

# Microscopic Encoding of Macroscopic Universality: Scaling Properties of Dirac Eigenspectra near QCD Chiral Phase Transition

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Chiral transition and universal  $O(N)$  scaling of the QCD transition

Microscopic origin of universal scaling near the chiral transition

H.T. Ding, W.-P. Huang, S. Mukherjee, PP, PRL 131 (2023) 161903

*How criticality at macroscale arises from microscopic d.o.f. of QCD ?*

$$\mathcal{D}[\mathcal{U}]v_k = i\lambda_k v_k$$

# Chiral transition for small quark masses and universal scaling

$$SU(2)_V \otimes SU(2)_A \sim O(4)$$

For sufficiently small  $m_l$  the chiral transition is governed by universal  $O(4)$  scaling

$$f(T, m_l) = -\frac{T}{V} \ln Z = f_{reg}(T, m_l) + f_s(t, h) \quad t = \frac{1}{t_0} \frac{T - T_c}{T_c}, H = \frac{m_l}{m_s}, h = \frac{H}{h_0}$$

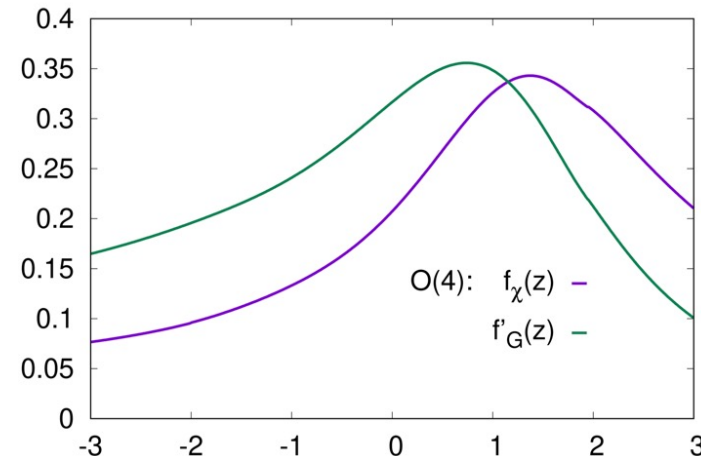
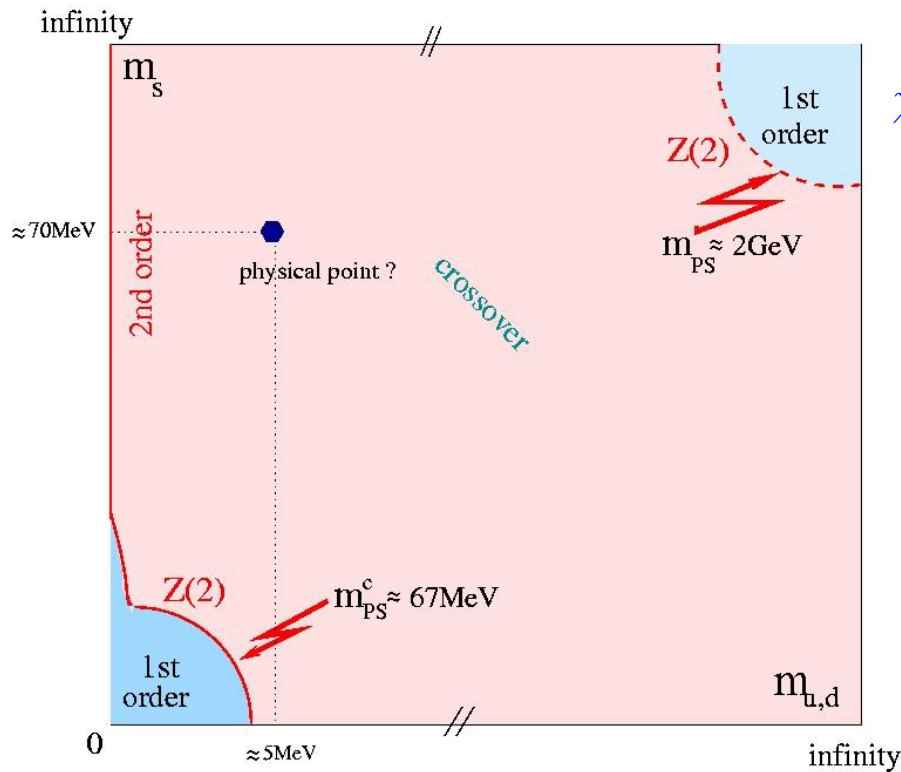
$$\langle \bar{\psi}\psi \rangle = T(\partial \ln Z) / \partial m_l$$

$$M = -\frac{\partial f_s(t, h)}{\partial H} = h^{1/\delta} f_G(z), \quad z = t/h^{1/\beta\delta}$$

$$\chi_m = \frac{T}{V} \frac{\partial^2 \ln Z}{\partial m_l^2} = \frac{h^{1/\delta-1}}{h_0} f_\chi(z) \rightarrow T_{pc}$$

$$T_c = 132_{-6}^{+3} \text{ MeV}$$

HotQCD, PRL 123 (2019) 062002



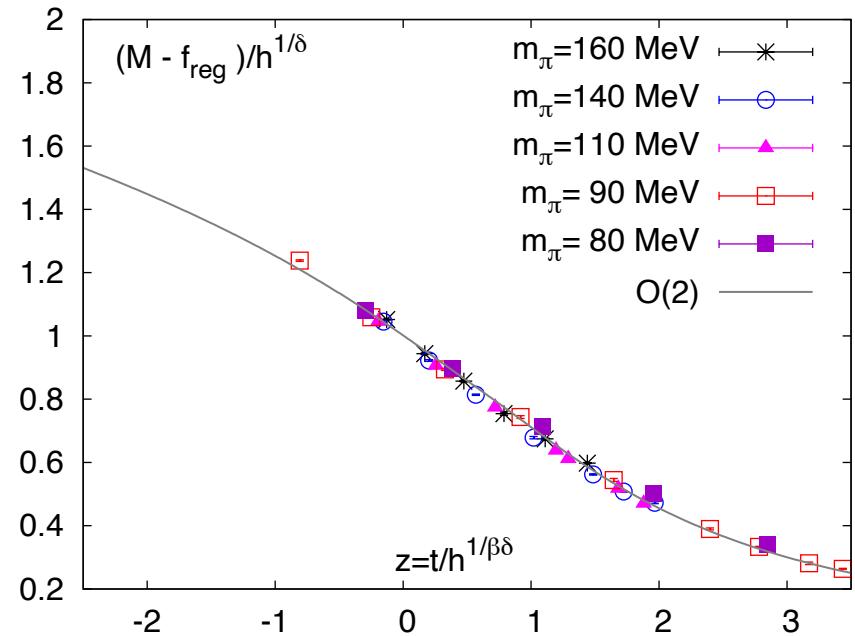
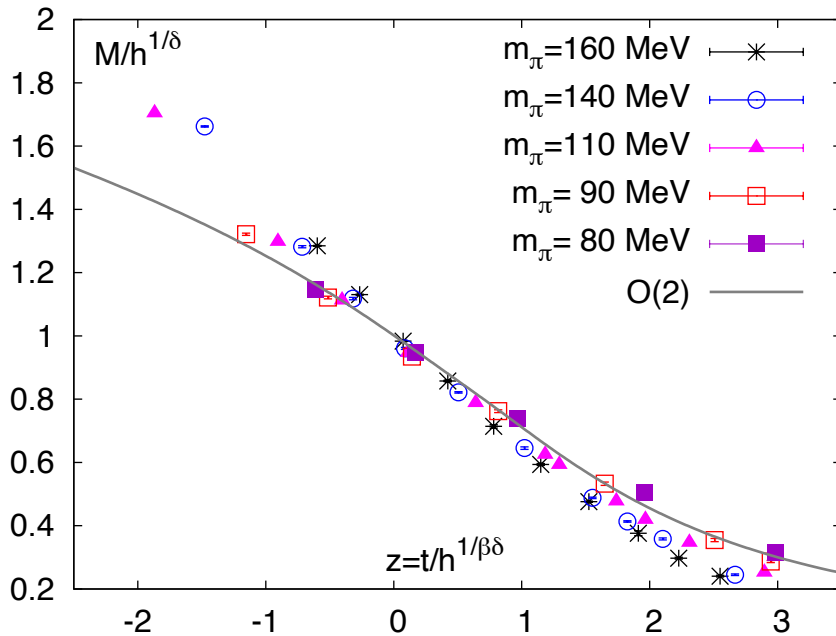
# Chiral transition for small quark masses and universal scaling

HISQ action,  $N_\tau = 6$  lattices

$$M = \langle \bar{\psi}\psi \rangle / T^3, M = h^{1/\delta} f_G(z) + f_{reg}(T, m_l), f_{reg} = (a_0 + a_1 \frac{T - T_c}{T_c}) \frac{m_l}{m_s}$$

Staggered quarks only preserve a subgroup of chiral symmetry of QCD:

$$\Rightarrow O(4) \rightarrow O(2)$$



Ding et al, Lattice' 12, arXiv:1312.0119

# Chiral transition and spectrum of Dirac eigenvalues

Macroscopic

$$\bar{\psi}\psi(m) \equiv 2\text{Tr}(\not{D}[\mathcal{U}] + m)^{-1}$$

$$\mathbb{K}_1(\bar{\psi}\psi) = \frac{T}{V} \langle (\bar{\psi}\psi) \rangle$$

$$\mathbb{K}_2(\bar{\psi}\psi) = \frac{T}{V} \langle [(\bar{\psi}\psi) - \langle \bar{\psi}\psi \rangle]^2 \rangle$$

Chiral susceptibility

$$\mathbb{K}_3(\bar{\psi}\psi) = \frac{T}{V} \langle [(\bar{\psi}\psi) - \langle \bar{\psi}\psi \rangle]^3 \rangle$$

Binder cumulant

Microscopic

$$= 2 \sum_j (i\lambda_j + m)^{-1}$$

$$P_{\mathcal{U}}(\lambda; m) = \frac{4m\rho_{\mathcal{U}}(\lambda)}{\lambda^2 + m^2}, \text{ and } \rho_{\mathcal{U}}(\lambda) = \sum_j \delta(\lambda - \lambda_j)$$

$$\begin{aligned} &= \int_0^\infty K_1[P_{\mathcal{U}}(\lambda; m_l)] d\lambda = \frac{T}{V} \int_0^\infty d\lambda \frac{4m_l \langle \rho_{\mathcal{U}}(\lambda) \rangle}{\lambda^2 + m_l^2} = \\ &= \int_0^\infty P_1(\lambda) d\lambda \end{aligned}$$

$$= \int_0^\infty K_1[P_{\mathcal{U}}(\lambda_1; m_l), P_{\mathcal{U}}(\lambda_2; m_l)] d\lambda_1 d\lambda_2$$

$$= \frac{T}{V} \int_0^\infty d\lambda_1 d\lambda_2 \frac{(4m_l)^2}{(\lambda_1^2 + m_l^2)(\lambda_2^2 + m_l^2)} \times$$

$$[\langle \rho_{\mathcal{U}}(\lambda_1)\rho_{\mathcal{U}}(\lambda_2) \rangle - \langle \rho_{\mathcal{U}}(\lambda_1) \rangle \langle \rho_{\mathcal{U}}(\lambda_2) \rangle] = \int_0^\infty P_2(\lambda) d\lambda$$

$$= \int_0^\infty P_3(\lambda) d\lambda$$

# Approaching the chiral limit

$$m_l \rightarrow 0: \frac{m}{\lambda^2 + m^2} \rightarrow \pi \delta(\lambda)$$

$$\lim_{m_l \rightarrow 0} \mathbb{K}_1(\bar{\psi}\psi) = \lim_{m_l \rightarrow 0} \frac{T}{V} \langle (\bar{\psi}\psi) \rangle = 2\pi \mathbb{K}_1[\rho_{\mathcal{U}}(0)] = 2\pi \langle \rho_{\mathcal{U}}(0) \rangle$$

Banks-Casher relation

$$\lim_{m_l \rightarrow 0} \mathbb{K}_n(\bar{\psi}\psi) = \lim_{m_l \rightarrow 0} = (2\pi)^n \mathbb{K}_n[\rho_{\mathcal{U}}(0)]$$

Universal  $O(N)$  scaling of the cumulants of the chiral condensate:

$$\mathbb{K}_n[\bar{\psi}\psi] = \int_0^\infty P_n(\lambda) d\lambda \sim m_l^{1/\delta - n + 1} f_n(z) \quad \begin{matrix} f_1(z) = f_G(z) \\ f_2(z) = f_\chi(z) \end{matrix}$$

$$z \propto z_0 m_l^{-1/\beta\delta} (T - T_c)/T_c$$

Universal  $O(N)$  scaling functions

Conjecture:  $P_n(\lambda) = m_l^{1/\delta - n + 1} f_n(z) g_n(\lambda/m_l)$



Scaling arises from the deep infrared behavior of  $P_n(\lambda)$

QCD specific dependence on the ratio of the eigenvalues and the quark mass

# Calculating the spectral density of Dirac eigenvalues

- Commonly used method: Lánczos algorithm to calculate the individual low-lying eigenvalues
- We use Chebyshev filtering technique with stochastic estimate of the mode number:

Mode number for Hermitian operator  $A$  in interval  $[s, t]$ :

$$n_{[s,t]} = \frac{1}{N_V} \sum_{k=1}^{N_V} \xi_k^T h(A) \xi_k, \quad N_V, \# \text{random vectors}, \quad h(A) = 1 \text{ inside } [s, t], \quad 0 \text{ outside}$$

- Approximate  $h(A)$  by Chebyshev polynomials,  $T_j$ :  $h(A) = \sum_{j=0}^p g_j^p \gamma_j T_j(A)$   $g_j^p, \gamma_j$  are known given  $[s, t]$

For operator restricted to the interval  $[-1, 1]$ ,  $T_0(A) = I$ ,  $T_1(A) = A$ ,

$$T_j(A) = 2AT_{j-1}(A) - T_{j-2}(A), \quad j \geq 2 \Rightarrow \text{use } A = \frac{D^\dagger D - (\lambda_{max}^{D^\dagger D} + \lambda_{min}^{D^\dagger D})I/2}{(\lambda_{max}^{D^\dagger D} + \lambda_{min}^{D^\dagger D})I/2}$$

$$\rho_U(\lambda) = \frac{1}{4} \frac{n_{[\lambda - \delta\lambda/2, \lambda + \delta\lambda/2]}}{2\delta\lambda}$$

Ding et al, PRL126 (2021) 082001

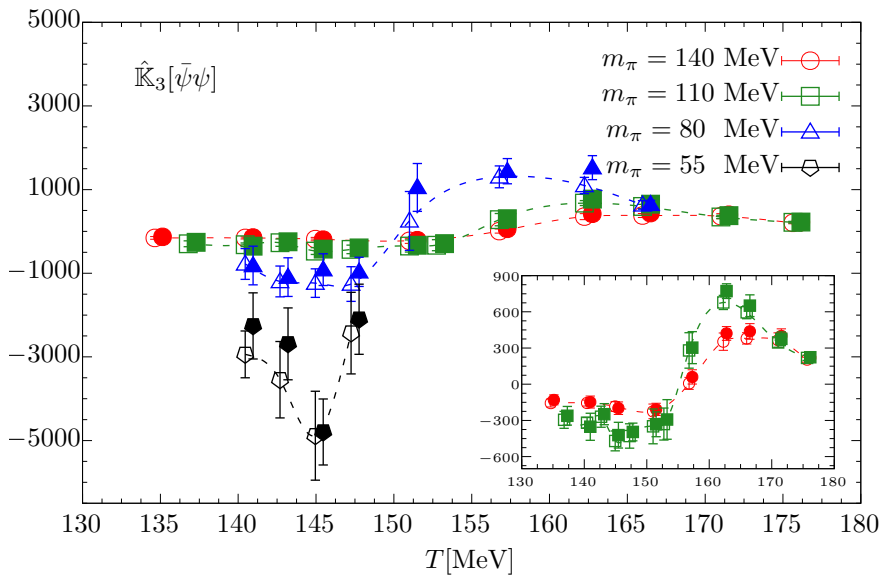
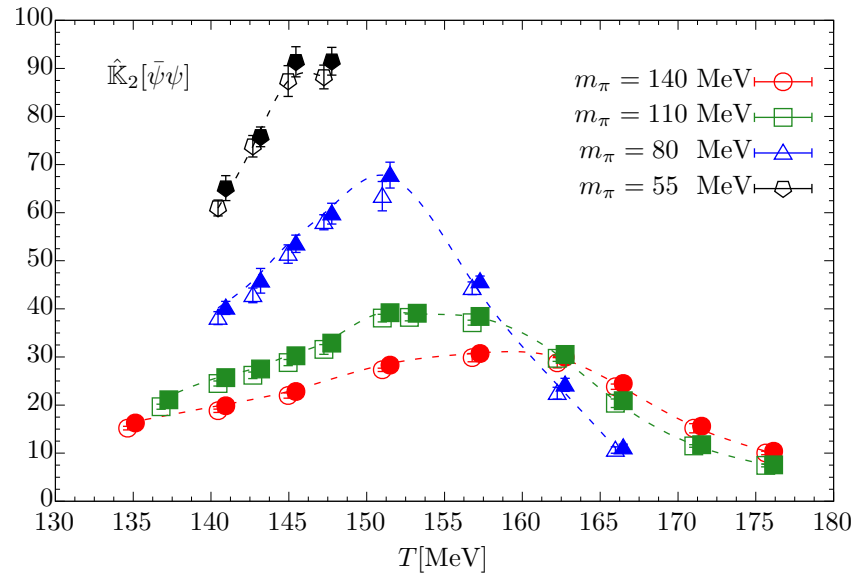
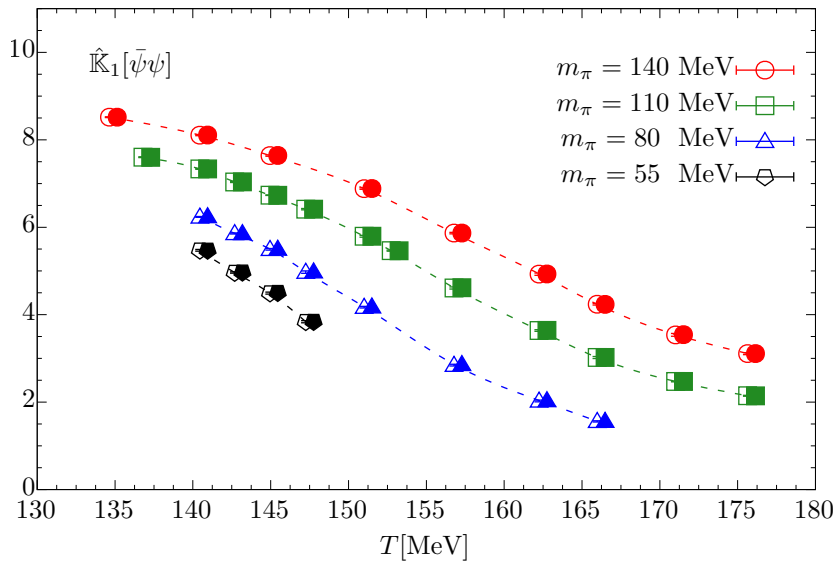
Zhang et al, Lattice'19, arXiv:2001.05217

Cossu et al, Lattice'15, arXiv:1601.00744

$g_j^p$  suppresses oscillations at the boundary

Di Napoli, Polizzi, Saad, arXiv:1308.4275

# Lattice calculation of the cumulants



HISQ action,  $N_\sigma^3 \times N_\tau$  lattices

$N_\tau = 8, N_\sigma = 32 - 56$

$m_l/m_s = 1/27, 1/40, 1/80, 1/160$

$m_\pi = 140, 110, 80, 55$  MeV

$T = 135 - 176$  MeV

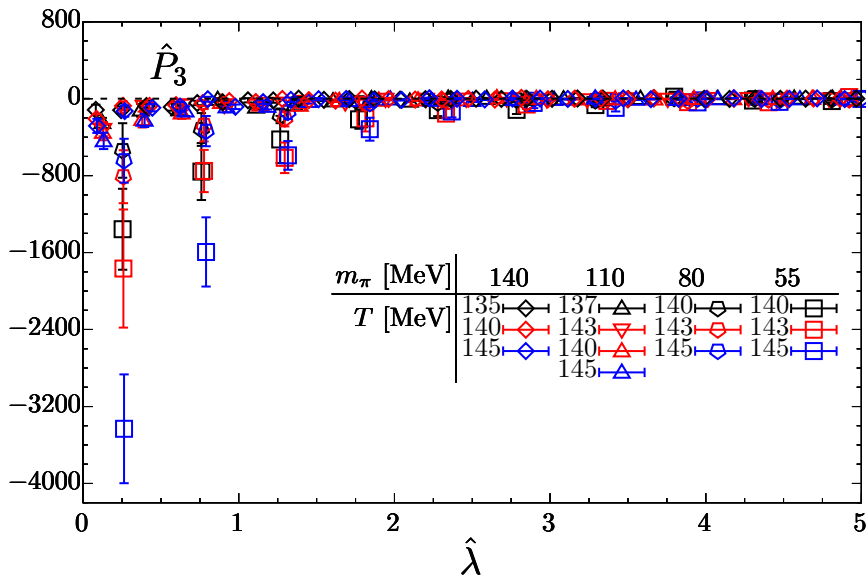
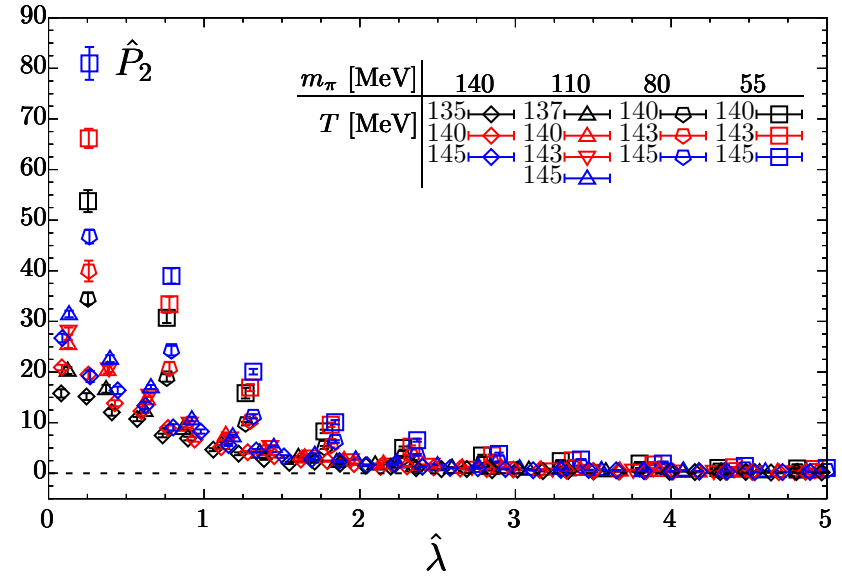
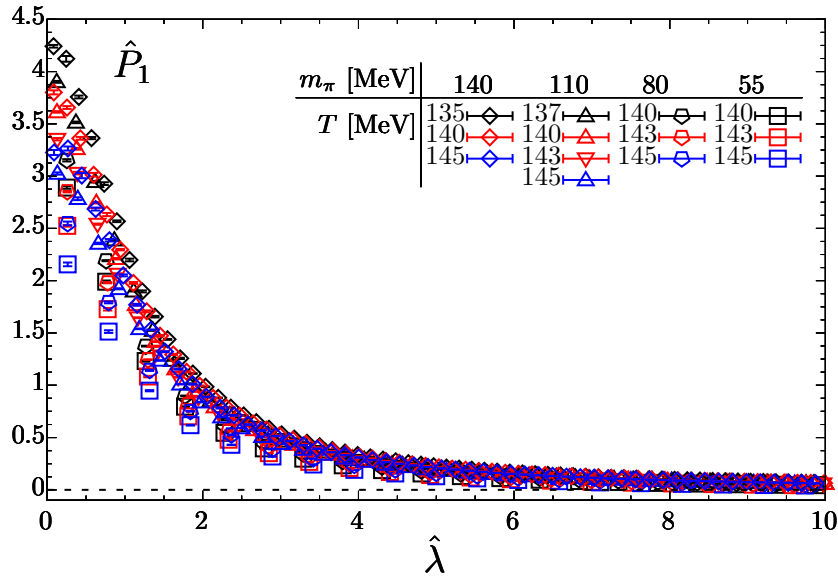
# conf: 1.4K-6.8K

# RV: 24 (high  $T$ )- 96 (low  $T$ )

Order of Chebyshev polynomials: 200K

Open symbols: dir. meas.

# Chiral observables and spectrum of Dirac eigenvalues



HISQ action,  $N_\sigma^3 \times N_\tau$  lattices

$N_\tau = 8, N_\sigma = 32 - 56$

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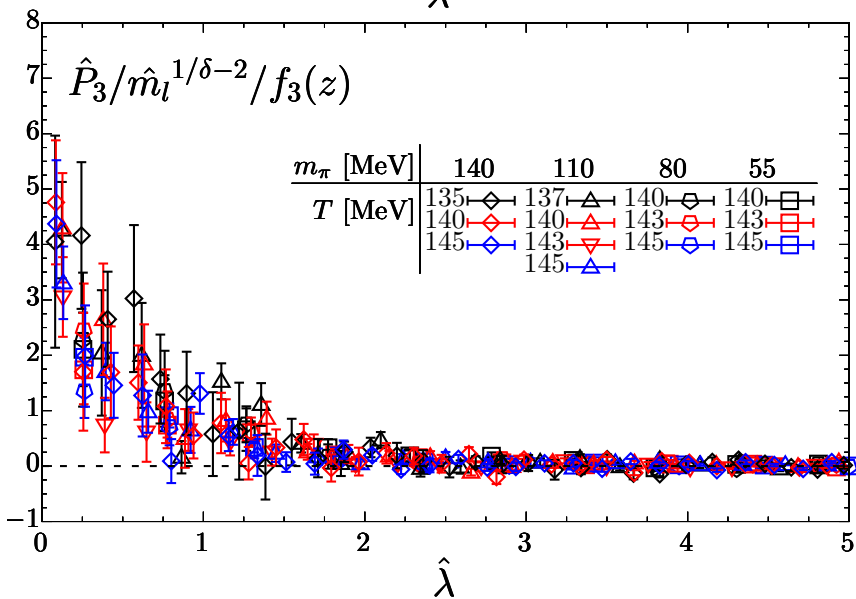
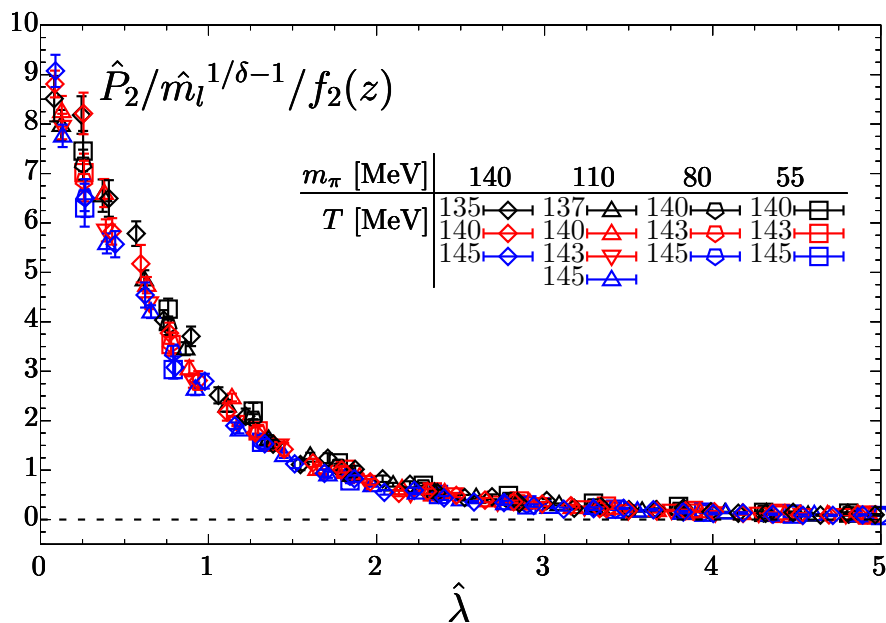
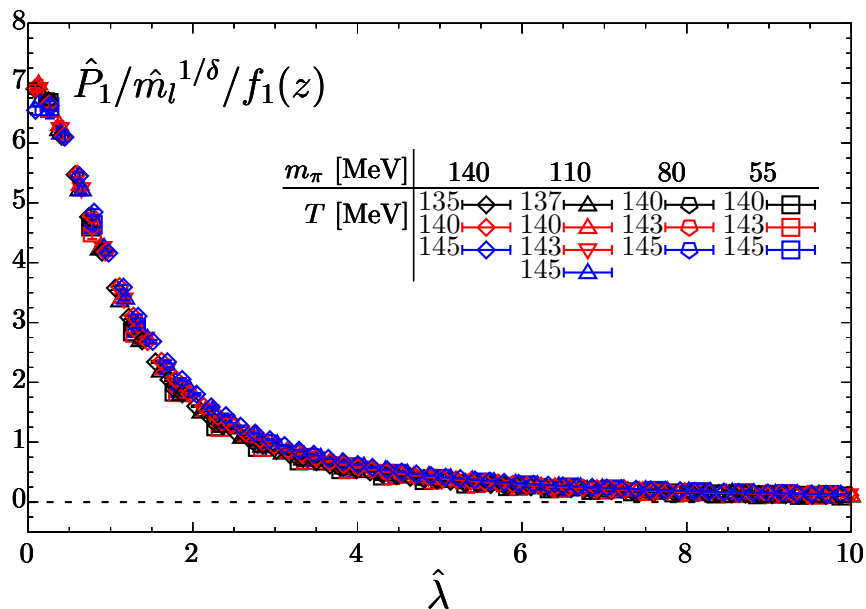
$$\hat{P}_n(\hat{\lambda}) = m_s^2(m_l/m_s)P_n(\lambda)/T_c^4$$

$$\hat{\lambda} = \lambda/m_l$$

Strong quark mass and temperature dependence



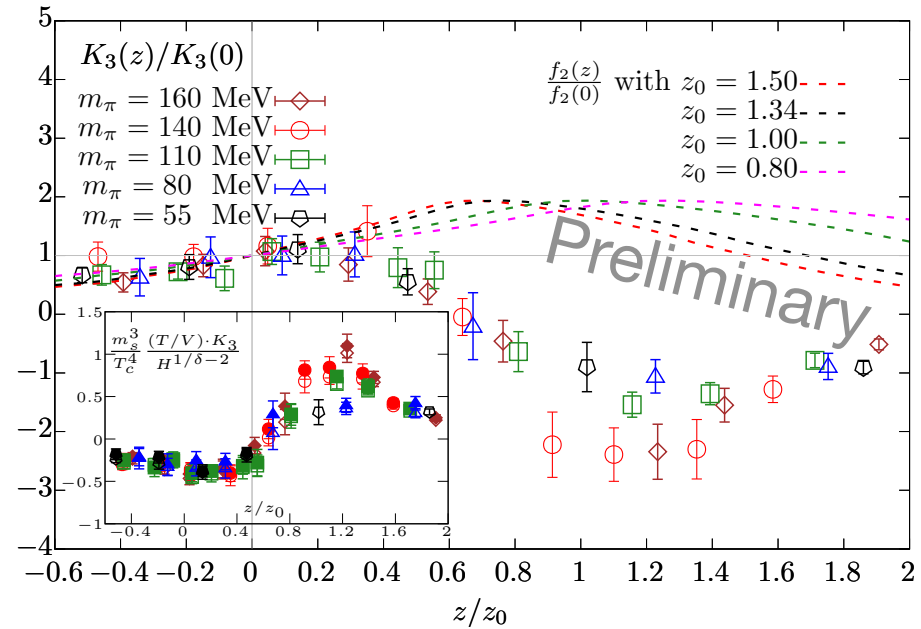
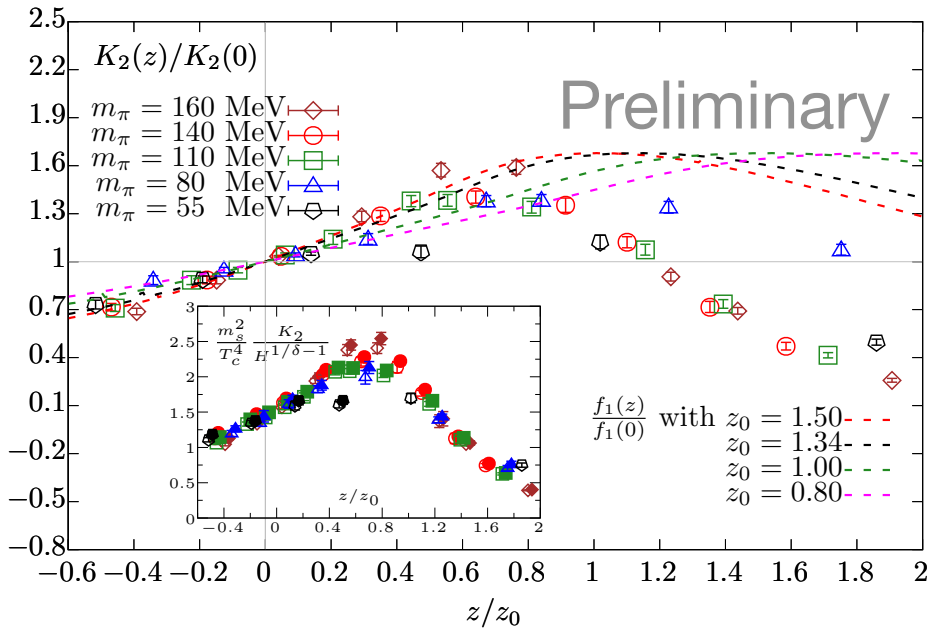
# Chiral observables and spectrum of Dirac eigenvalues



Scaling works for  $T \leq 145$  MeV  $\sim T_c^0(N_\tau = 8)$

Dirac eigenvalues (energy levels of quarks) know about universality class of the QCD chiral transition

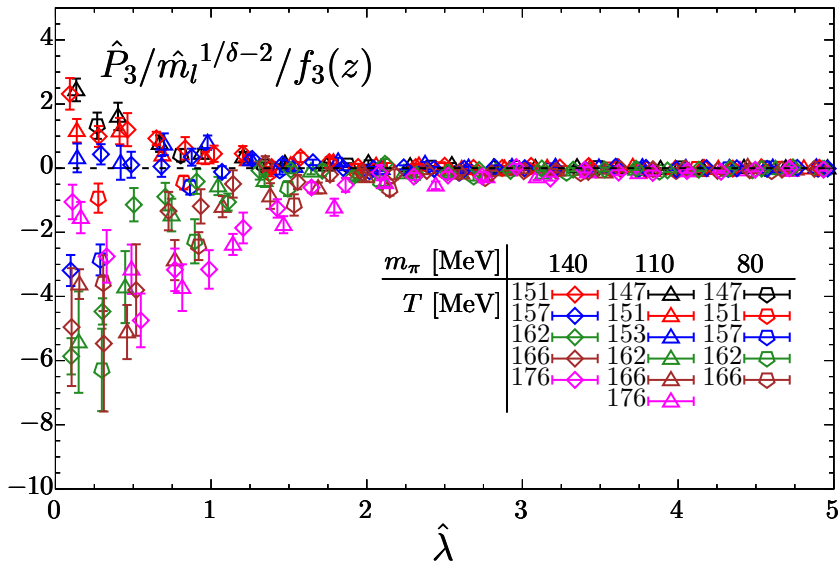
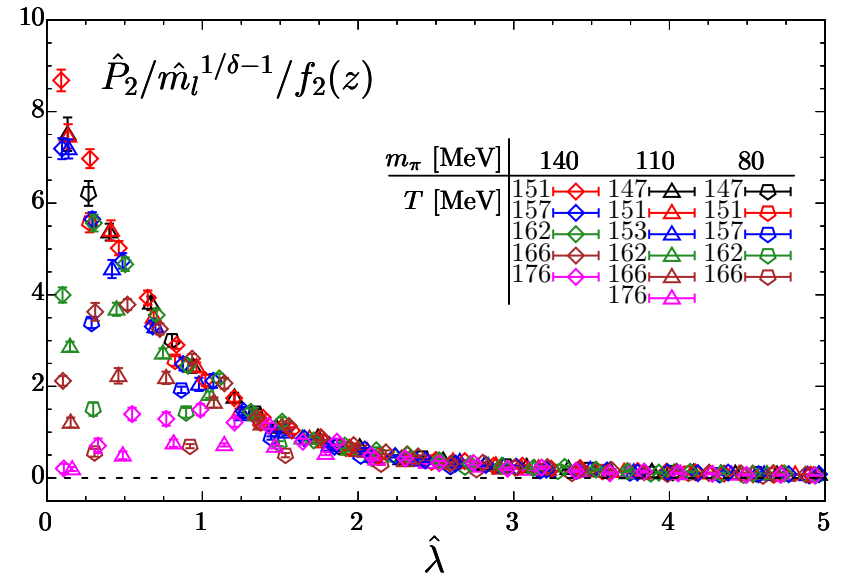
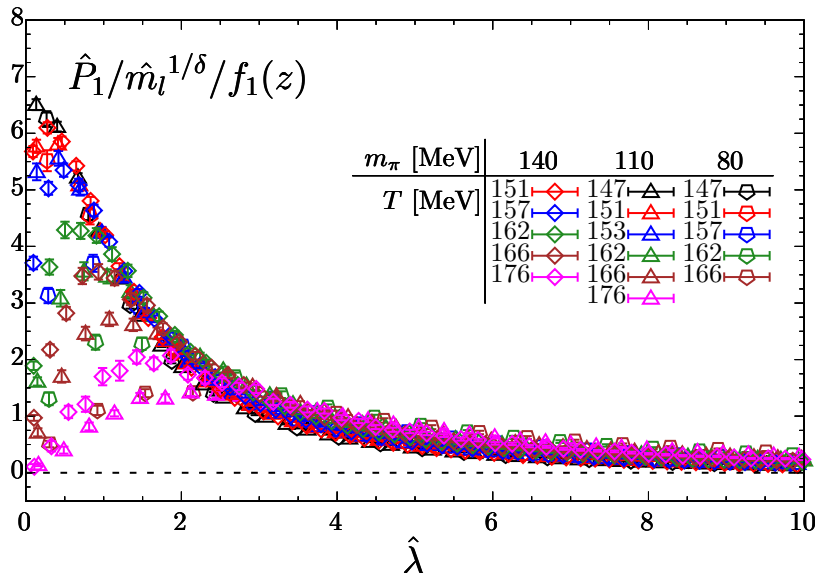
# Breakdown of scaling at higher temperatures



$O(2)$  scaling with  $\beta = 0.349$ ,  $\delta = 4.78$ ,  $z_0 = 1.83(9)$ ,  $T_c(N_\tau = 8) = 144.2(6)$  MeV

Clarke et al, PRD 103 (2021) L11501

# Breakdown of scaling at higher temperatures



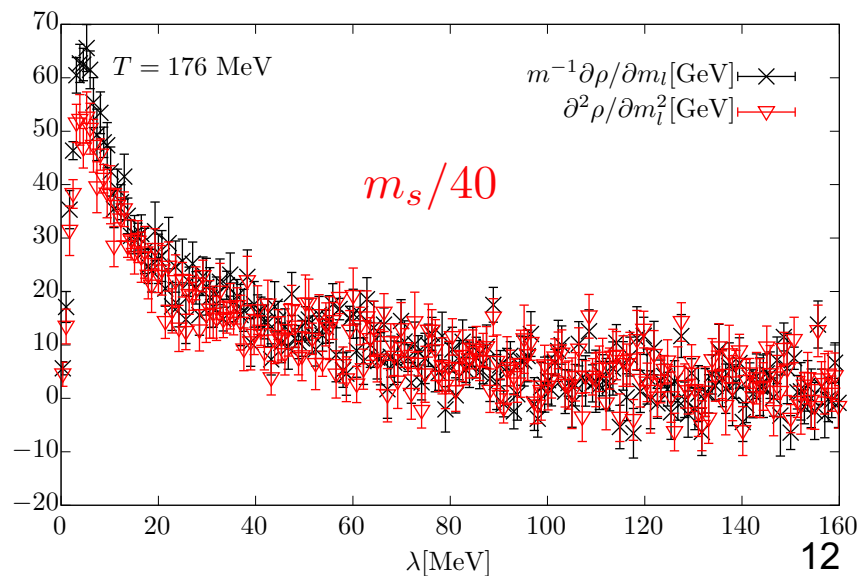
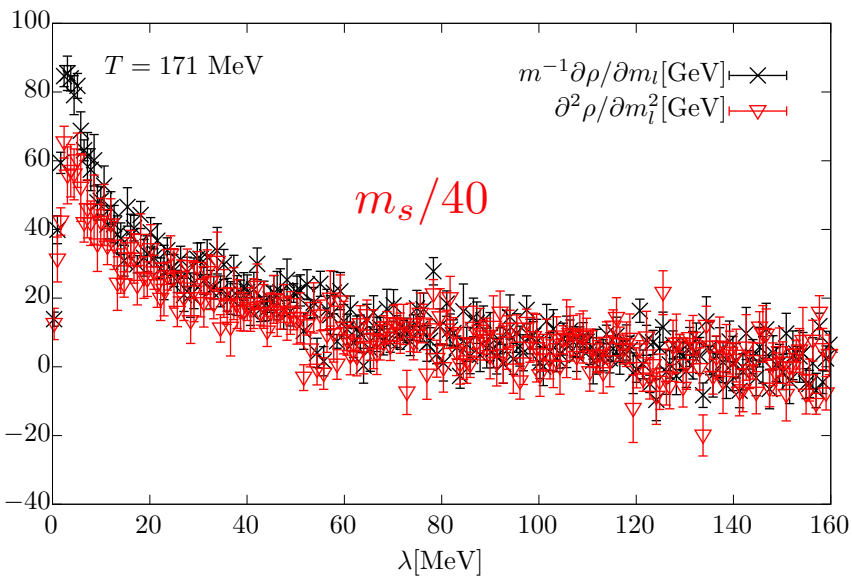
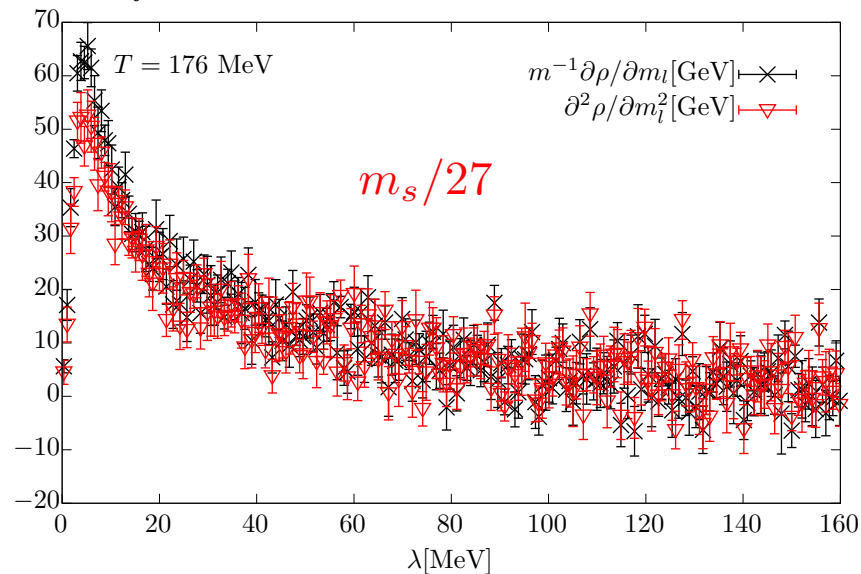
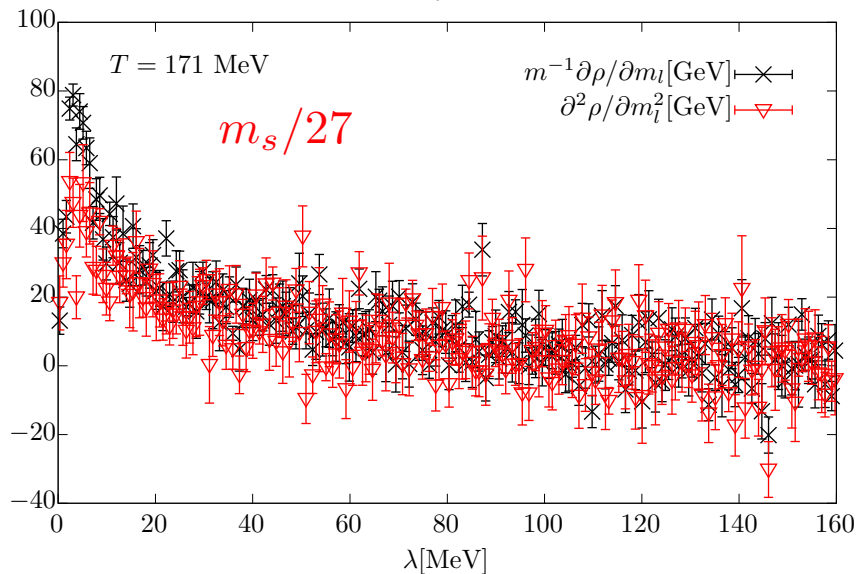
Scaling does not work for  $T > 147$  MeV

Why ?

Transition to instanton gas ?

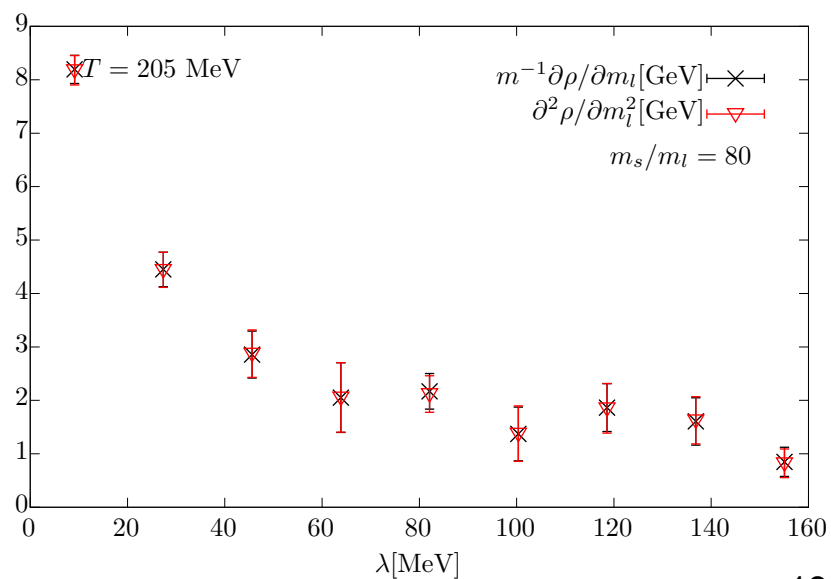
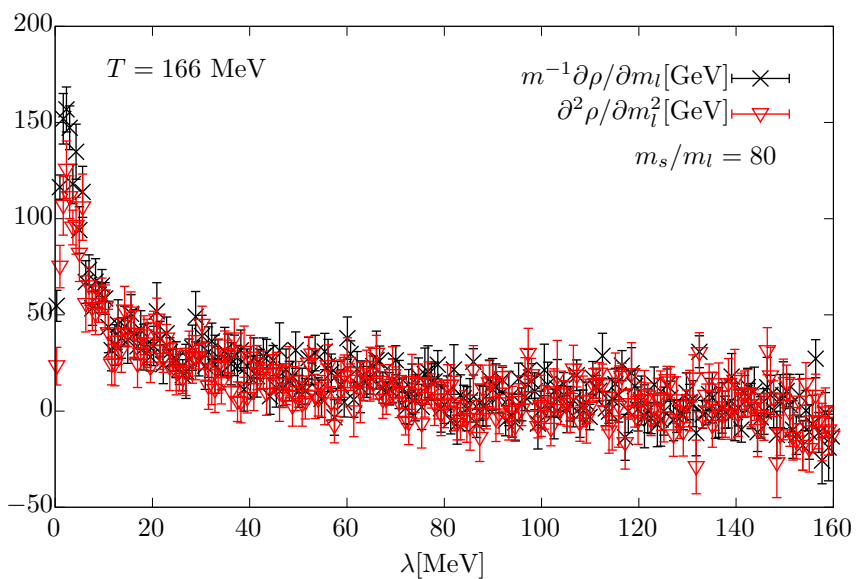
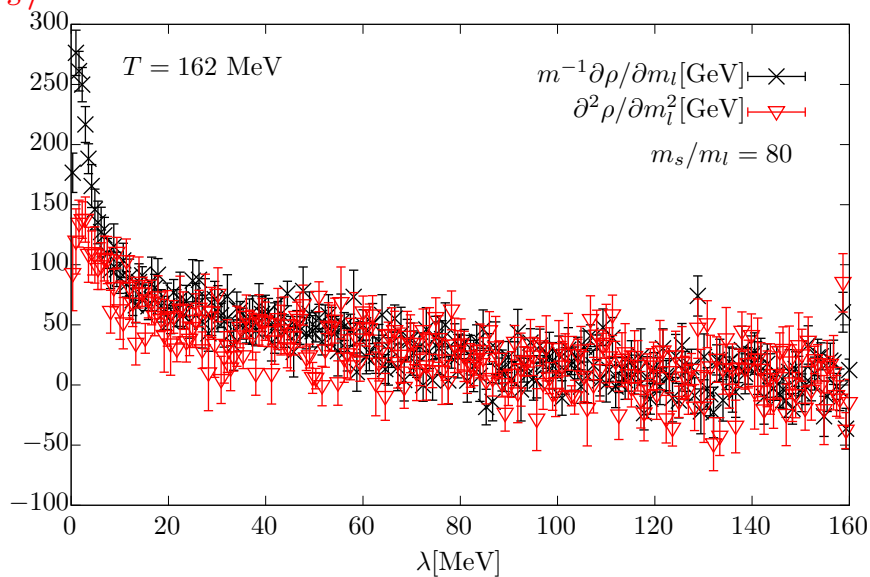
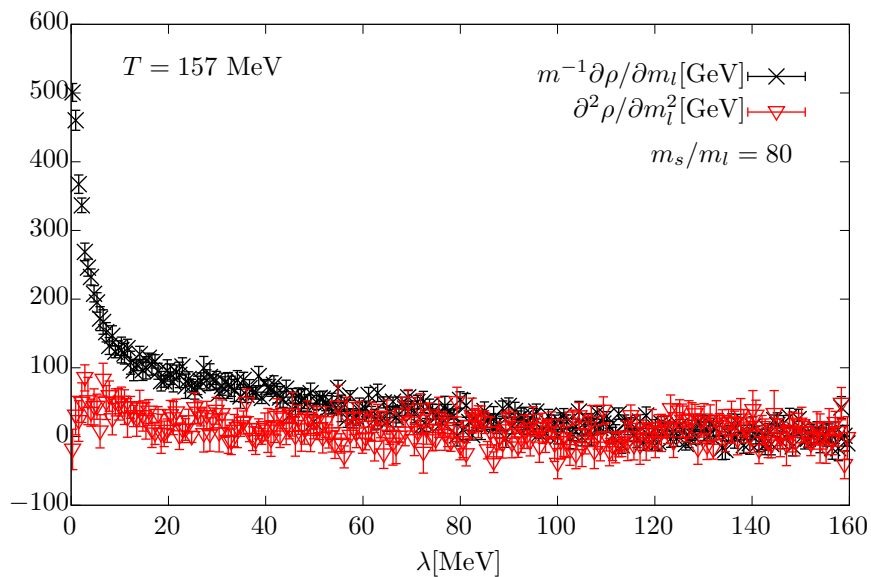
# Spectral density of Dirac eigenvalues and instanton gas

Instanton gas:  $\rho \sim m_l^2$  vs.  $O(N)$  scaling  $\rho \sim m_l^n$ ,  $n < 2$



# Spectral density of Dirac eigenvalues and instanton gas

$m_s/80$



# Summary

- We establish a novel relation:

$$\mathbb{K}_n(\bar{\psi}\psi) = \int [P_{\mathcal{U}}(\lambda_1; m_l) P_{\mathcal{U}}(\lambda_2; m_l) \dots P_{\mathcal{U}}(\lambda_n; m_l)] \prod_{j=1}^n d\lambda_j \equiv \int P_n(\lambda) d\lambda$$

$n^{\text{th}}$  cumulant of the  
chiral condensate

$n$ -point correlations  
of quark energy spectra

- Obtained a generalization of the Banks-Casher relation:

$$\lim_{m_l \rightarrow 0} \mathbb{K}_n(\bar{\psi}\psi) = \lim_{m_l \rightarrow 0} = (2\pi)^n \mathbb{K}_n[\rho_{\mathcal{U}}(0)]$$

- Found a microscopic encoding of macroscopic universality:

$$P_n(\lambda) = m_l^{1/\delta - n + 1} f_n(z) g_n(\lambda/m_l)$$

- Universal behavior of microscopic QCD Dirac eigenvalues (quark energy levels) in the limit of vanishing quark masses also holds for the physical value of the light quark masses  $\Rightarrow$  an alternative method to study universal  $O(N)$  scaling
- For  $T > 160$  MeV and sufficiently small quark masses we see the onset of instanton gas like behavior,  $\rho(\lambda) \sim m_l^2$

# Back-up :

