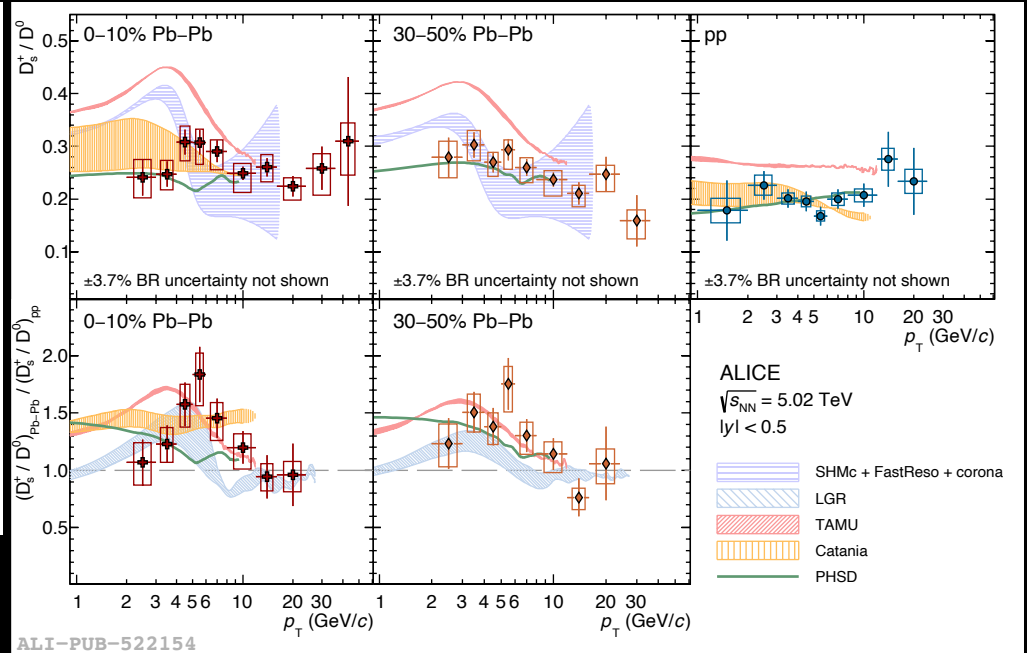
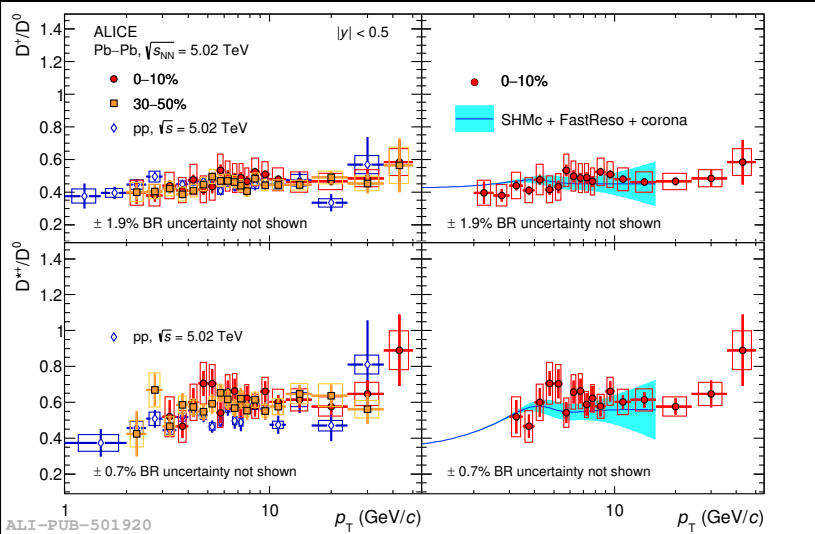


ALICE *Phys. Rev. C 104 (2021) 054905*
JHEP 10 (2021) 159
 CMS *Phys. Lett. B 803 (2020) 135328*

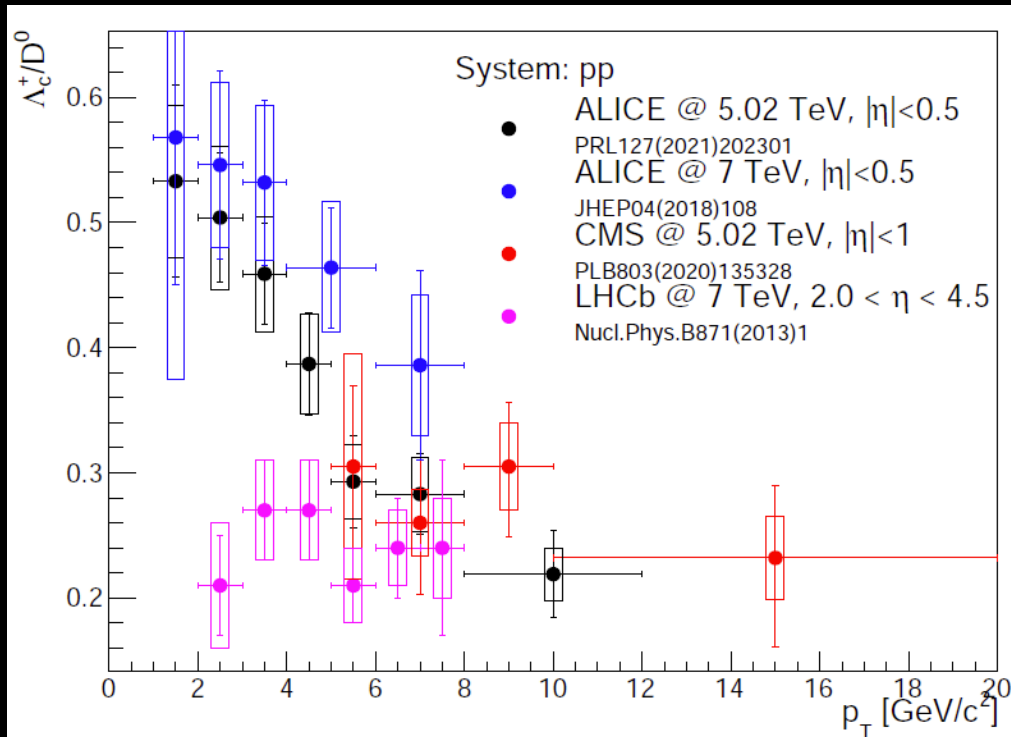
D meson ratios in pp and Pb-Pb

ALICE JHEP 2022, 174 (2022)

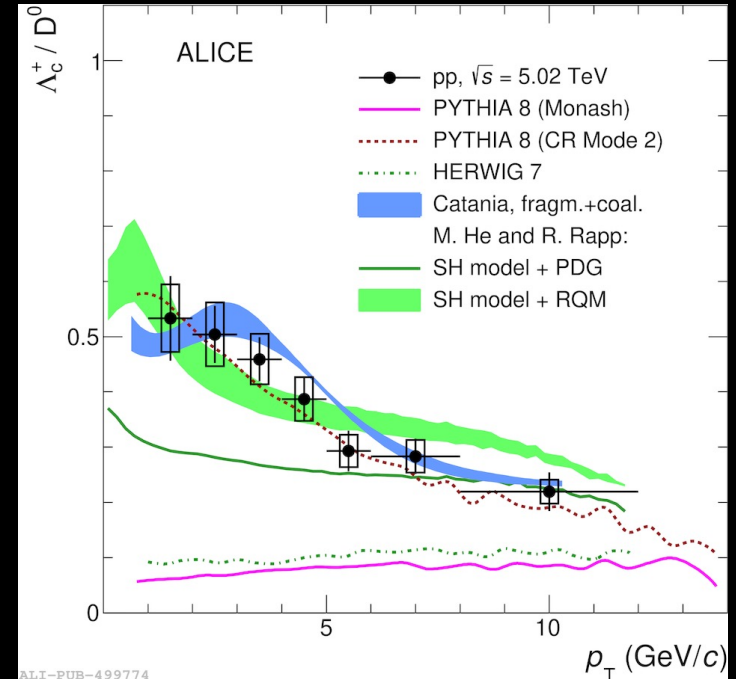
ALICE Phys. Lett. B 827 (2022) 136986



Λ_c vs D^0 hadronization mechanism



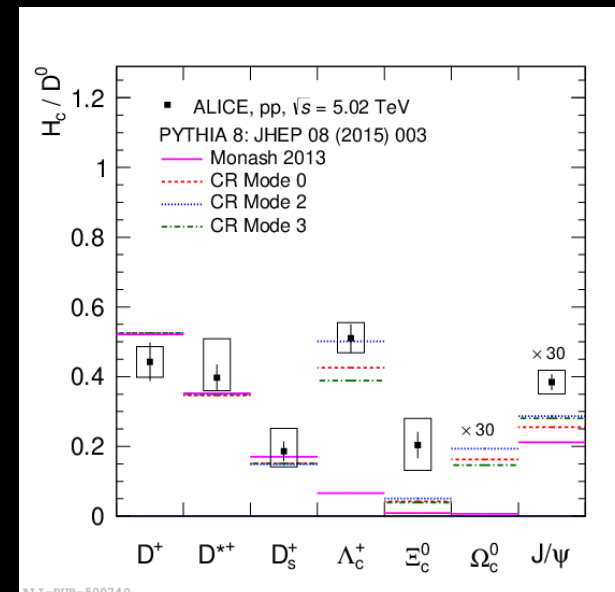
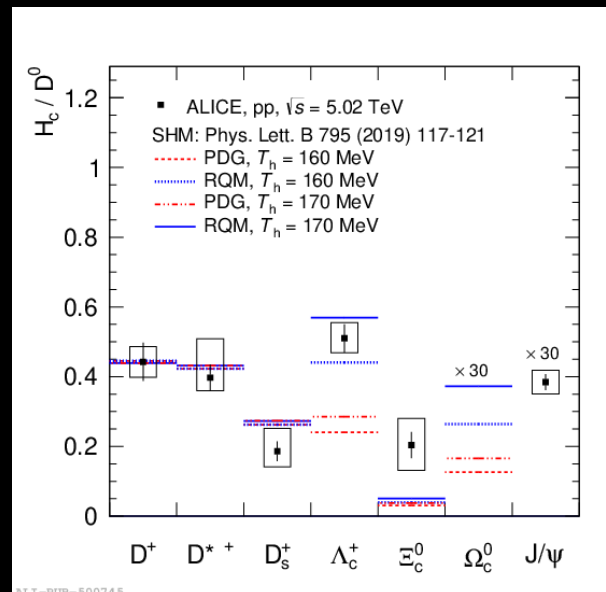
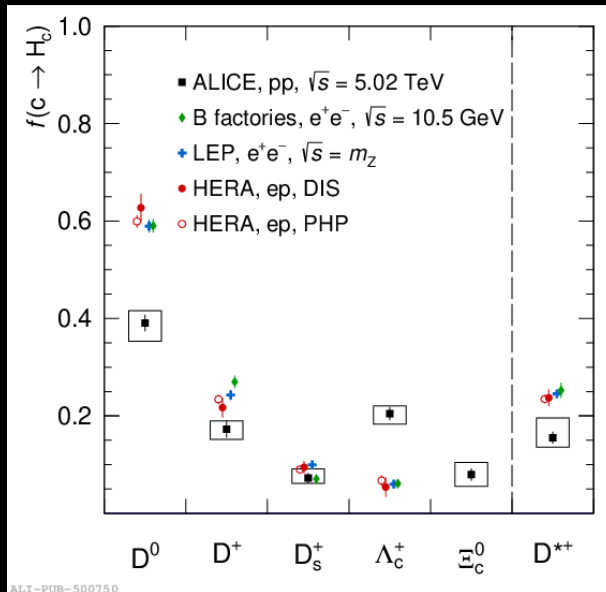
[ALICE, Phys. Rev. Lett. 127 \(2021\) 202301](#)



- Different Λ_c vs D^0 production mechanism at central and forward rapidity?
- Pythia 8 with CR consistent with data at central rapidity

Charm fragmentation

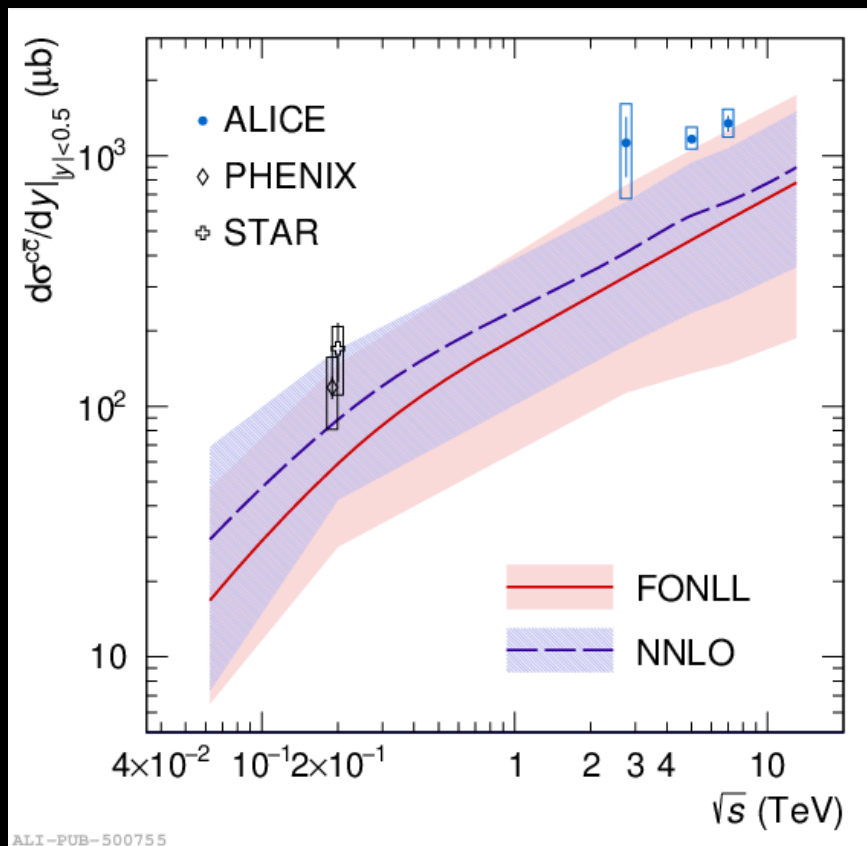
[ALICE Phys. Rev. D 105 \(2022\) L011103](#)



Charm fragmentation depends on collision system (**broken universality**)

Total charm cross section in pp at 5 TeV (ALICE data)

[ALICE, Phys. Rev. D 105 \(2022\) L011103](#)



- ▣ Total charm cross section at $|y| < 0.5$
 $\sigma_{cc} = \sigma(D^0) + \sigma(D^+) + \sigma(D^+_s) + \sigma(\Lambda_C^+) + 2 * \sigma(\Xi_C^0)$
- ▣ $\sigma(\Xi_C^+) \sim \sigma(\Xi_C^0)$ from 13 TeV ALICE measurement
- ▣ $\sigma(\Omega_C^0) \sim \sigma(\Xi_C^0)$ from Catania model (included in syst. uncert.)
- ▣ J/ψ contribution neglected
- ▣ Measurements at the upper edge of pQCD calculations

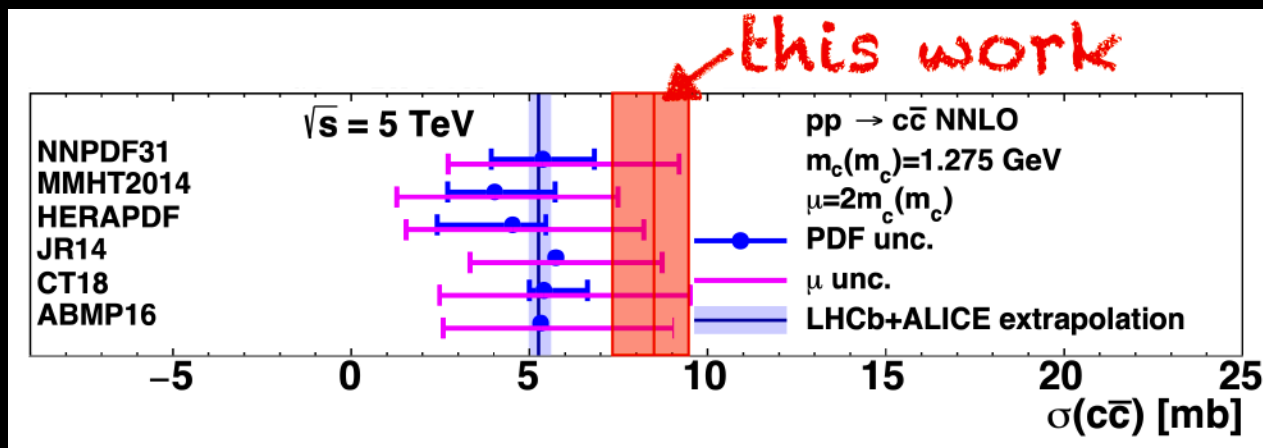
Total charm cross section in pp at 5 TeV (ALICE and LHCb data)

Y. Yang and A. Geiser [arXiv:2311.07523](https://arxiv.org/abs/2311.07523)

- Total charm cross section determination using D^0 mesons
- Extrapolation to the full phase space based on FONLL with non-universal charm fragmentation

$$\tilde{f}_{H_c}(p_T) \equiv \frac{d\sigma_{H_c}}{\Sigma_{wd}d\sigma_{H_c}} \equiv f_{H_c}^{uni} F(p_T)$$

$$d\sigma_{H_c}^{\text{FONLL with } \tilde{f}} = \tilde{f}_{H_c}(p_T) \cdot \left(d\sigma_{pp \rightarrow c\bar{c}}^{\text{FONLL}} \otimes D_{c \rightarrow H_c}^{\text{NP}} \right)$$



$$\sigma_{c\bar{c}} = 8.43_{-0.25}^{+0.25}(\text{data})_{-0.42}^{+0.40}(\tilde{f})_{-0.56}^{+0.67}(\text{PDF})_{-0.12}^{+0.13}(\mu_f, \mu_r, m_c, \alpha_K)_{-0.88}^{+0.65}(f^{PP})[\text{mb}]$$

Open charm cross section measurement from combined LHC experiments (Strong2020/HonexComb)

C. Bierlich (Pythia), J. Wilkinson (ALICE), J.Sun (LHCb), G. Manca (LHCb),
R. Granier de Cassagnac (CMS), and JO (ALICE) [arXiv:2311.11426](https://arxiv.org/abs/2311.11426)

Total charm cross section determination in pp at 5 TeV

Goal: Combine LHC results to determine total charm cross section, extrapolated to the full phase space ($|y| < 8$, $p_T < 36$ GeV/c)

Experiment	ALICE	CMS	LHCb
Luminosity (pb^{-1})	$(19.3 \pm 0.4) \times 10^{-3}$	27.4 ± 0.6	8.60 ± 0.33
Hadrons	$D^0, D^\pm, D^{*\pm}, D_s^\pm, \Lambda_c, \Xi_c^0$	D^0, Λ_c	$D^0, D^\pm, D^{*\pm}, D_s^\pm$
p_T range (GeV/c)	0–36	2–100	0–10
y range	$ y < 0.5$	$ y < 1.0$	$2.0 < y < 4.5$

- ❑ Different p_T range depending on particle species
- ❑ CMS data used only for estimation of systematic uncertainties
- ❑ Extrapolation to a full phase space requires a good parametrization

Extrapolation procedure with PYTHIA

A dedicated PYTHIA tune (CR2) used for the kinematic charm quark mass estimation and extrapolation

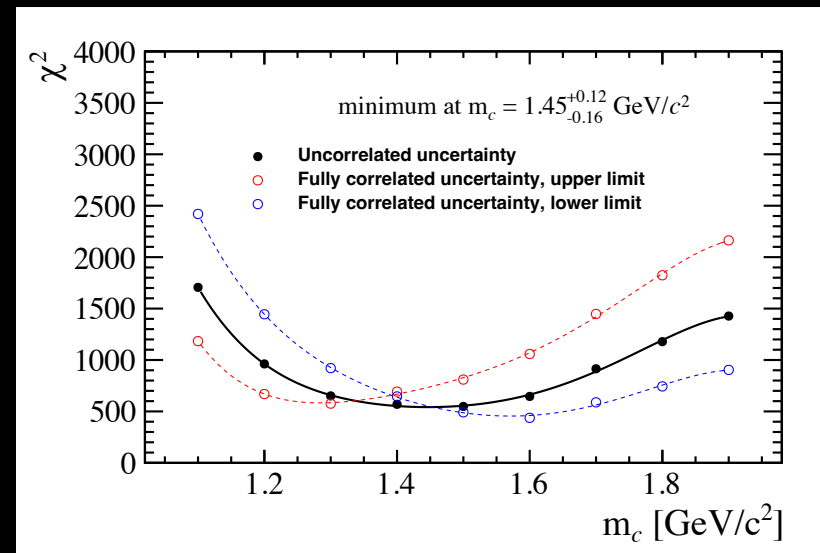
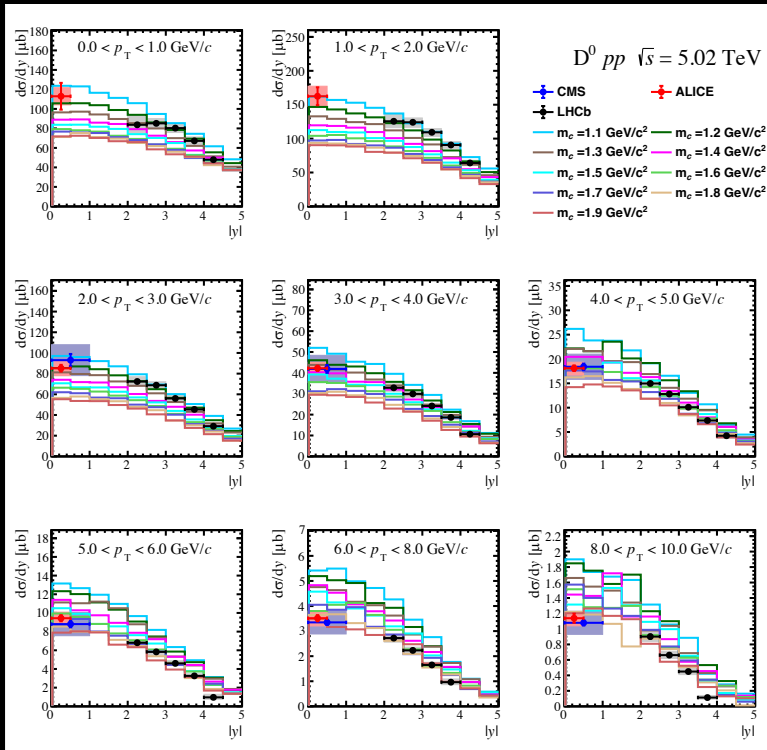
- ❑ Charm hadron production in PYTHIA down to low p_T
 - ❑ At LO ($qq \rightarrow cc$, $gg \rightarrow cc$)
 - ❑ Parton shower ($g \rightarrow cc$)
 - ❑ Multiparton interactions
 - ❑ Lund string model for fragmentation
 - ❑ Colour reconnection + rope model

Parameter name	Monash 2013 [43]	Used value [30,31]
ColourReconnection:mode	-	1
ColourReconnection:allowDoubleJunRem	-	off
BeamRemnants:remnantMode	0	1
Ropewalk:ropeHadronization	-	on
Ropewalk:doFlavour	-	on
Ropewalk:doShoving	-	on
PartonVertex:setVertex	-	on
StringFlav:probStoUD	0.217	0.2
StringFlav:probQQtoQ	0.081	0.078
StringZ:aLund	0.68	0.36
StringZ:bLund	0.98	0.56
StringFlav:mesonCvector	0.88	1.35
StringFlav:probQQ1toQQ0join	0.5,0.7	0.0275,0.0275
	0.9,1.0	0.0275,0.0275
MultipartonInteractions:pT0Ref	2.28	2.15
Ropewalk:beta	-	0.2
Ropewalk:deltat	-	0.05
Ropewalk:gAmplitude	-	0.0
Ropewalk:tShove	-	0.1

Kinematic charm quark mass estimation

Kinematic charm mass is the only free parameter to be fixed for the cross-section calculations in PYTHIA

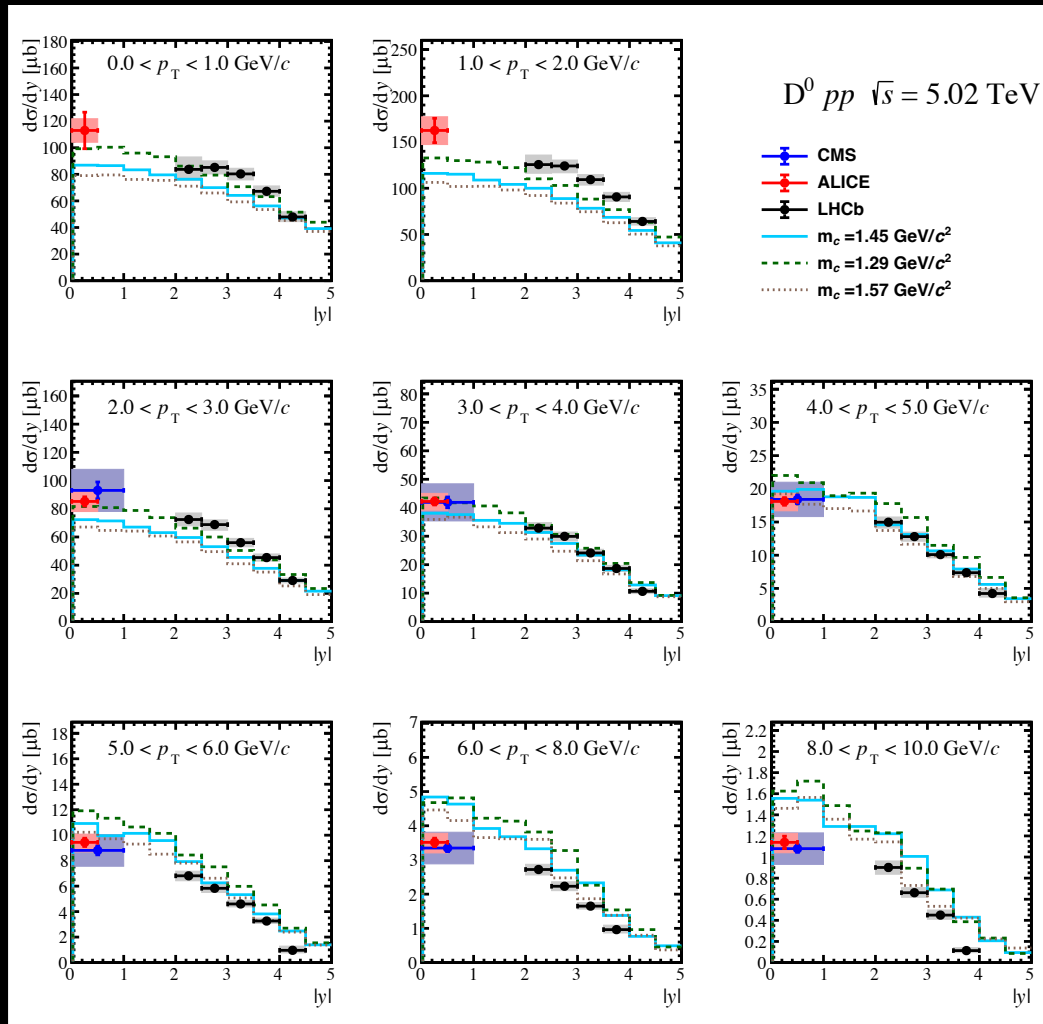
- ❑ The value of $m_c \sim 1.5 \text{ GeV}/c^2$ was previously used based on the results from WA82, E769 and E791 experiments
- ❑ Large sample of data from LHC on D^0 mesons is used for this estimation



- ❑ χ^2 calculated between data points and PYTHIA
- ❑ Minimum from the 5th order polynomial fit

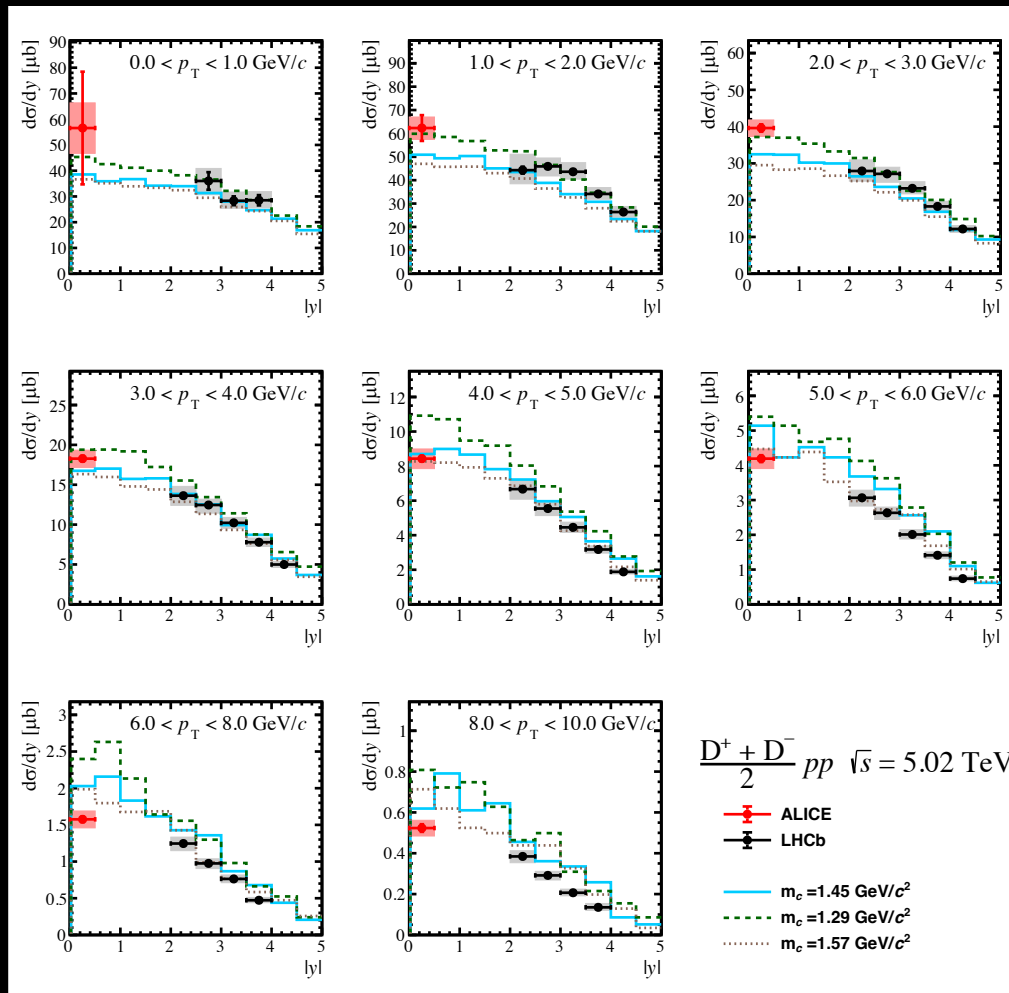
PYTHIA tune for D^0 extrapolation

Results shown for the $\pm 1\sigma$ variation around $m_c = 1.45 \text{ GeV}/c^2$



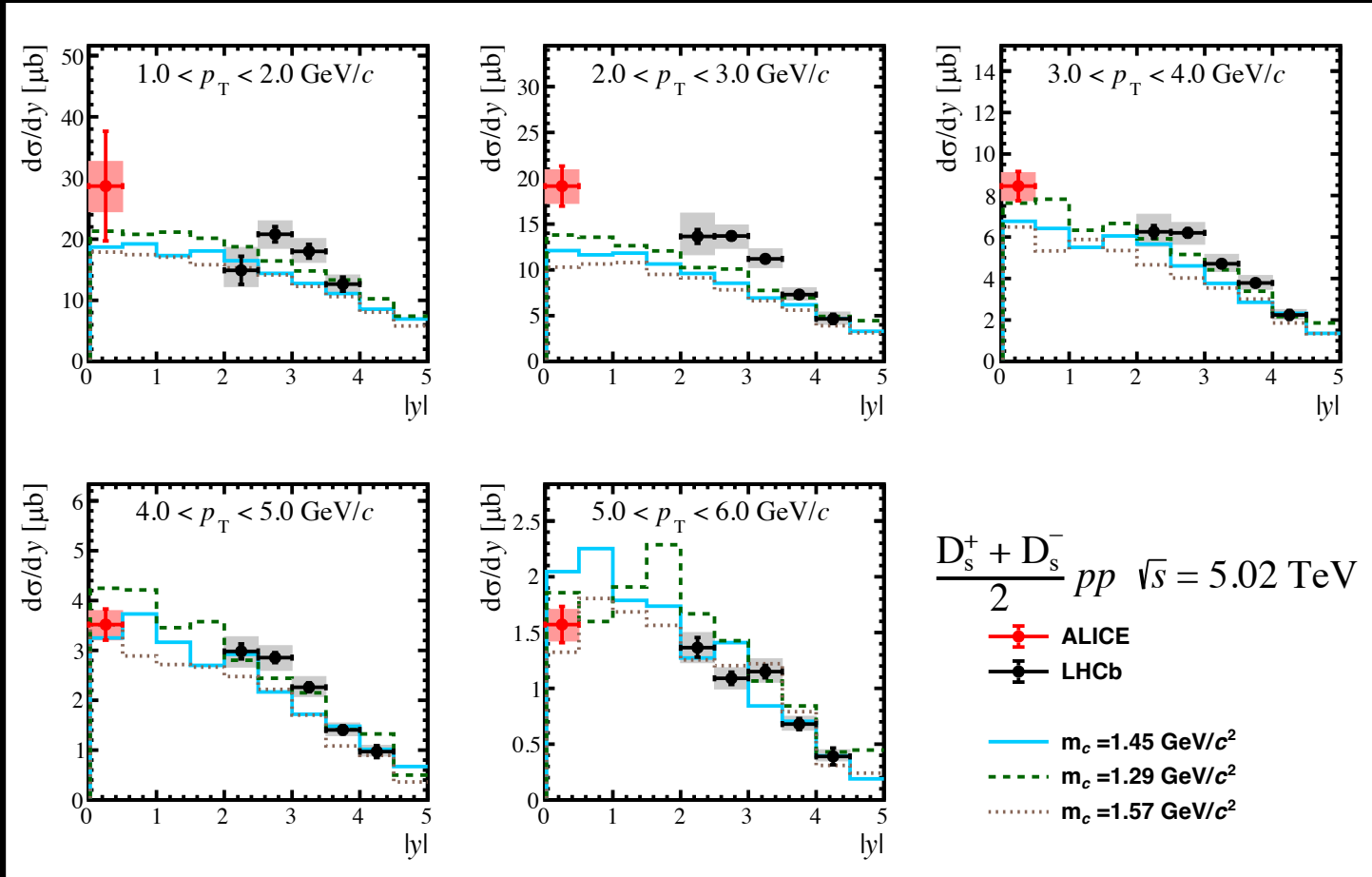
PYTHIA tune for D^+ extrapolation

Results shown for the $\pm 1\sigma$ variation around $m_c = 1.45 \text{ GeV}/c^2$



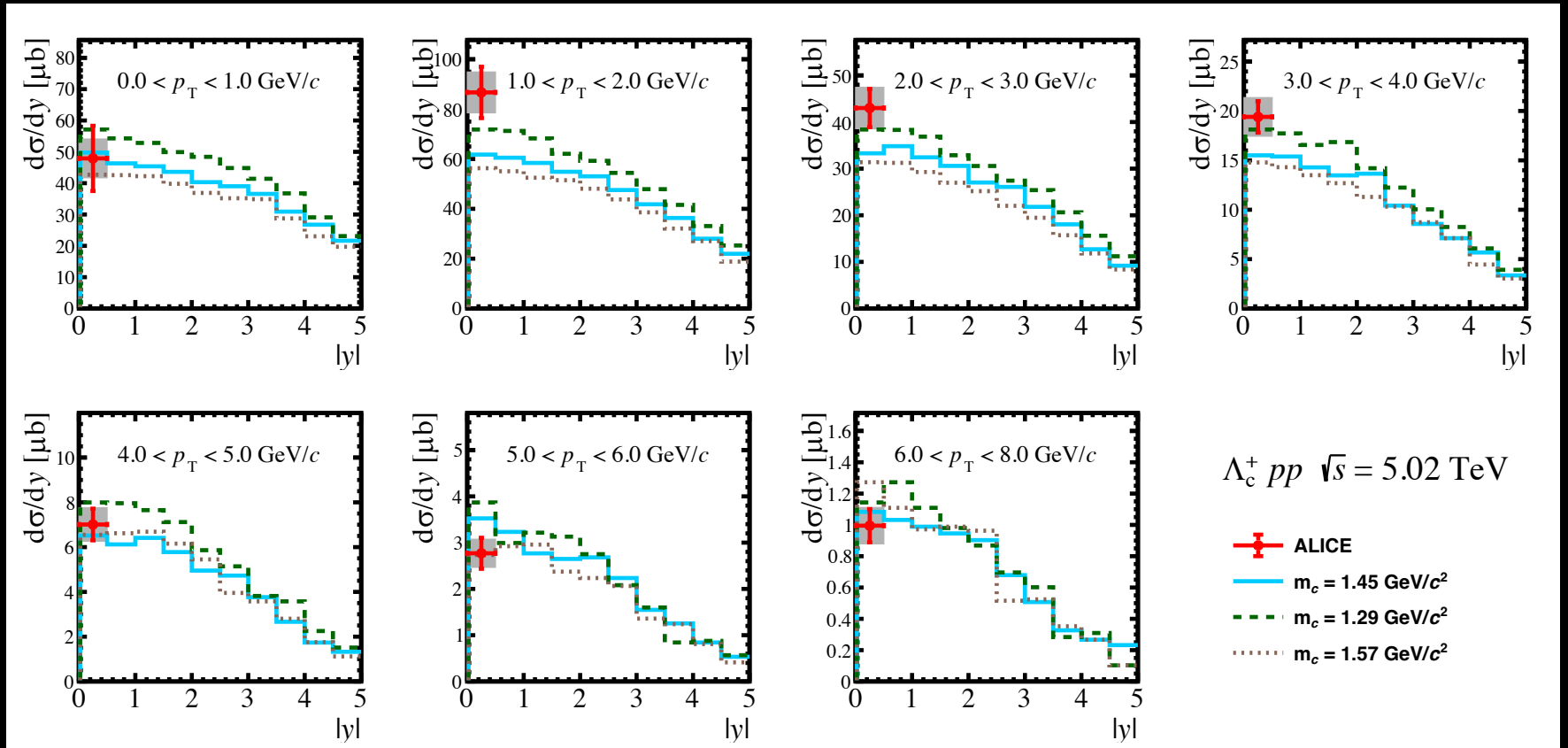
PYTHIA tune for D_s^+ extrapolation

Results shown for the $\pm 1\sigma$ variation around $m_c = 1.45 \text{ GeV}/c^2$



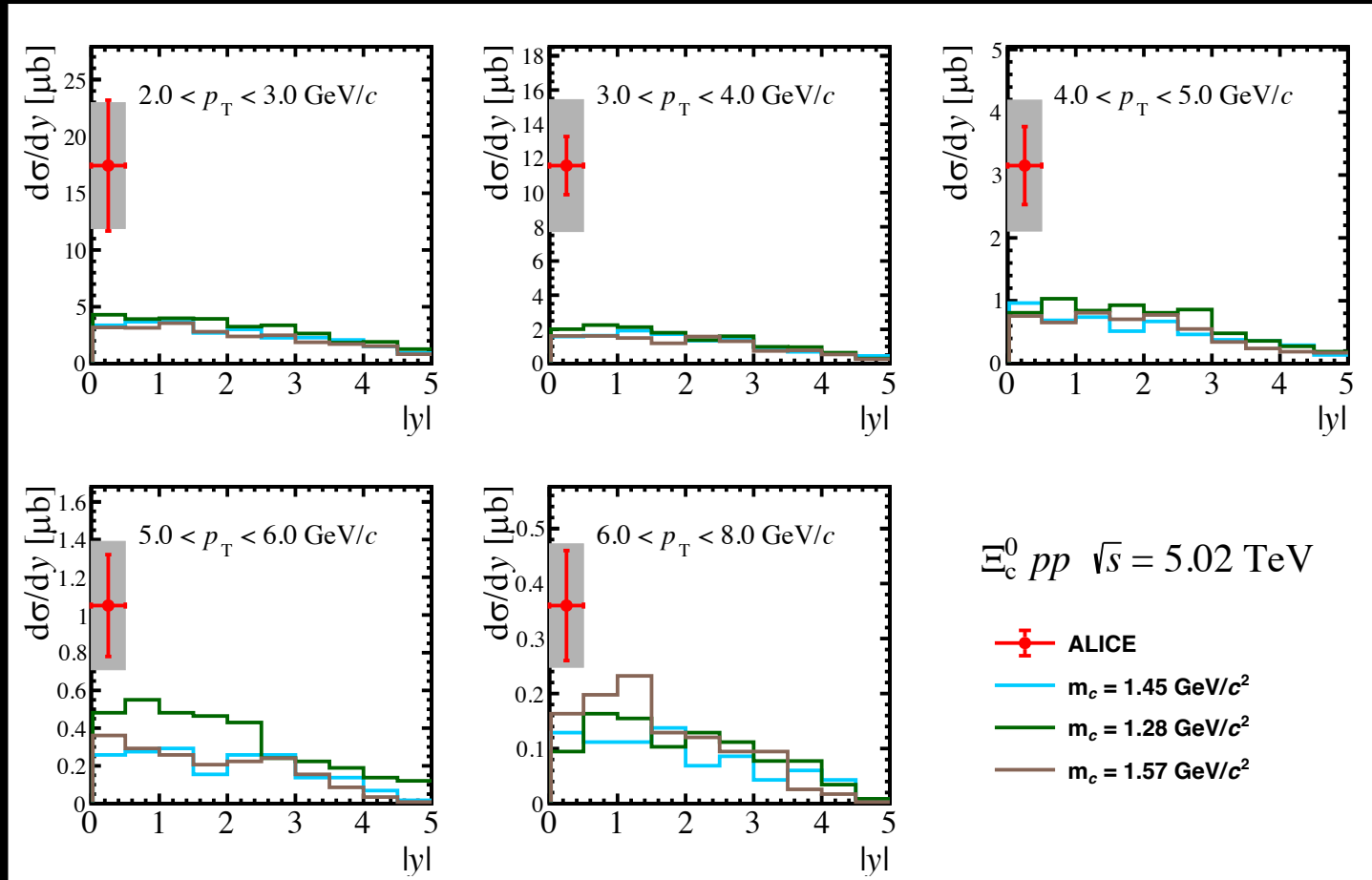
PYTHIA tune for Λ_c^+ extrapolation

Results shown for the $\pm 1\sigma$ variation around $m_c = 1.45 \text{ GeV}/c^2$



PYTHIA tune for Ξ_c^0 extrapolation

Results shown for the $\pm 1\sigma$ variation around $m_c = 1.45 \text{ GeV}/c^2$



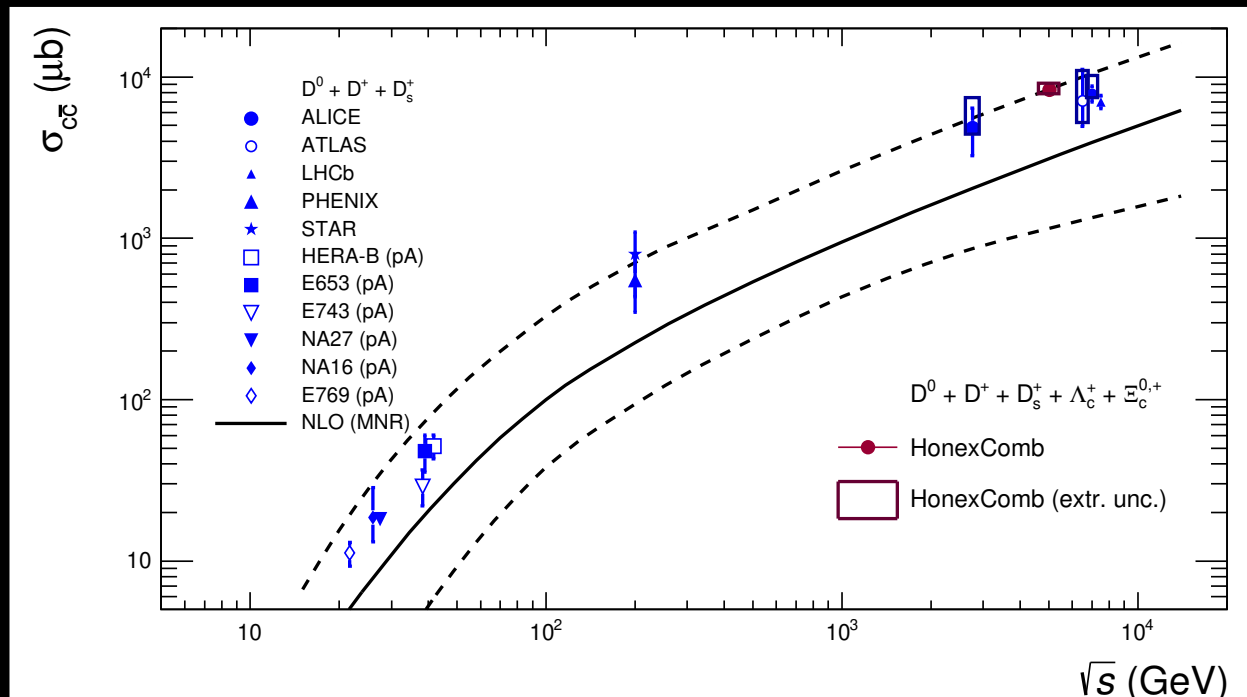
Total charm cross section in pp at 5 TeV

- $\sigma_{cc} = \sigma(D^0) + \sigma(D^+) + \sigma(D+s) + \sigma(\Lambda_c^+) + 2*\sigma(\Xi_c^0)$
 - $\sigma(\Xi_c^+) \sim \sigma(\Xi_c^0)$ from 13 TeV ALICE measurement
 - $\sigma(\Omega_c^0) \sim \sigma(\Xi_c^0)$ from Catania model (included in syst. uncert.)
 - J/ Ψ contribution neglected
- Extrapolation to full phase space ($|y| < 8$, $p_T < 36$ GeV/c) using Pythia tune with $m_c = 1.45$ GeV/c²
 - Fractions extracted using exclusively Pythia spectra
- Systematic uncertainties include
 - $\pm 1\sigma$ variation around minimum $m_c = 1.45$ GeV/c²
 - Correlated and uncorrelated contributions propagated from measurements
 - Monash2013 tune used for Ξ_c^0 extrapolation (rapidity dependence not measured) – lower limit
 - $\sigma(\Omega_c^0) \sim \sigma(\Xi_c^0)$ – upper limit
 - Alternative extrapolation with Tsallis (in p_T) and Gaussian (in y) parametrizations

Total charm cross section in pp at 5 TeV

[arXiv:2311.11426](https://arxiv.org/abs/2311.11426)

- Total charm cross section, extrapolated to the full phase space ($|\eta| < 8$, $p_T < 36$ GeV/c)
- Measurements at the upper edge of pQCD calculations ($m_c = 1.5$ GeV/c²)



$$\sigma_{c\bar{c}}(\text{pp}, 5.02 \text{ TeV}) = 8.34 \pm 0.22(\text{stat.})_{-0.37}^{+0.37}(\text{syst.})_{-0.46}^{+0.36}(\text{extr.})_{-0}^{+0.68}(\Omega_c) \text{ mb}$$

Summary

- ❑ Non-universal charm fragmentation in pp and ep/ee collisions
- ❑ Total charm cross section estimation, extrapolated to the full phase space

FONLL based data driven approach (D^0 mesons from ALICE and LHCb) [arXiv:2311.07523](https://arxiv.org/abs/2311.07523)

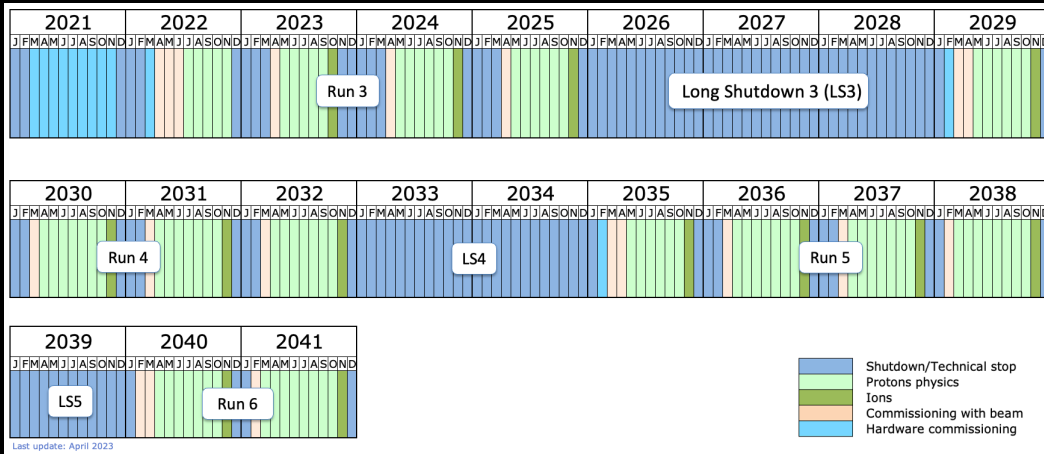
$$\sigma_{c\bar{c}} = 8.43_{-0.25}^{+0.25}(\text{data})_{-0.42}^{+0.40}(\tilde{f})_{-0.56}^{+0.67}(\text{PDF})_{-0.12}^{+0.13}(\mu_f, \mu_r, m_c, \alpha_K)_{-0.88}^{+0.65}(f^{PP})[\text{mb}]$$

Pythia 8 tune (CR + rope model, $m_c=1.45$ GeV/ c^2) based extrapolation using open charm mesons and baryons from ALICE, CMS and LHCb) [arXiv:2311.11426](https://arxiv.org/abs/2311.11426)

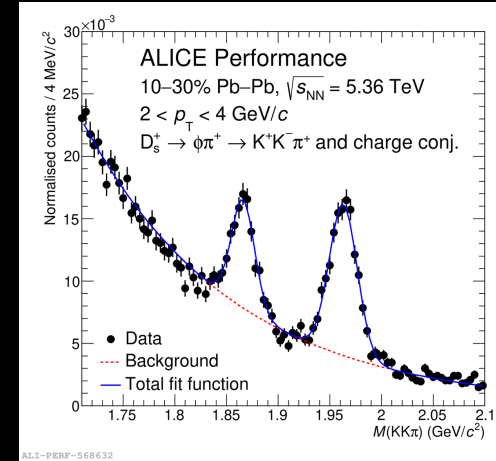
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More data on charm baryons from LHC experiment would be beneficial!

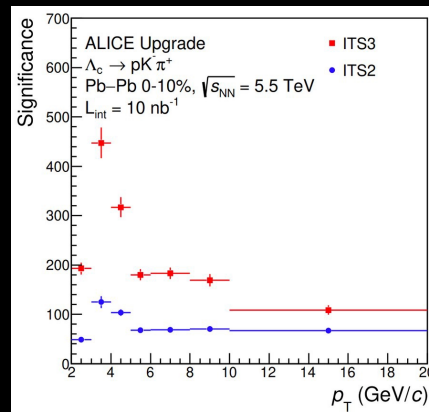
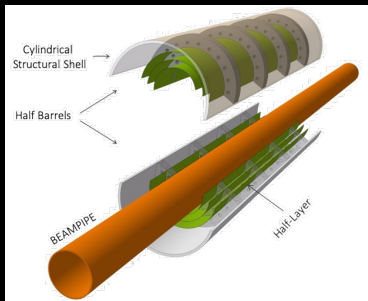
Outlook



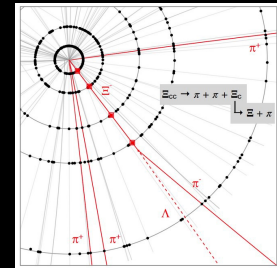
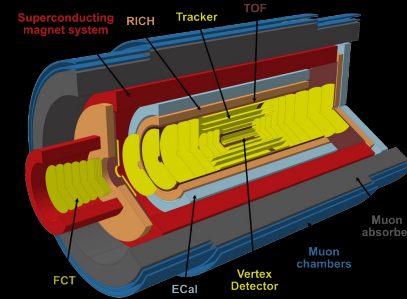
Run 3: performance



LS3 upgrade: ITS3

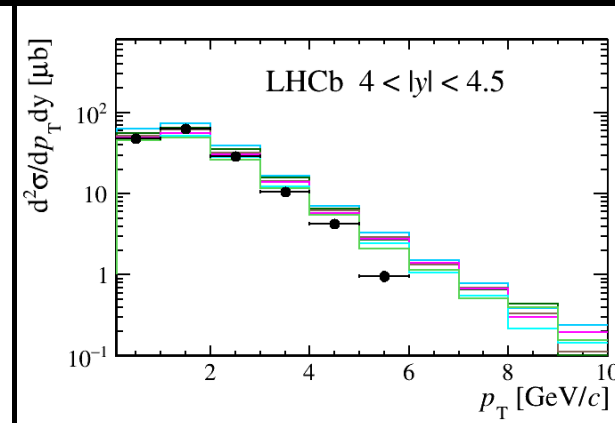
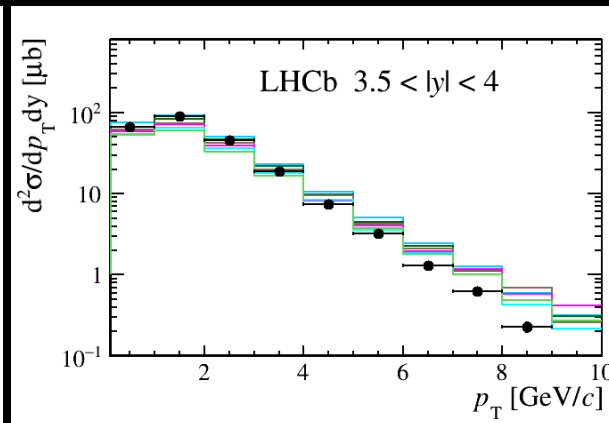
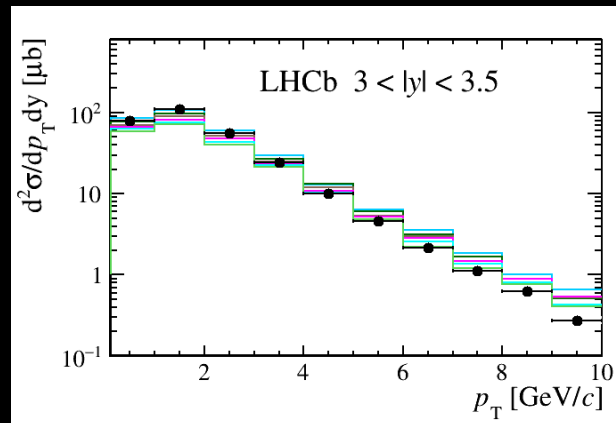
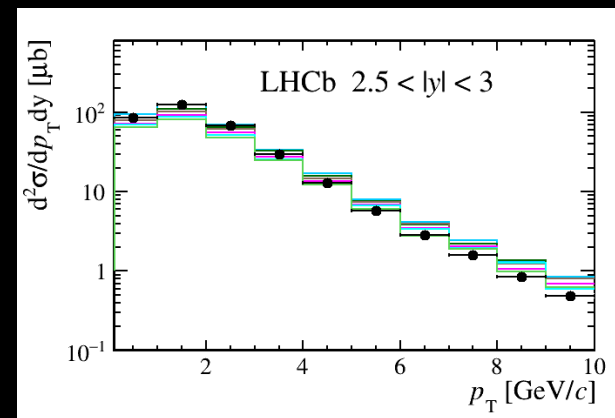
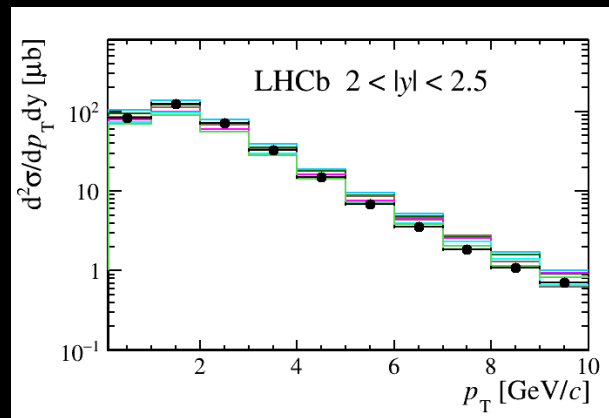
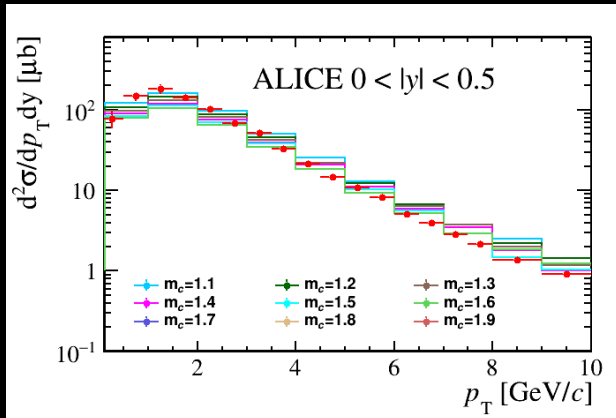


LS4: Future heavy-ion detector (ALICE 3)

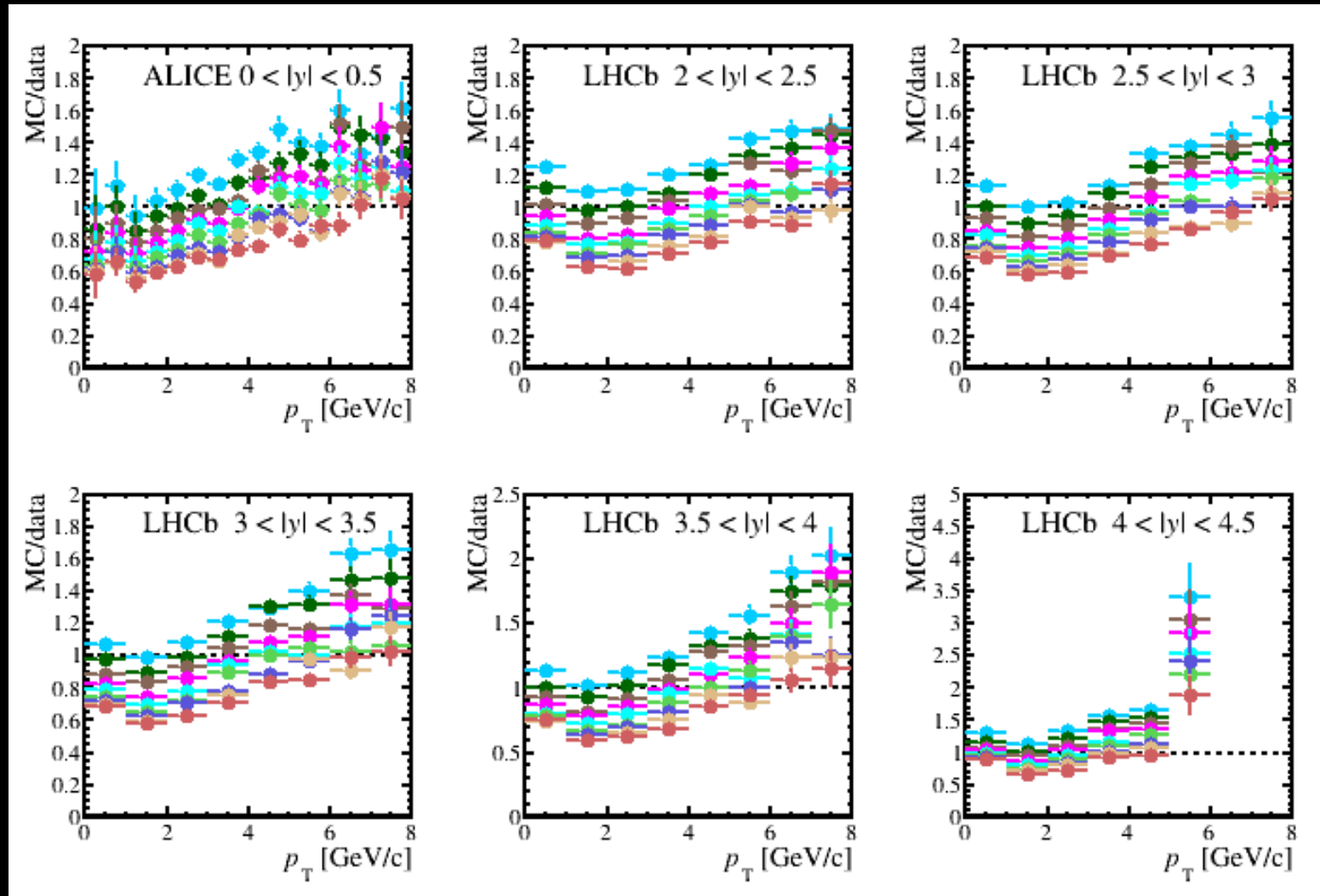


Backup

PYTHIA tune for D^0 extrapolation (HonexComb)

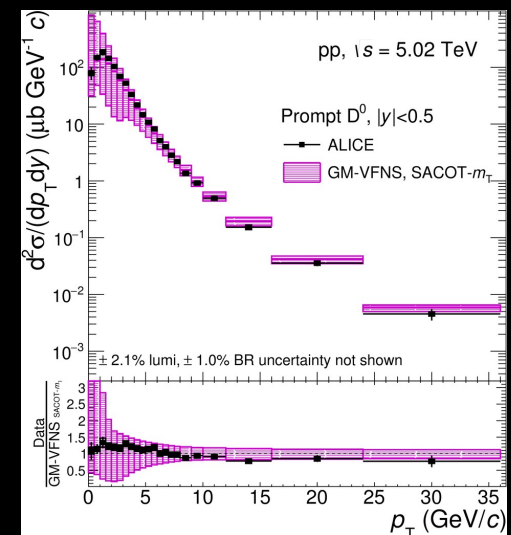
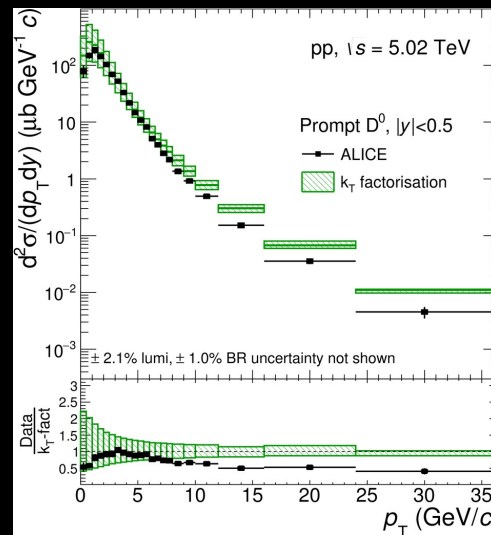
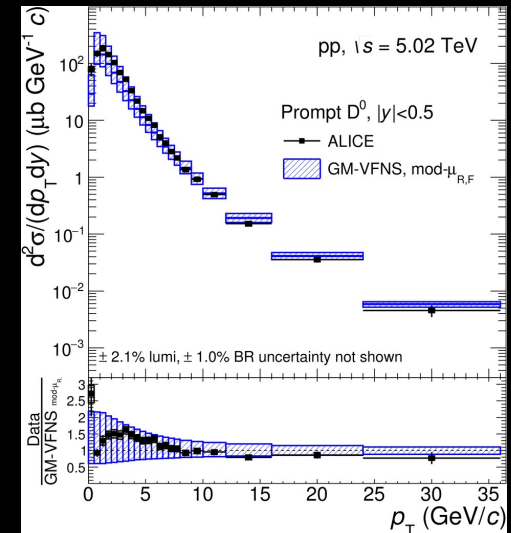
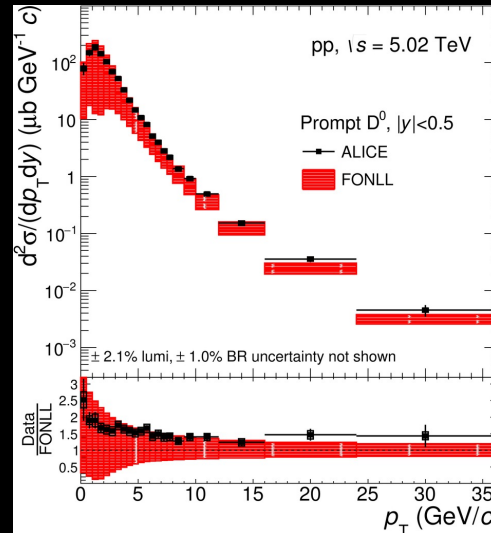
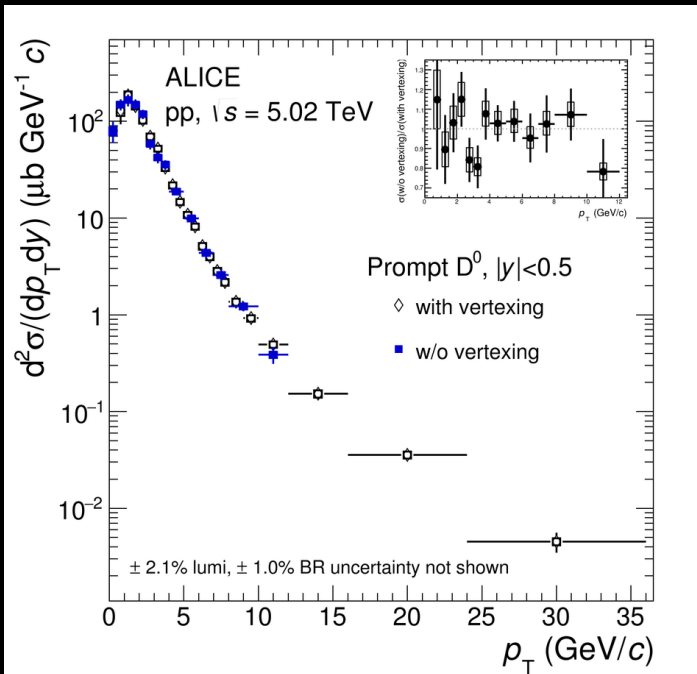


PYTHIA tune for D^0 extrapolation (HonexComb)



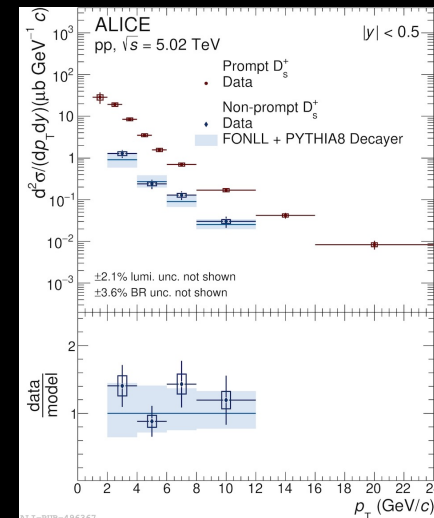
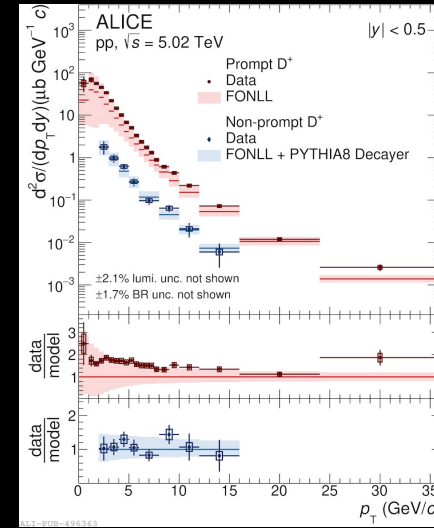
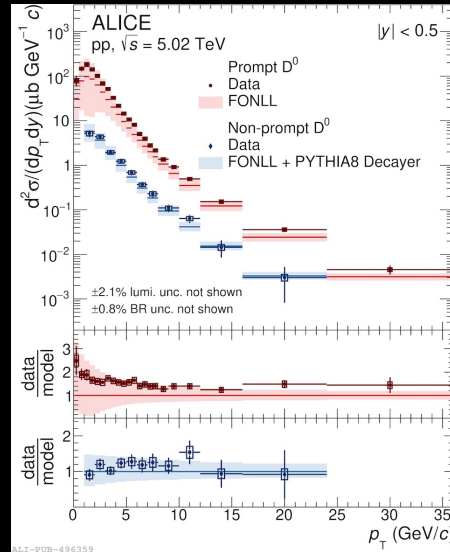
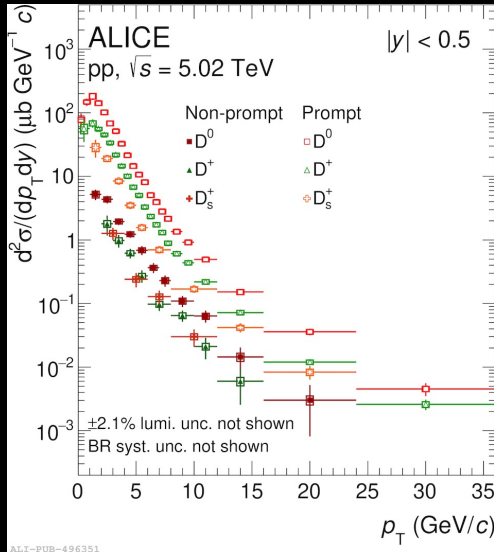
Open charm hadrons at LHC

Eur.Phys.J. C79 (2019) no.5, 388



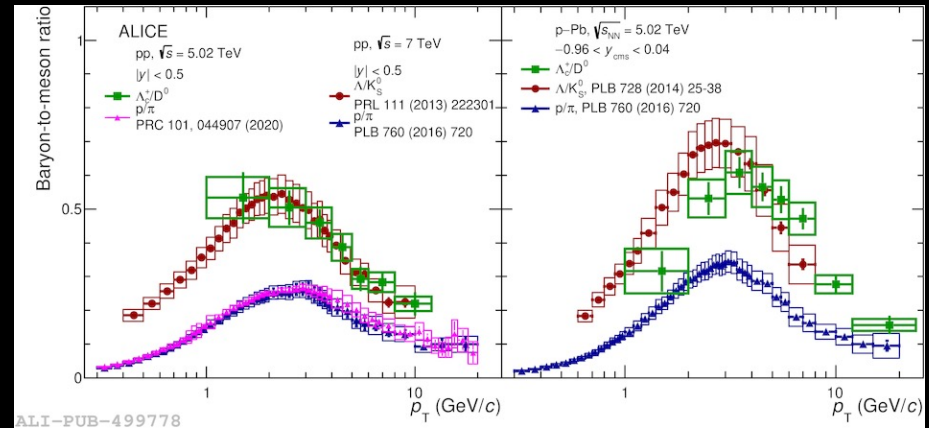
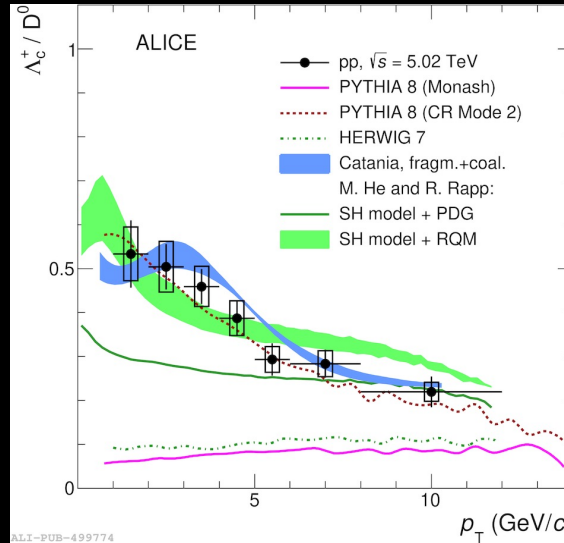
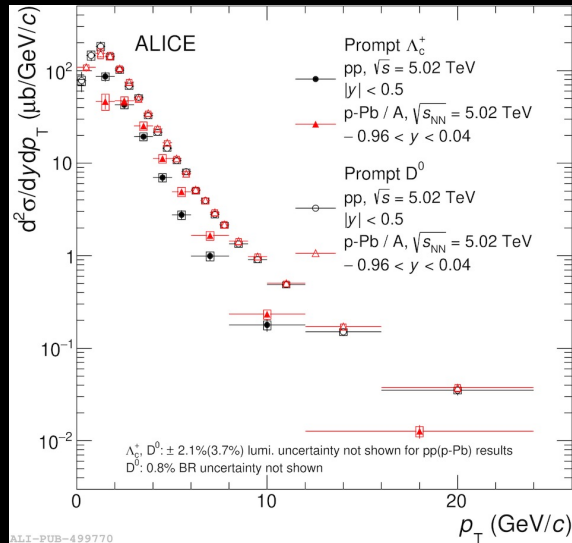
Open charm hadrons at LHC

JHEP 05 (2021) 220



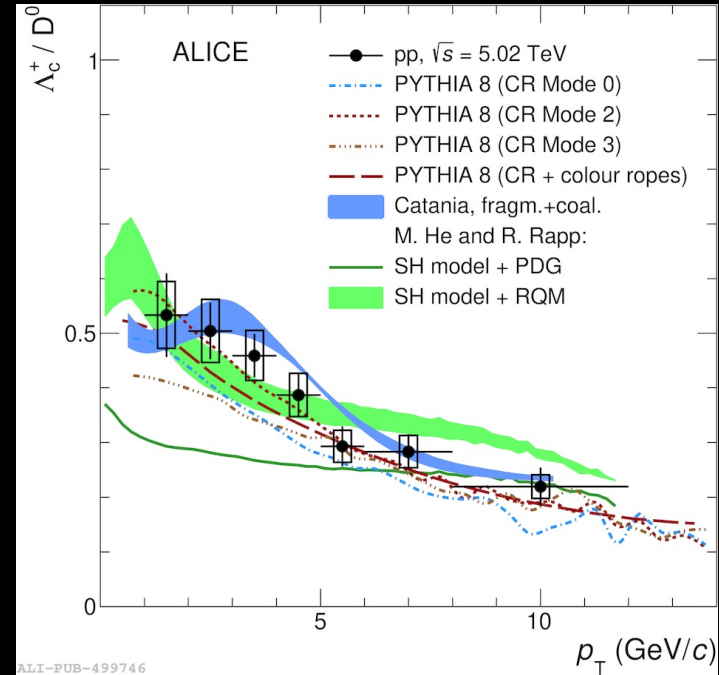
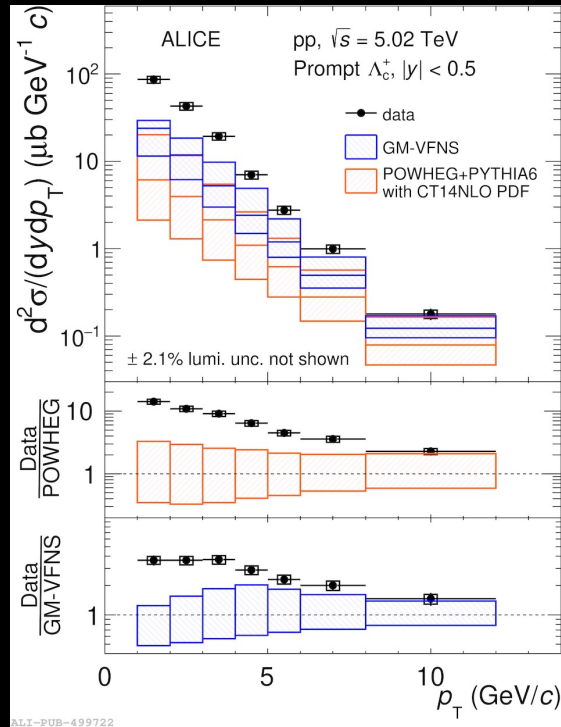
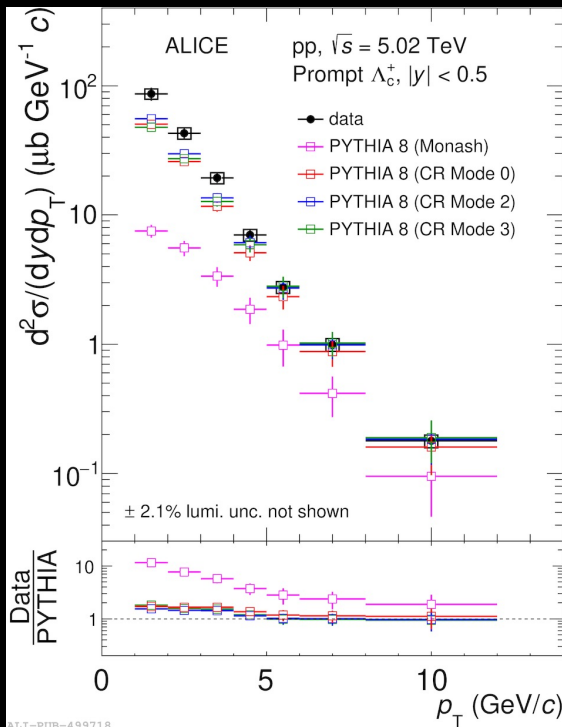
Open charm hadrons at LHC

Phys. Rev. Lett. 127 (2021) 202301



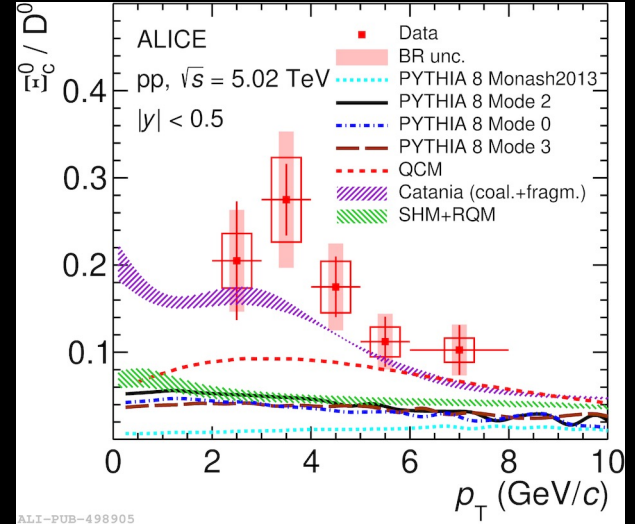
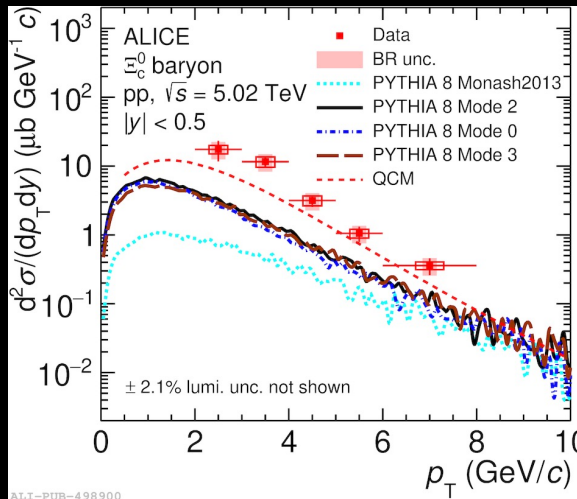
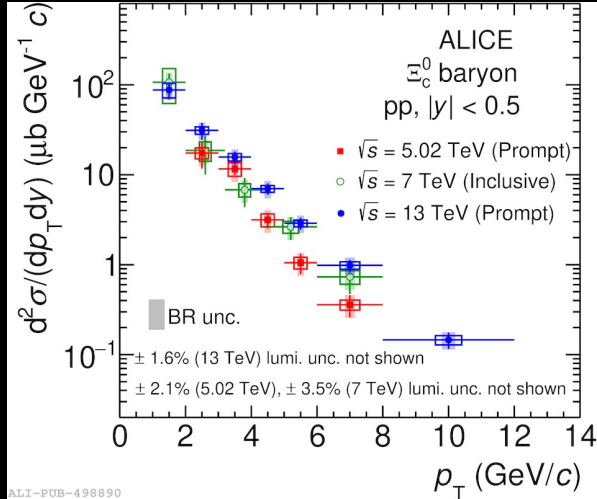
Open charm hadrons at LHC

[Phys. Rev. C 104 \(2021\) 054905](#)



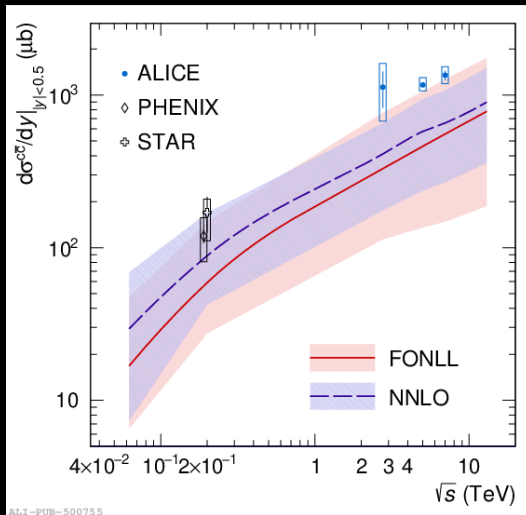
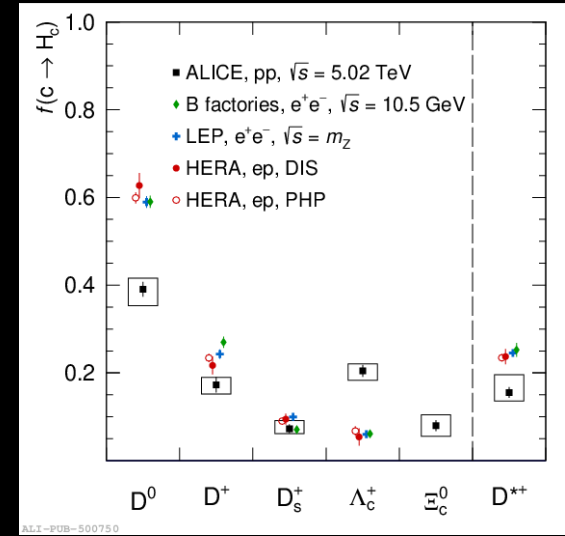
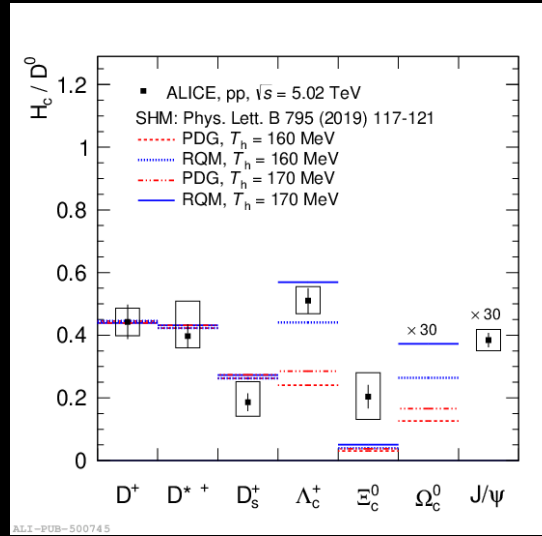
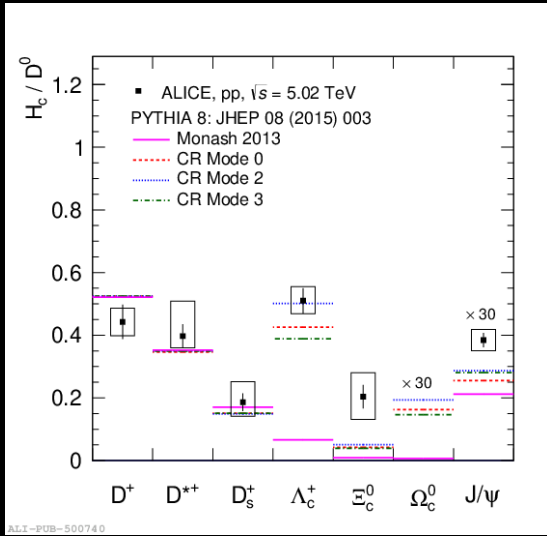
Open charm hadrons at LHC

[JHEP 10 \(2021\) 159](#)



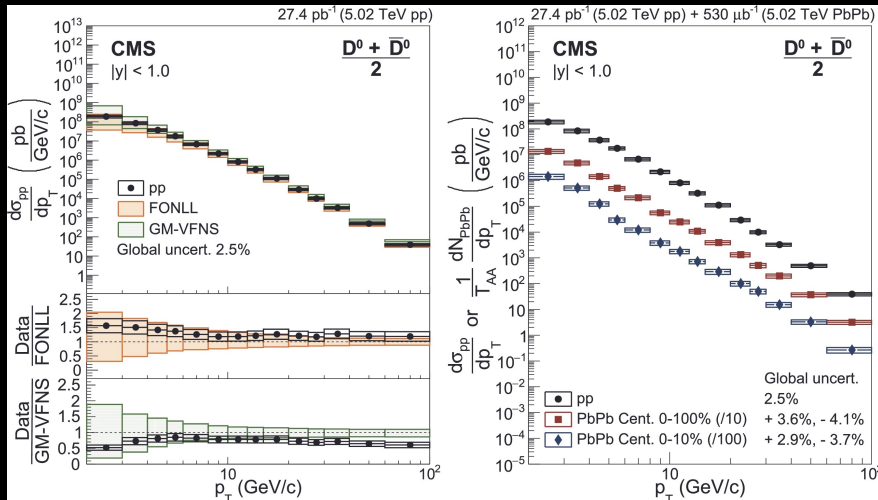
Open charm hadrons at LHC

Phys. Rev. D 105 (2022) L011103

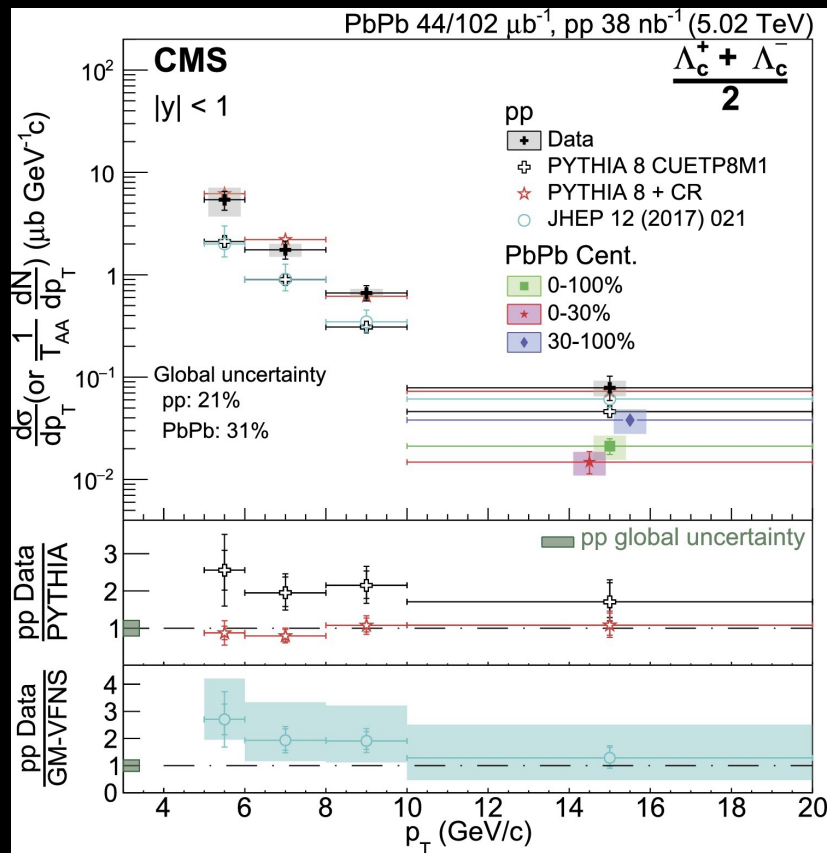


Open charm hadrons at LHC

CMS, Phys. Lett. B782 (2018) 474-493

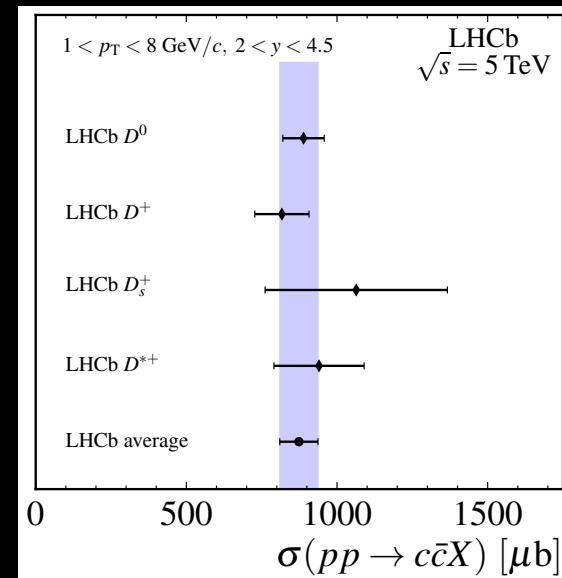
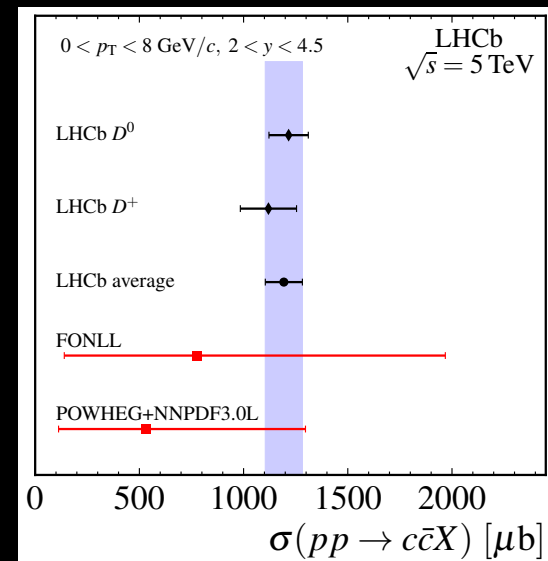
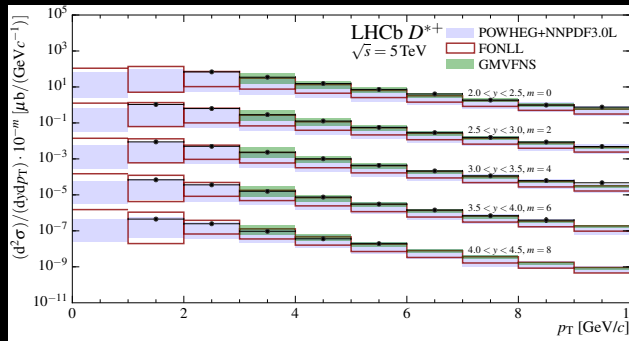
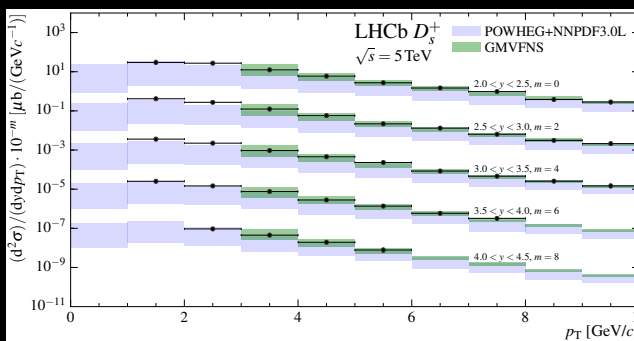
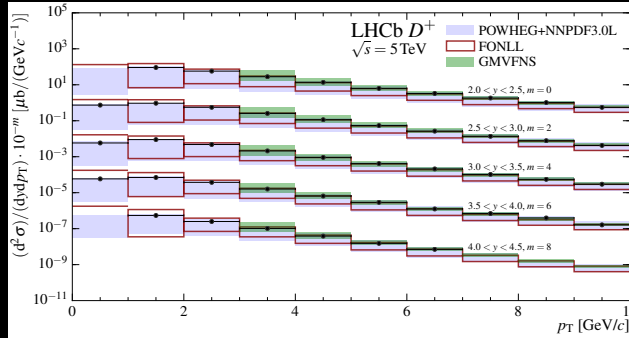
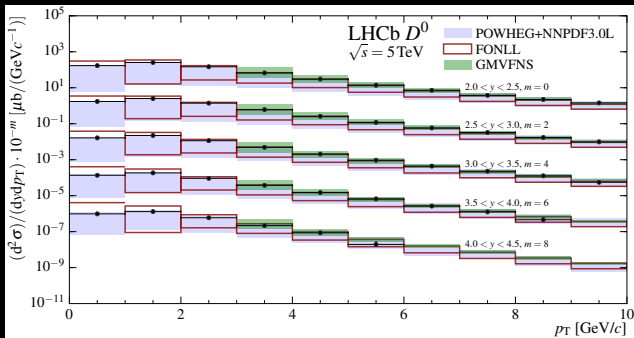


CMS, Phys. Lett. B 803 (2020) 135328



Open charm hadrons at LHC

LHCb Coll, JHEP06 (2017) 147

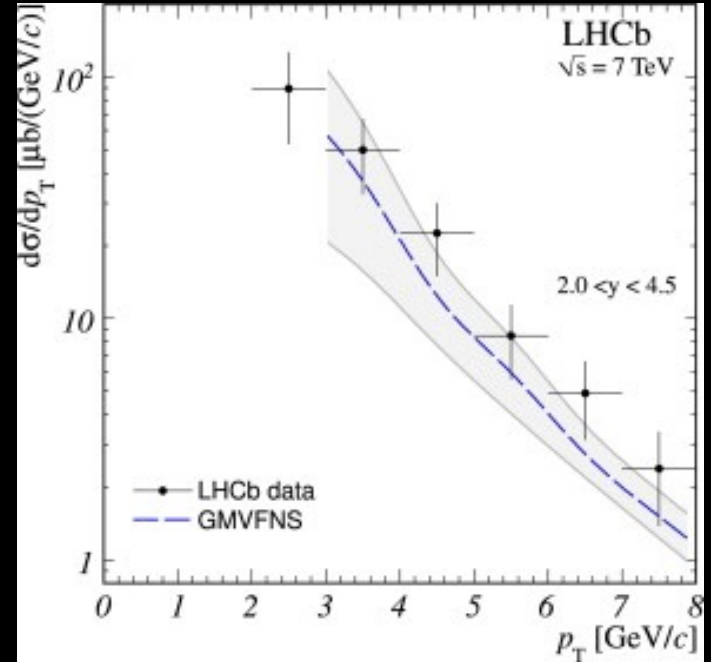
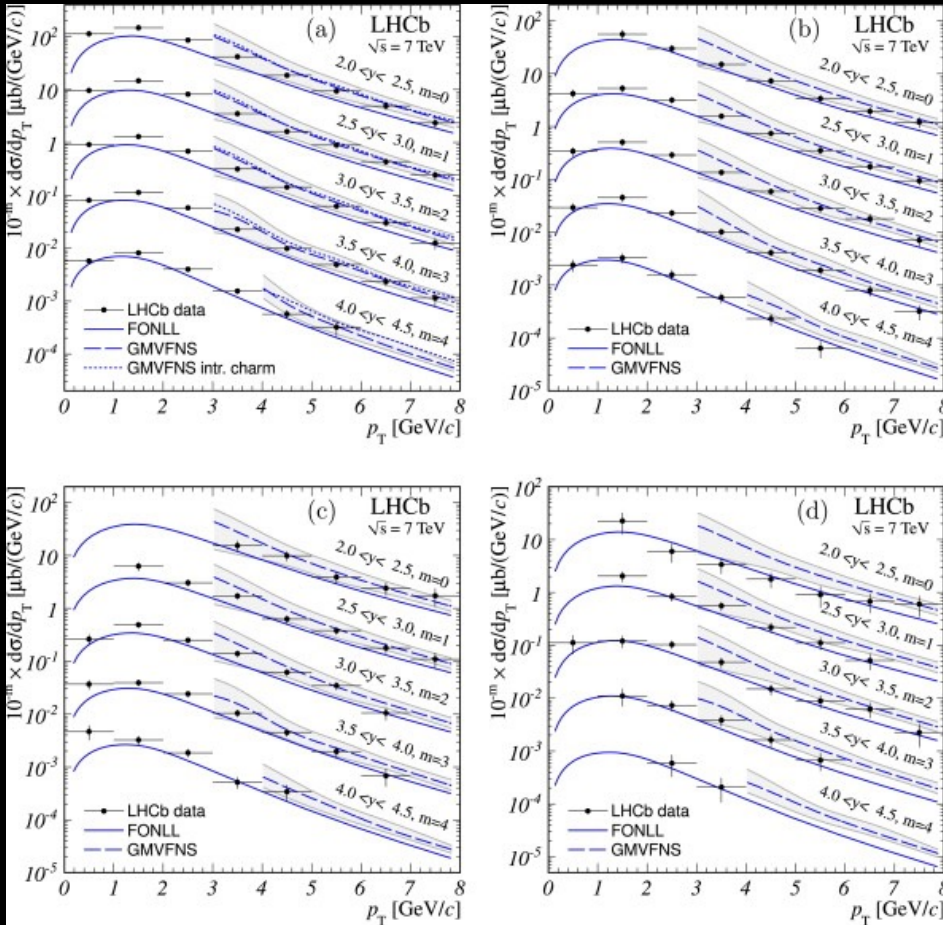


Open charm hadrons at LHC

LHCb Coll., Nucl. Phys. B 871 (2013) 1-20

D^0, D^+, D^{*+}, D_S^+

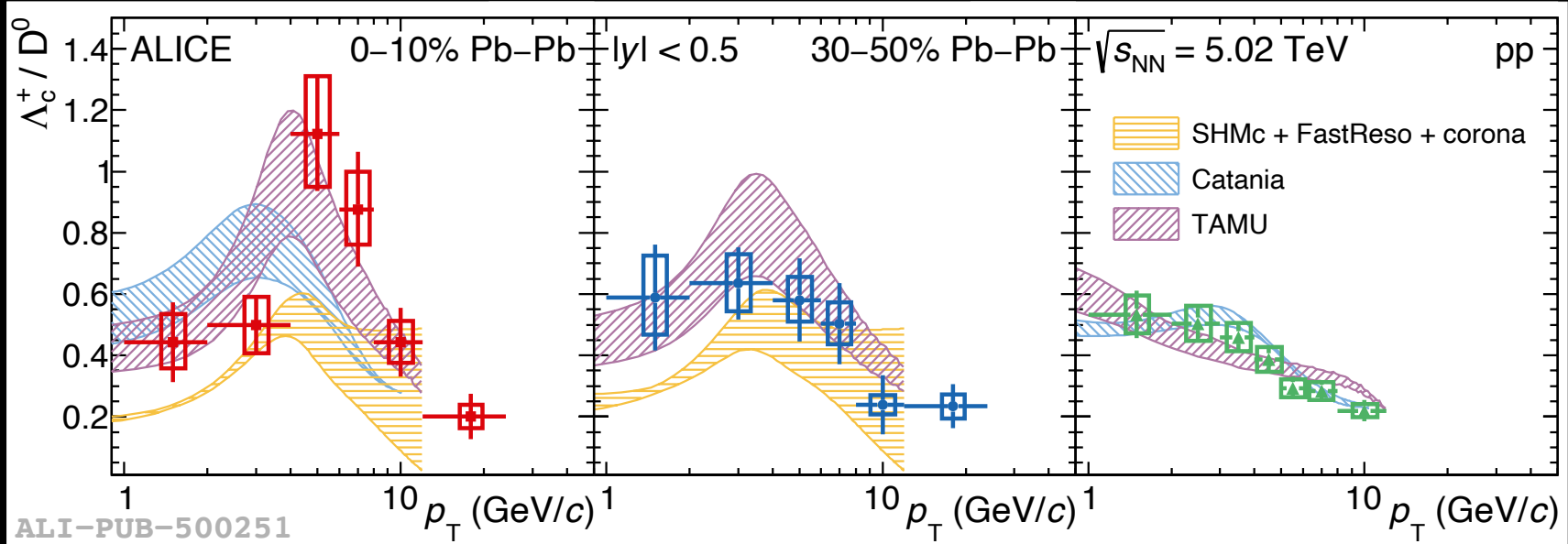
Λ_c^+



Prompt Λ_c baryon production in pp and Pb-Pb

Constraining hadronization mechanisms

[Phys. Lett. B 839 \(2023\) 137796](#)



- Prompt Λ_c / D meson ratio in pp and Pb-Pb compared to model predictions
- Λ_c / D ratio increases from pp to central Pb-Pb collisions at intermediate $p_T \rightarrow$ **enhanced production via coalescence**
- All models include hadronization mechanisms via coalescence and fragmentation (at high p_T)