



理化学研究所 数理創造プログラム
RIKEN Interdisciplinary Theoretical and Mathematical Sciences Program



Machine Learning for QCD Matter: from Inverse Problems to Generative Models

Lingxiao Wang(王凌霄) (RIKEN)

July 4, 2024

EMMI Workshop at the University of Wrocław - Aspects of Criticality II

Prog.Part.Nucl.Phys. 104084(2023);
Phys. Rev. D 103, 116023, Phys. Rev. C 106, L051901, Phys. Rev. D 107, 083028, Phys. Rev. D 106, L051502;
Chin. Phys. Lett. 39, 120502, Phys. Rev. D 107, 056001, JHEP05(2024)060.

DEEP-IN Working Group

[Concept](#)
[Activities](#)
[Facilitators](#)
[Members](#)
[Contact](#)

DEEP-IN SCIENCE

深入科学

CONCEPT

“DEEP learning for INverse problems (DEEP-IN)” in Sciences Working Group

The essence of discovery in sciences has always been rooted in the reverse engineering of natural phenomena and observational data. This paradigm of deducing the underlying laws of nature from observable outcomes forms the cornerstone of our scientific inquiry. **The DEEP-IN working group is established with the recognition that the elucidation of such complex phenomena demands a fusion of physics insights and advanced deep learning methodologies.**

In response to the evolving landscape of scientific research, our objective is to integrate cutting-edge **deep learning techniques, alongside generative models and other advanced statistical learning methods**, into the toolkit of scientists.

The DEEP-IN working group at [RIKEN-iTHEMS](#) is dedicated to creating an interdisciplinary platform that harnesses the transformative potential of artificial intelligence(AI). This platform is designed to **tackle inverse problems that span a diverse spectrum of sciences, from biology to physics and more in the future.**

<https://sites.google.com/view/deep-in-wg/homepage>

iTHEMS

理化学研究所 数理創造プログラム
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DEEP-IN Working Group

“DEEP learning for INverse problems (DEEP-IN) in Sciences” working group (April 1st, 2024 -)

Lattice Computations

Gert Aarts, Swansea U.
Takumi Doi, iTHEMS
Andreas Ipp, TU Wien
Tetsuo Hatsuda, iTHEMS
Yan Lyu, iTHEMS

Now mostly physicists -> **Future** more diverse scientists

BioPhysics: **Catherine Beauchemin**, iTHEMS
Condensed Matter Physics: **Steffen Backes**, iTHEMS
QCD Physics: **Kenji Fukushima**, UTokyo
Nuclear Physics: **Haozhao Liang**, UTokyo
Quantum Computing: **Enrico Rinaldi**, Quantinuum K.K./iTHEMS

Heavy-Ion Collisions

Long-Gang Pang, CCNU
Shuzhe Shi, THU
Kai Zhou, CUHK-ShenZhen

Astrophysics

Márcio Ferreira, Coimbra U.
Yuki Fujimoto, INT->iTHEMS
Akira Harada, NIT-Ibaraki
Zhenyu Zhu, TDLI->RIT

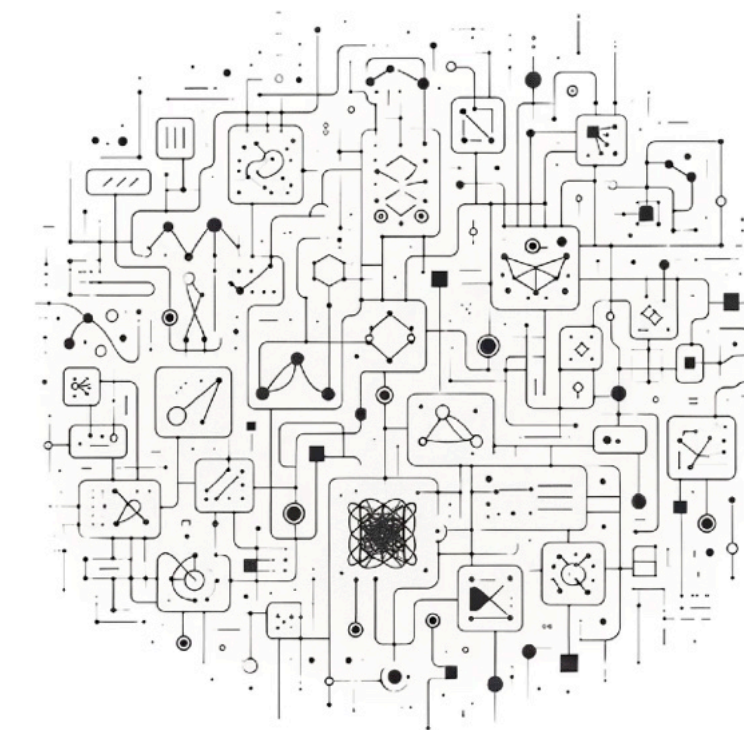
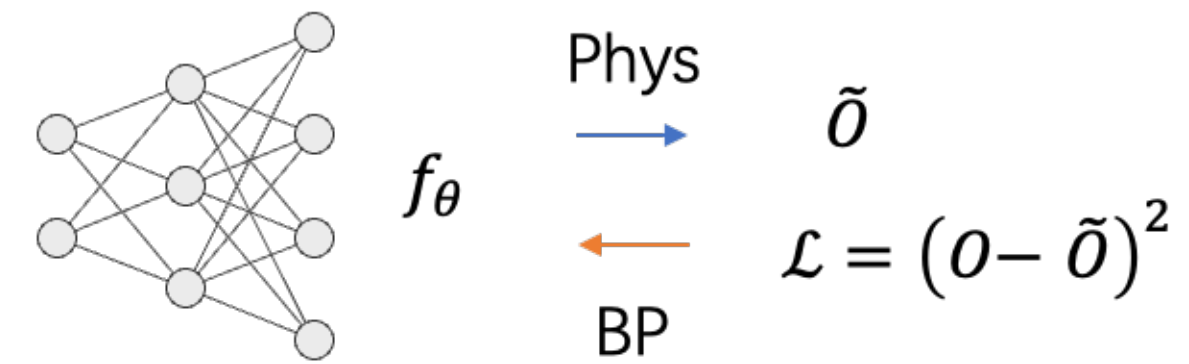
Machine Learning

Akinori Tanaka, AIP/iTHEMS
Lingxiao Wang, iTHEMS

Lingxiao Wang (RIKEN iTHEMS) *Contact at lingxiao.wang@riken.jp

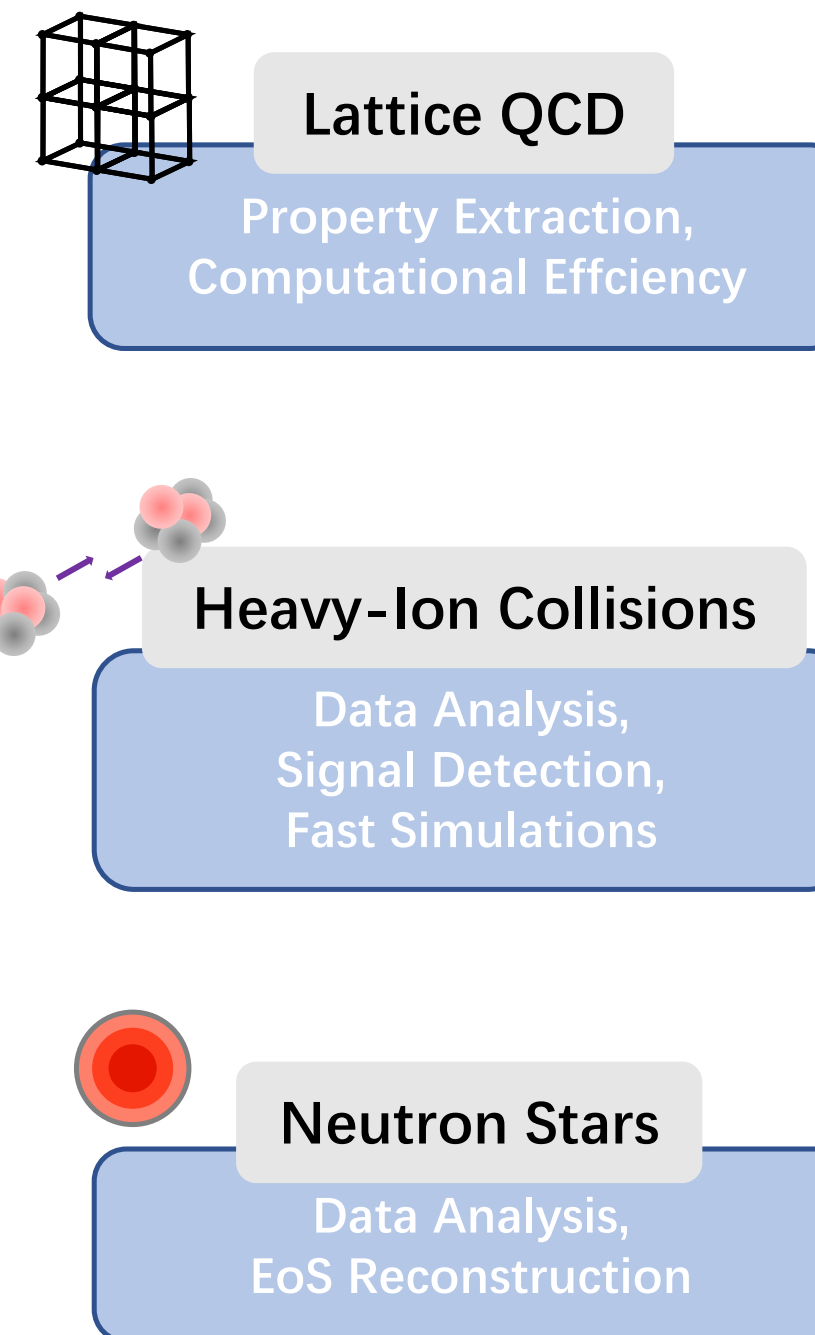
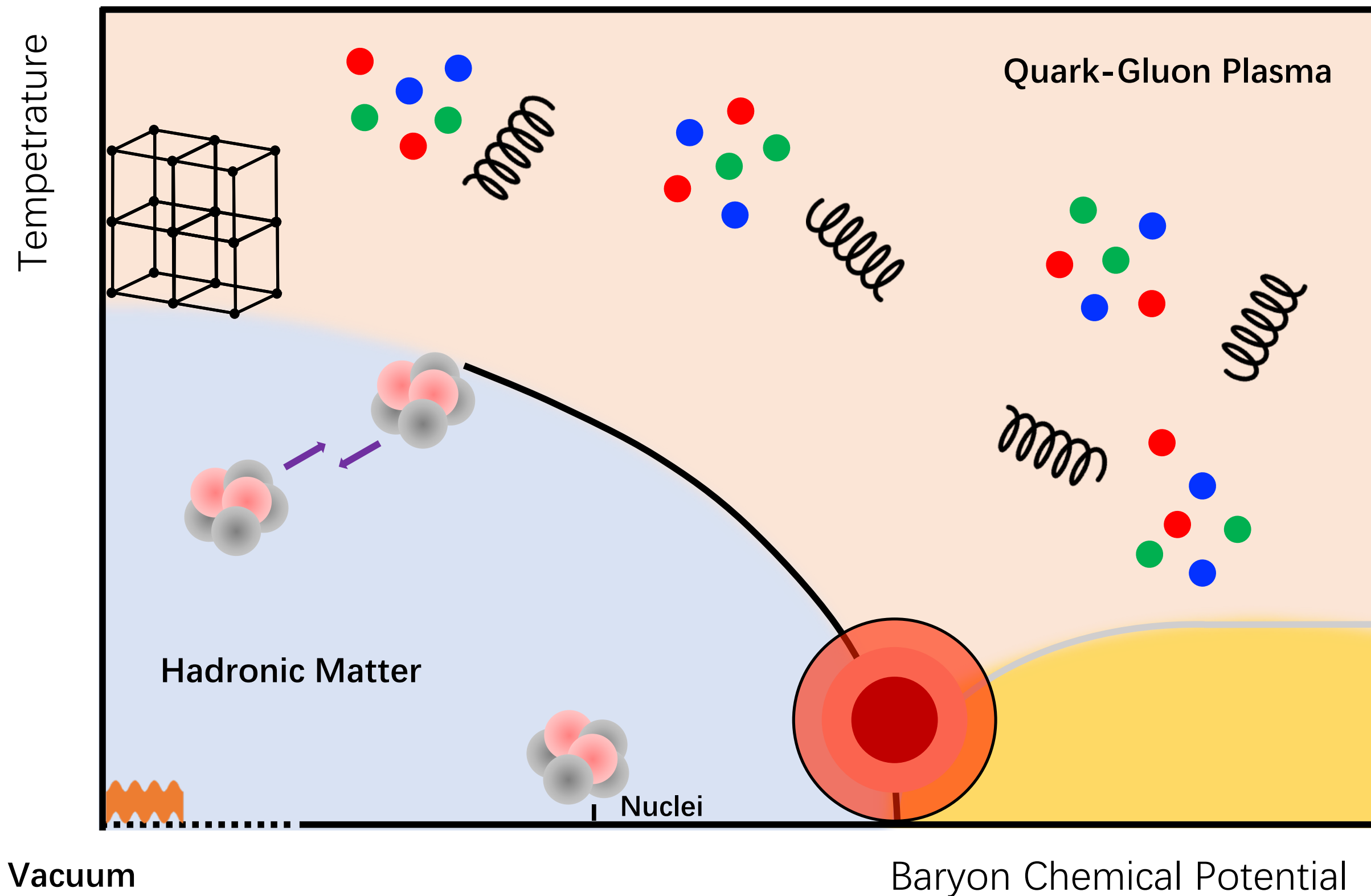
Outline

- **Machine Learning for QCD Matter**
- **Inverse Problems**
 - Data-Driven Learning
 - Physics-Driven Learning
 - Neutron Star EoSs
 - Spectral Functions
 - Hadron Forces
- **Generative Models**
- **Outlooks**

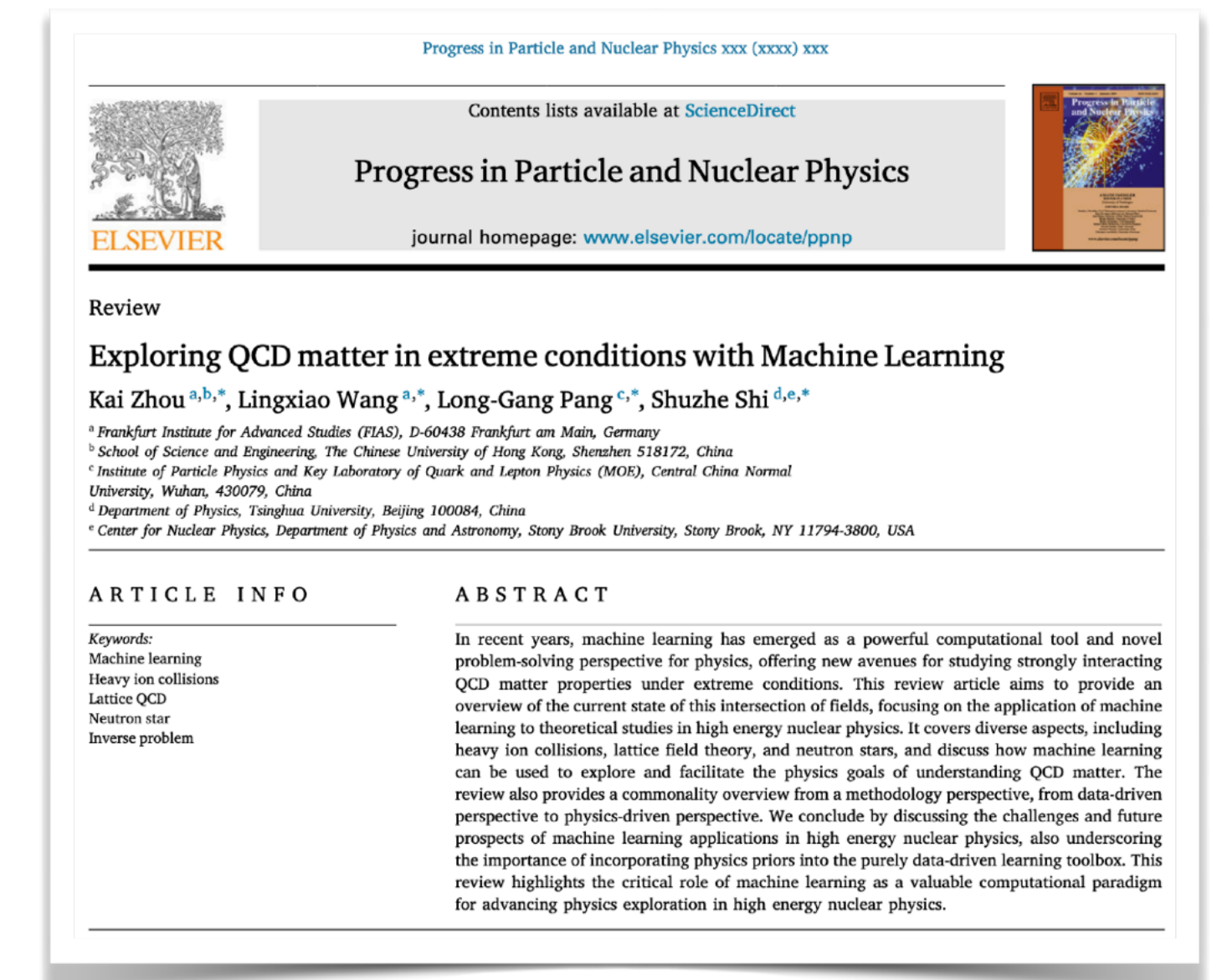


Generated by ChatGPT-4 + DALL·E

Why Machine Learning?

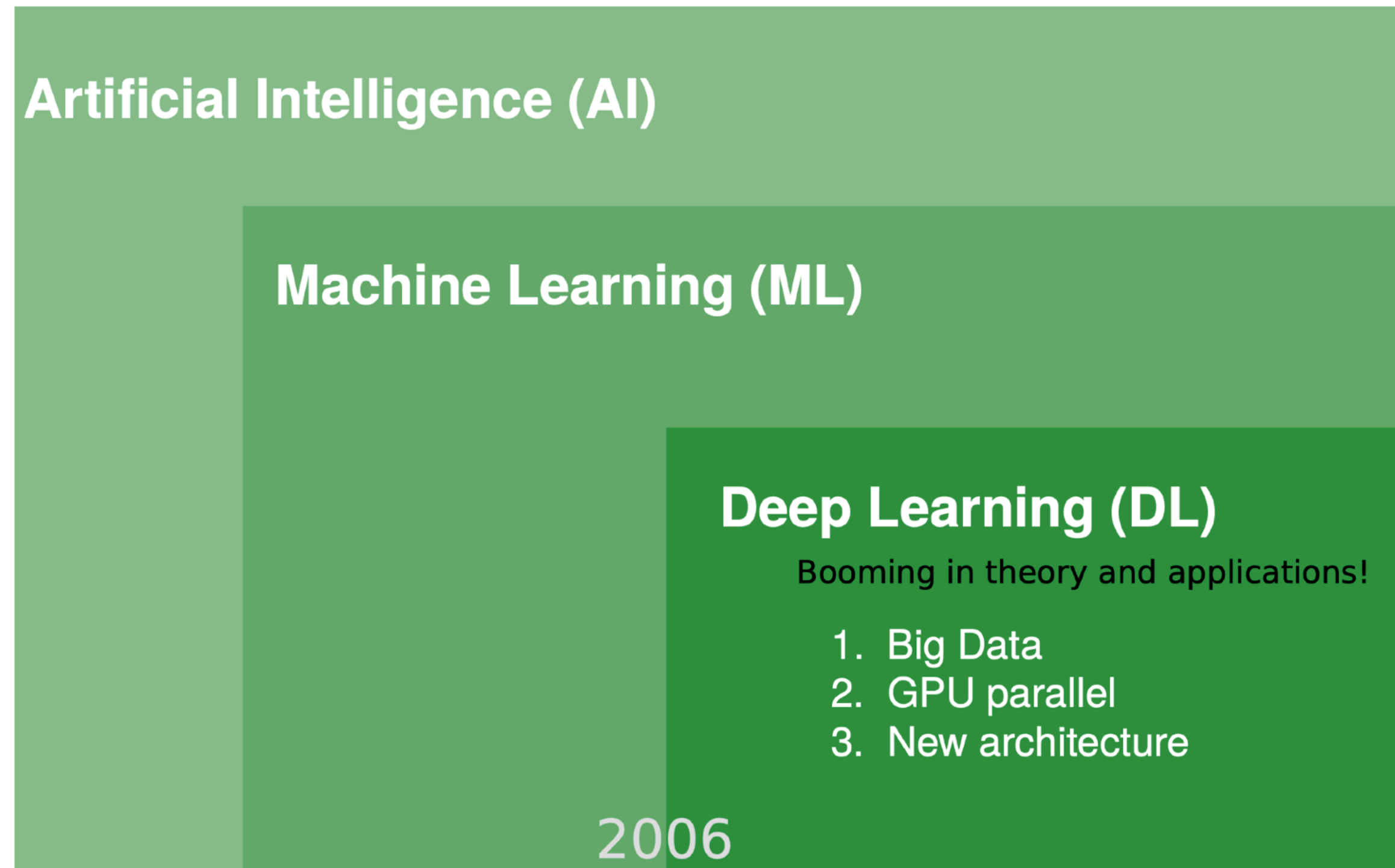


Prog.Part.Nucl.Phys. 104084(2023)



- **Heavy-Ion Collisions** : Large number of data! Complicated simulations!
- **Neutron Star** : Accumulating observations! Poor signal-noise ratio!
- **Lattice QCD** : Computationally consuming! Physics extraction!

What is ML?



Geoffrey Hinton

Machine Learning (ML) is a **subset of artificial intelligence** that **involves the creation of algorithms** that **allow computers to learn** from and make **decisions or predictions based on data**. It's essentially a way for computers to **"learn" from data** without being explicitly programmed to do so.

— ChatGPT-4

Big Data + Deep Models



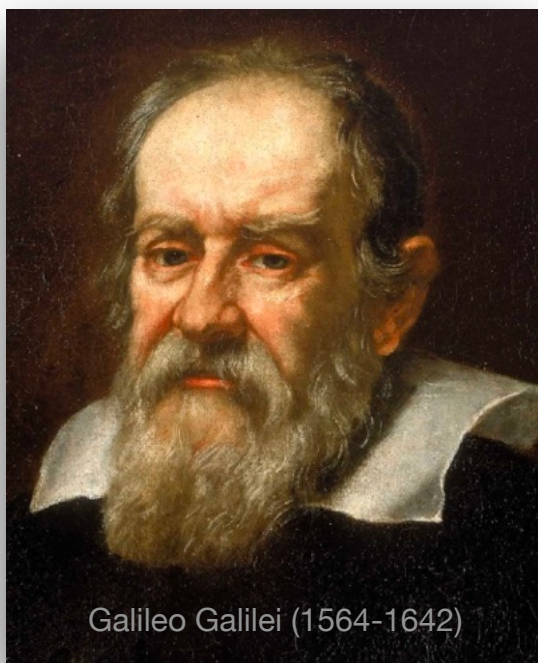
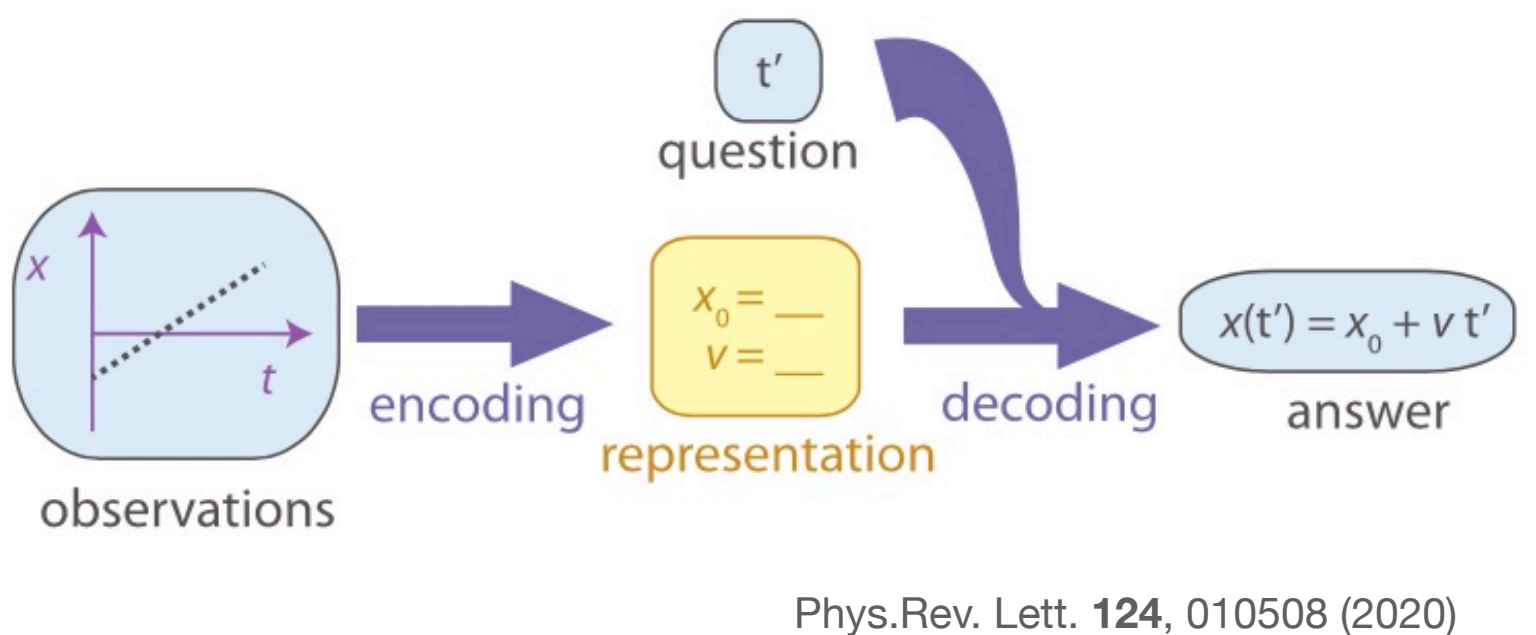
GPU



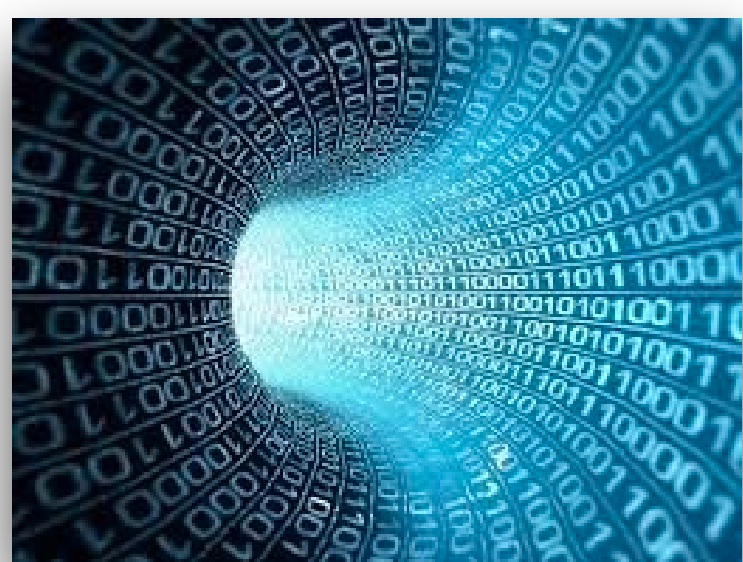
Successful Deep Learning!



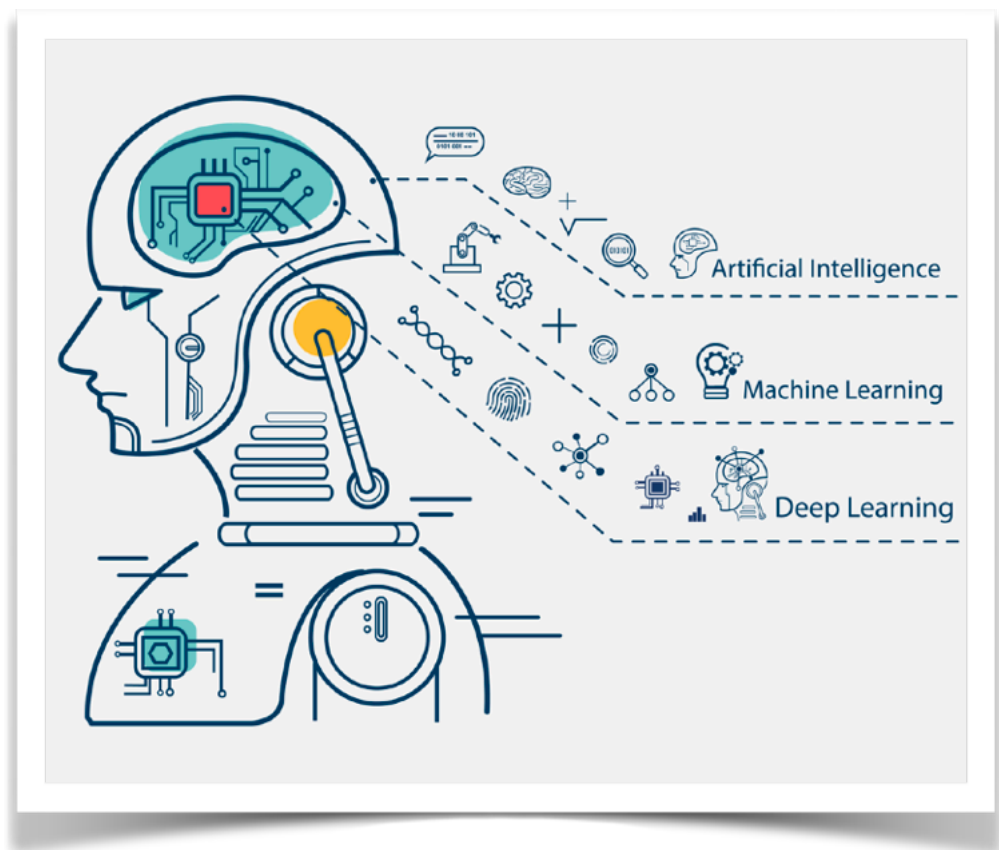
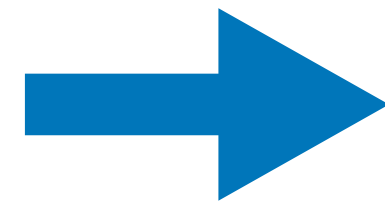
Machine Learning and Physics



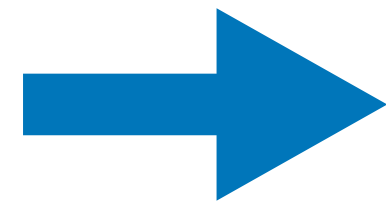
An **inverse problem** in science is the process of **inferring** from a set of **observations** the **causal factors** that produced them.



Data, X



Machine, $\{\theta\}$



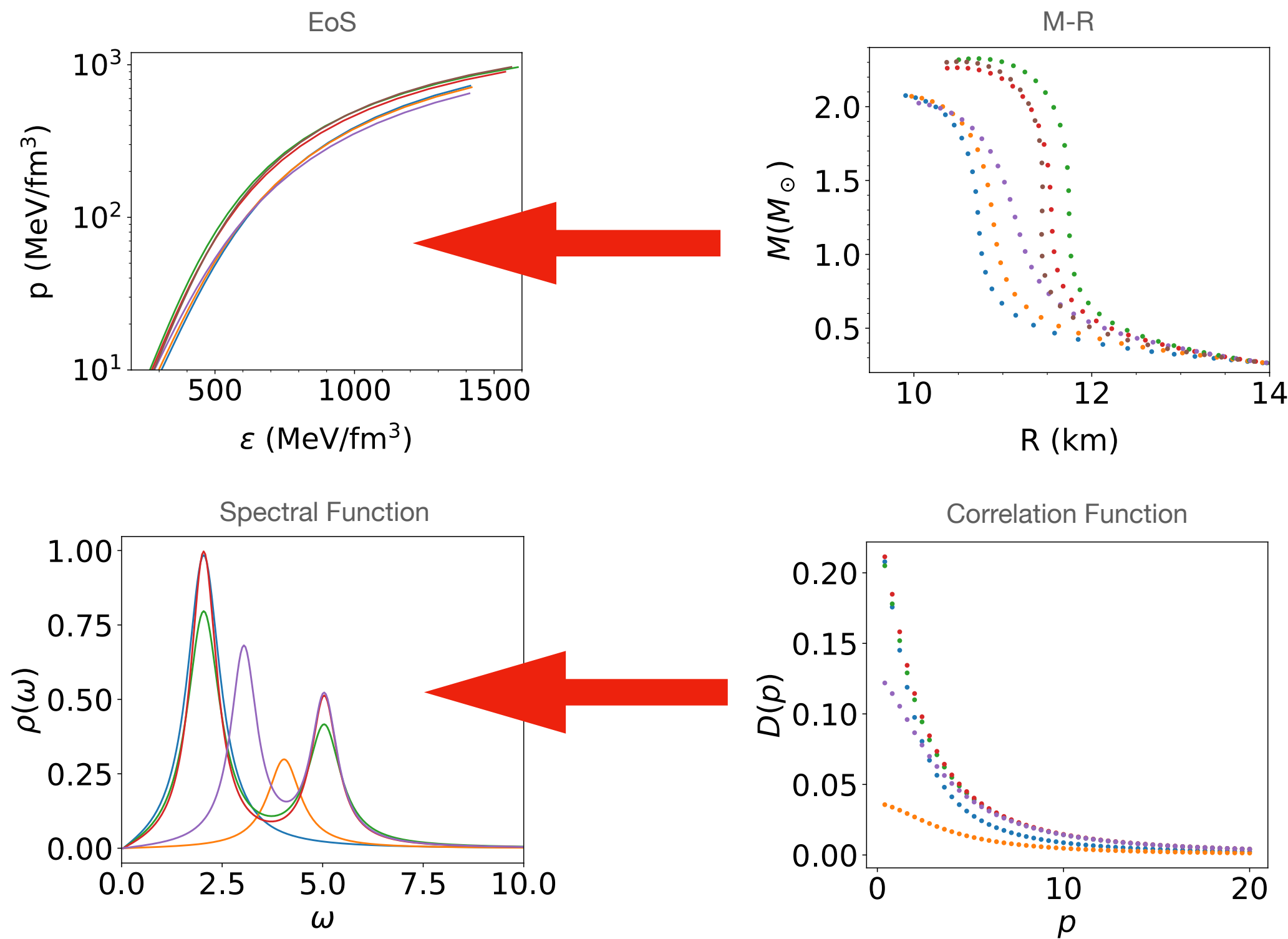
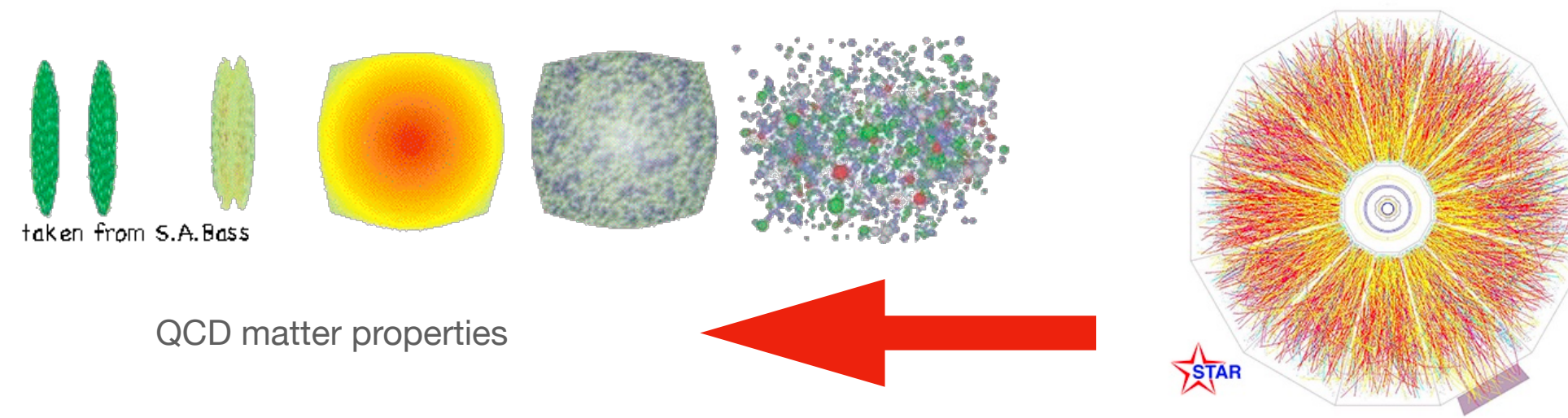
Prediction
Estimation

Inverse Problems

Physics

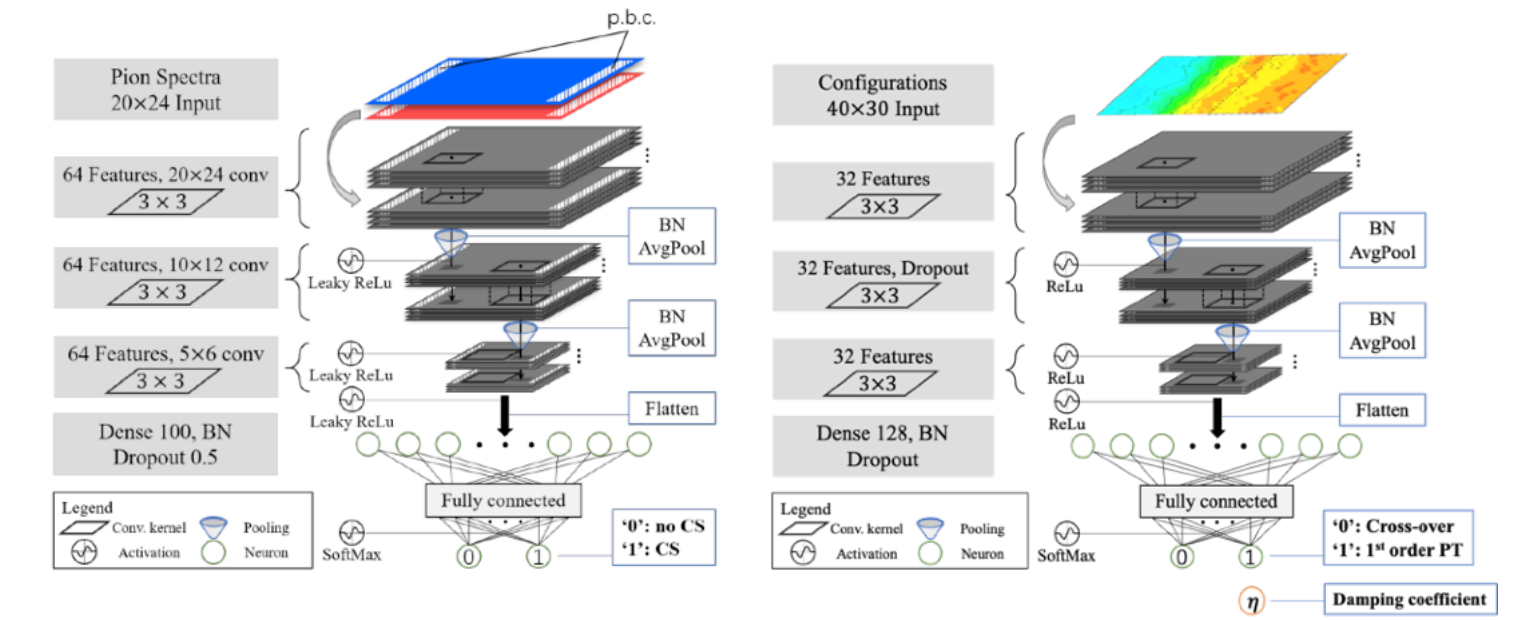


Data



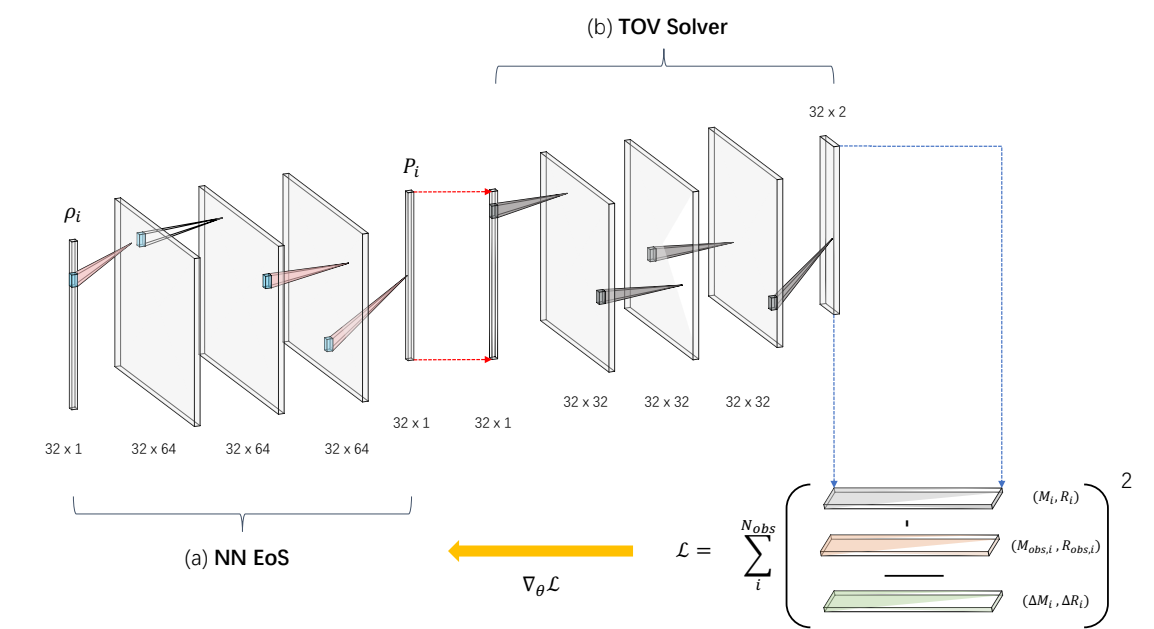
Heavy-Ion Collisions

Phys. Rev. C 106, L051901; Phys. Rev. D 103, 116023



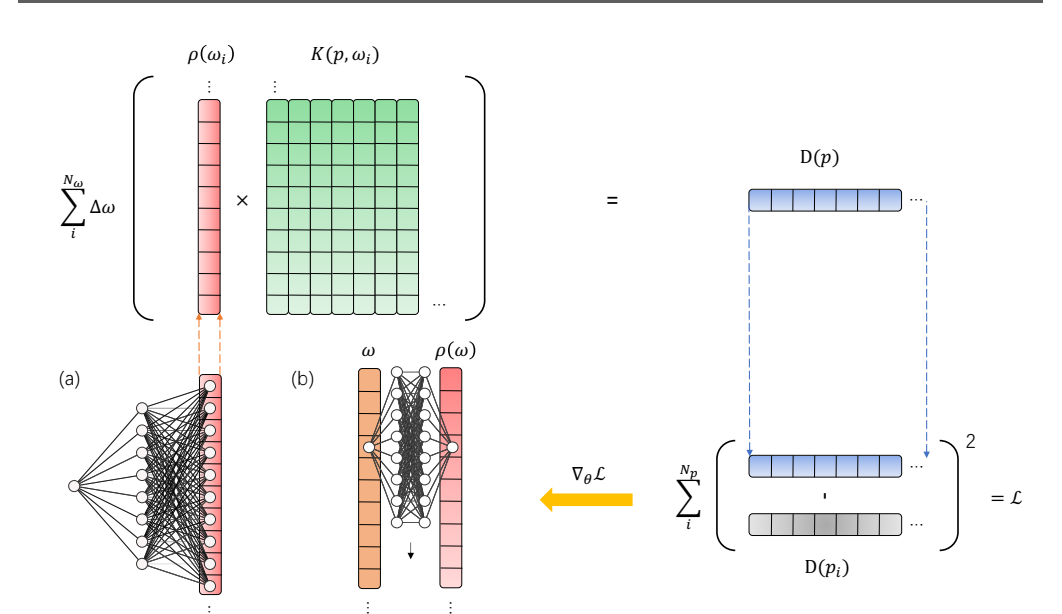
Neutron Star

Phys. Rev. D 107, 083028; JCAP08 (2022) 071



Lattice QCD

Phys. Rev. D 106, L051502; Comput. Phys. Commun. 282, 108547 (2023);



Machine Learning and Inference

Maximum Likelihood Estimation(MLE)

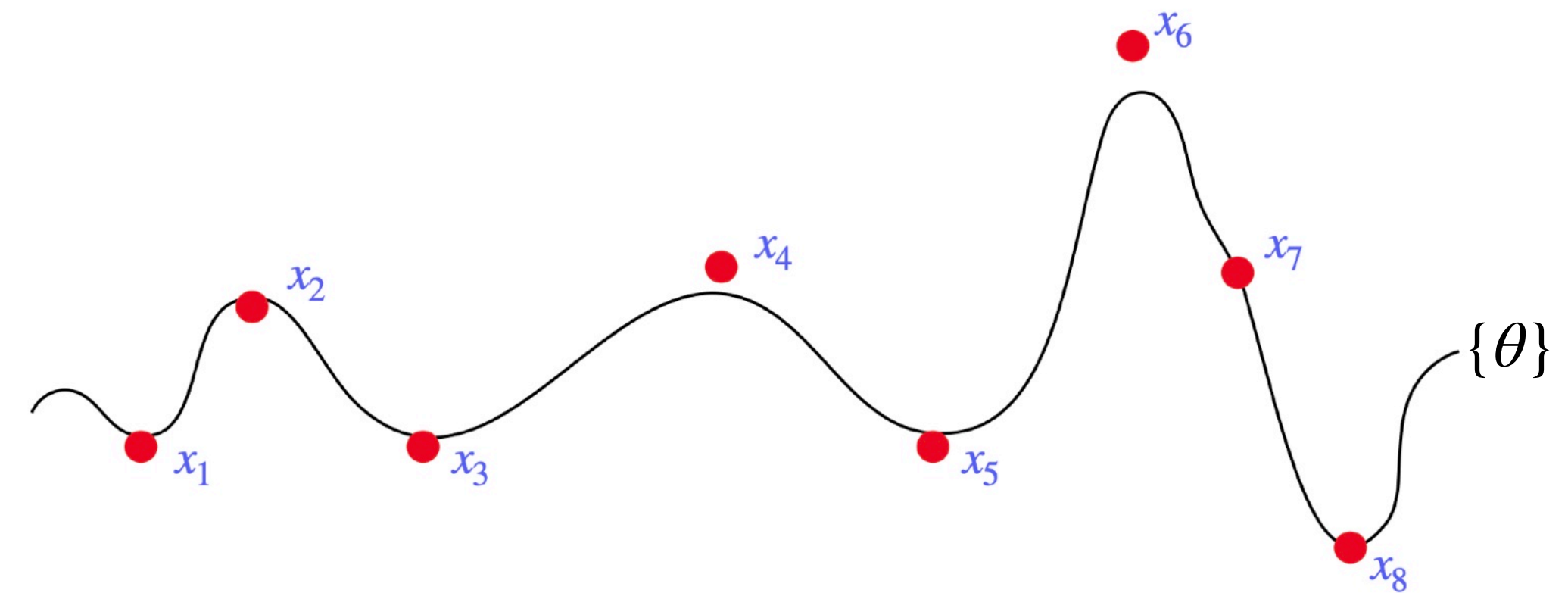
$$\max_{\theta} \prod_{i=1}^N p(\mathbf{x}_i | \theta)$$

Bayesian
Inference

Maximum A Posterior(MAP)

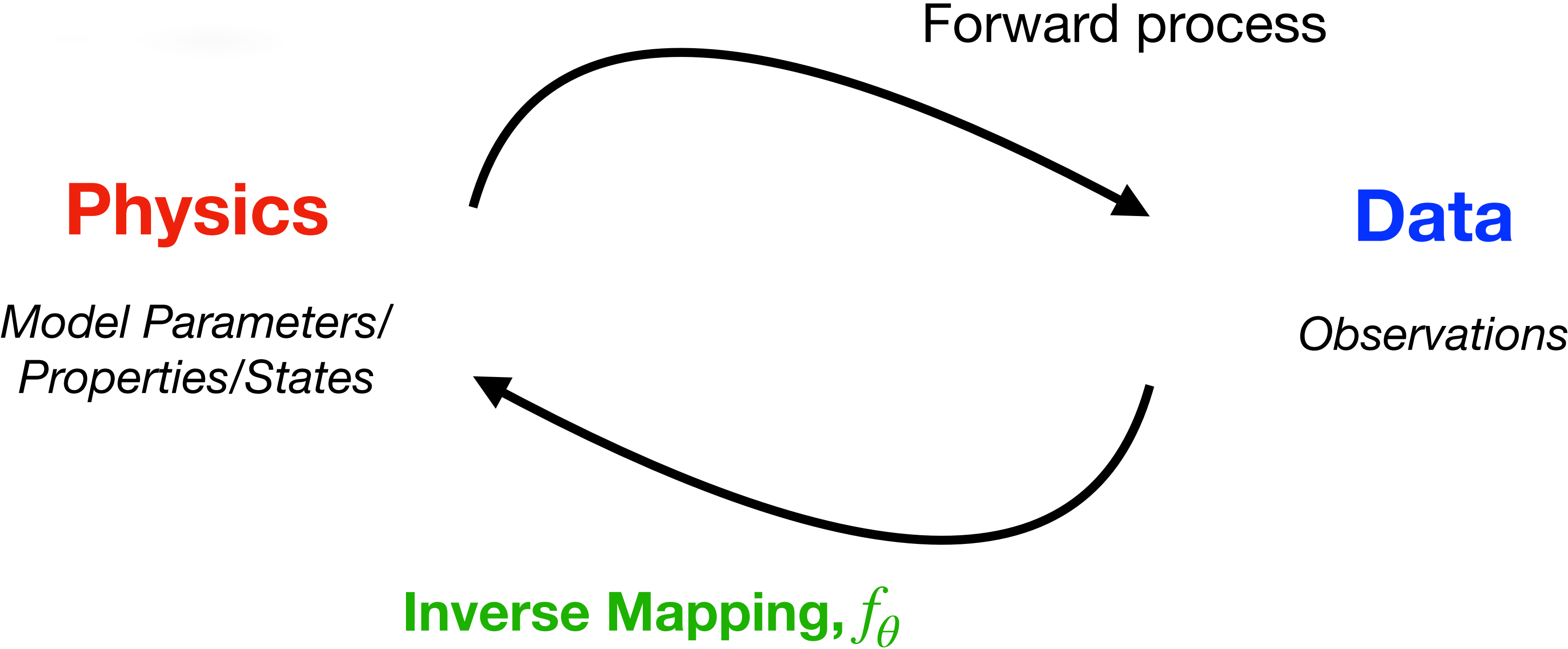
$$p(\theta | X) = \frac{p(X | \theta)\pi(\theta)}{p(X)}$$

Posterior $p(\theta | X)$, Prior $\pi(\theta)$, Evidence $p(X)$



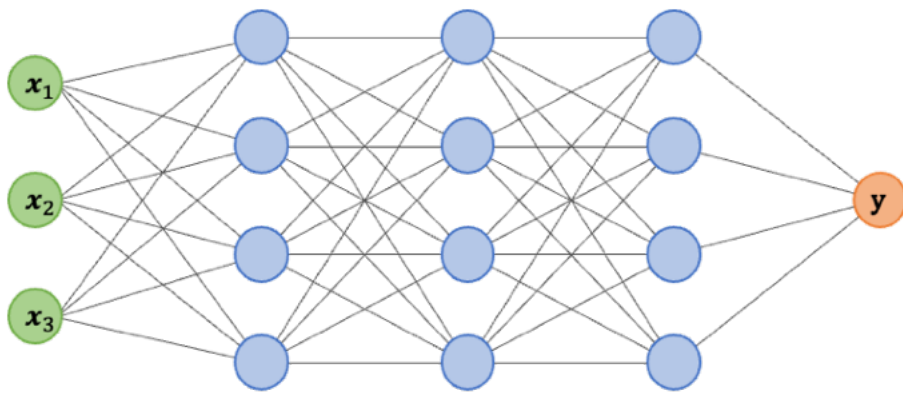
Data-Driven Learning

$$f_{\theta} : X \rightarrow Y$$

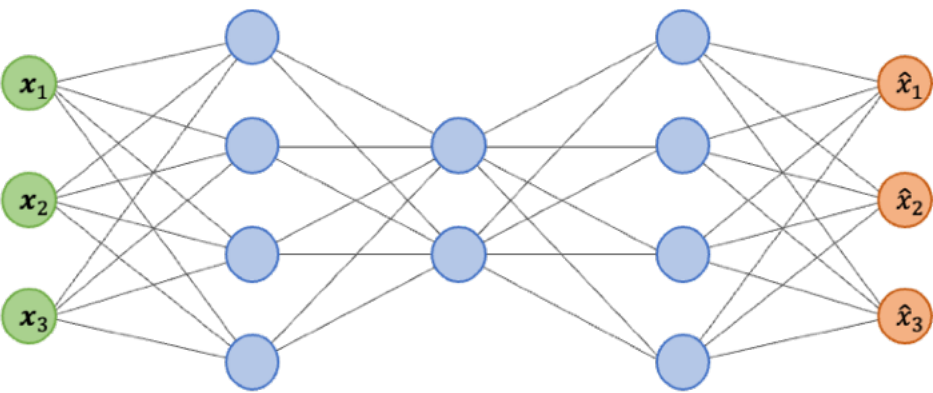


Data-Driven Learning

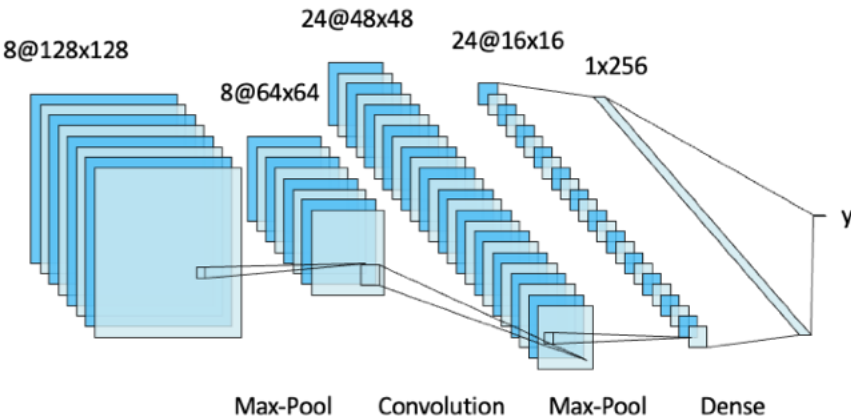
$$f_{\theta} : X \rightarrow Y$$



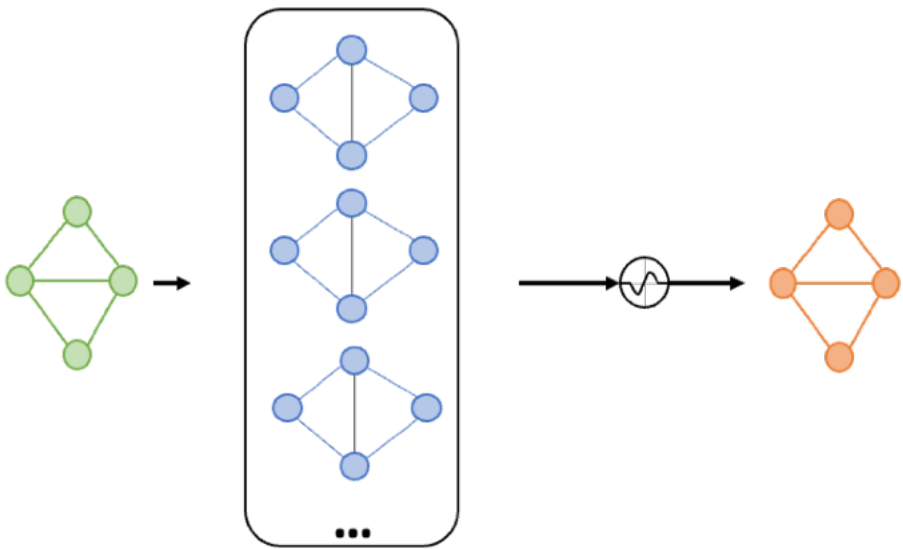
Deep Neural Network



AutoEncoder



Convolutional Neural Network



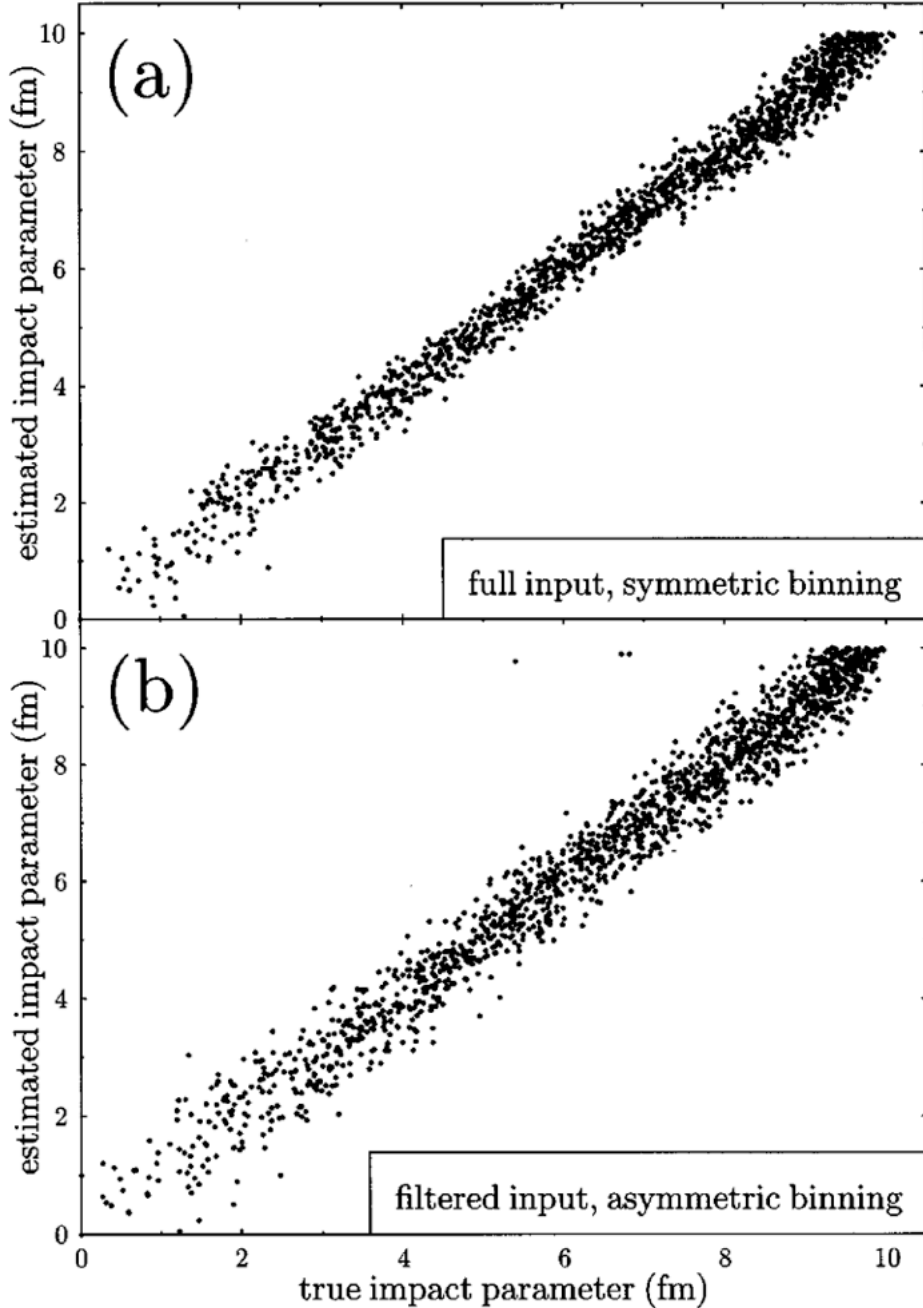
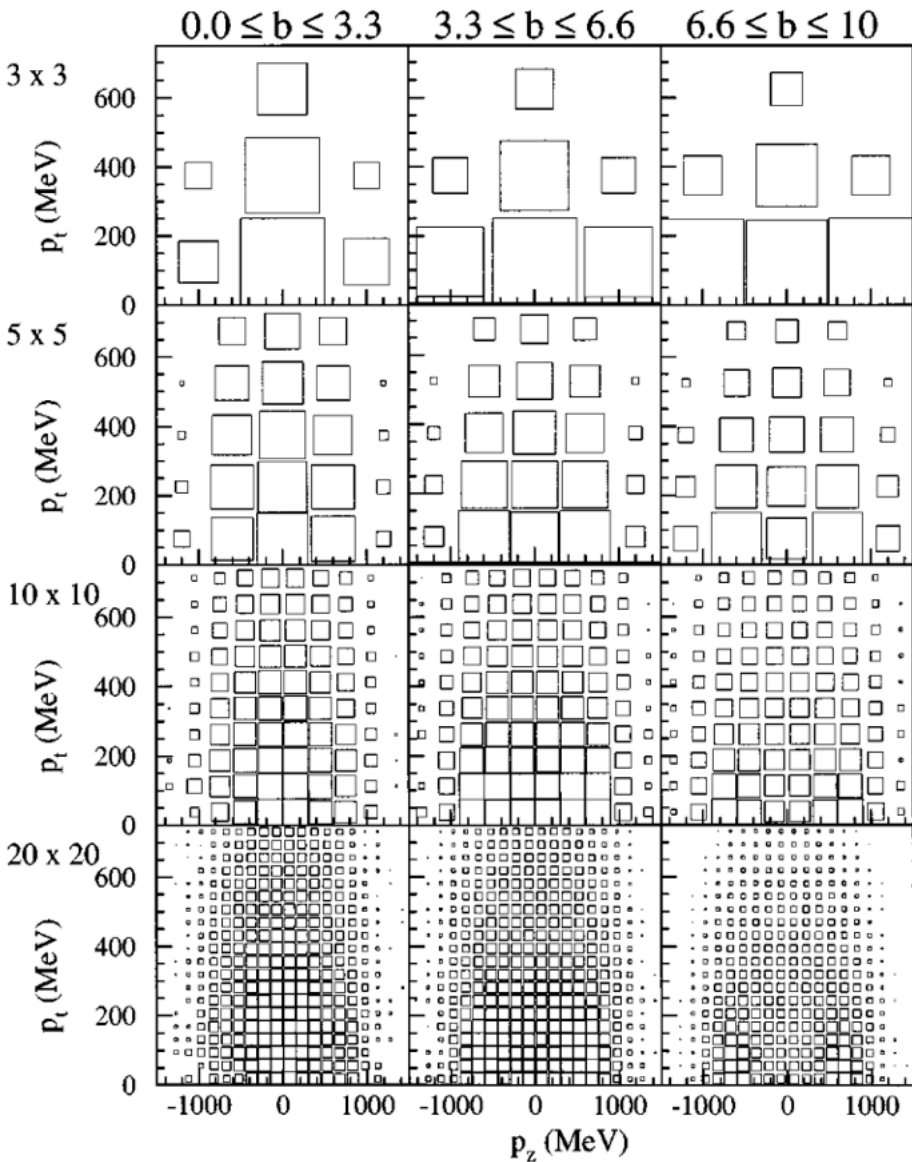
Graph Neural Network

Universal Approximation Theorem (1989,1991)

A feed-forward network with a single hidden layer containing a finite number of neurons can approximate arbitrary continuous functions.

Data-Driven Learning

Determine Impact Parameter



S. A. Bass, A. Bischoff, J. A. Maruhn, H. Stöcker, and W. Greiner, Phys. Rev. C 53, 2358 (1996)

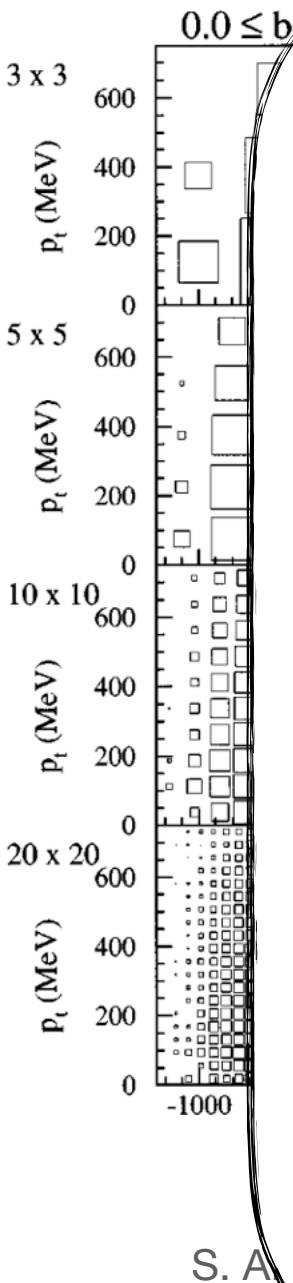
QMC data

1 hidden layer
with 20 neurons

Input 5X5

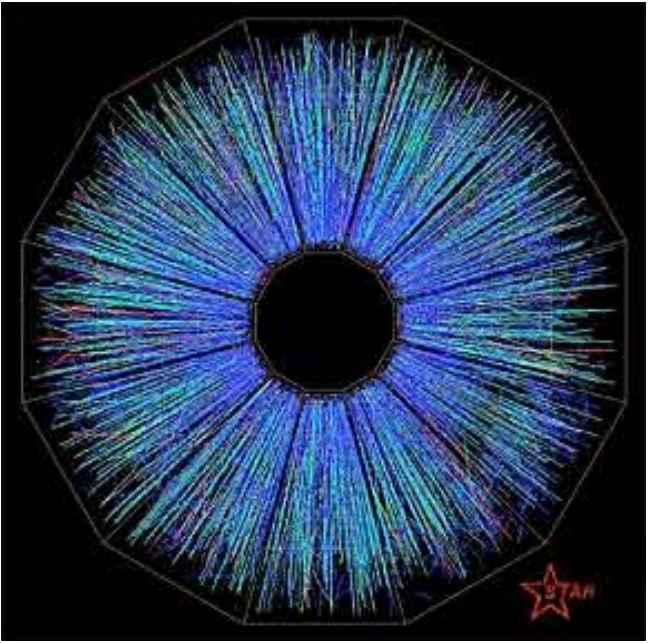
Data-Driven Learning

Determine Impact Parameter

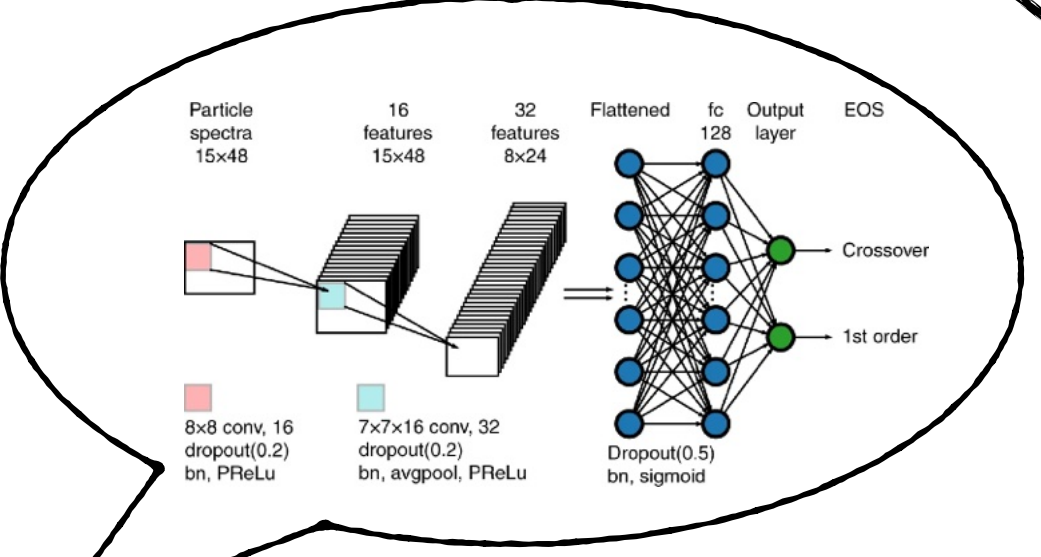


Recognizing QCD Phase Transitions

$$\rho(p_T, \Phi)$$



**Cross-Over
or
1st order PT**



Hydro data

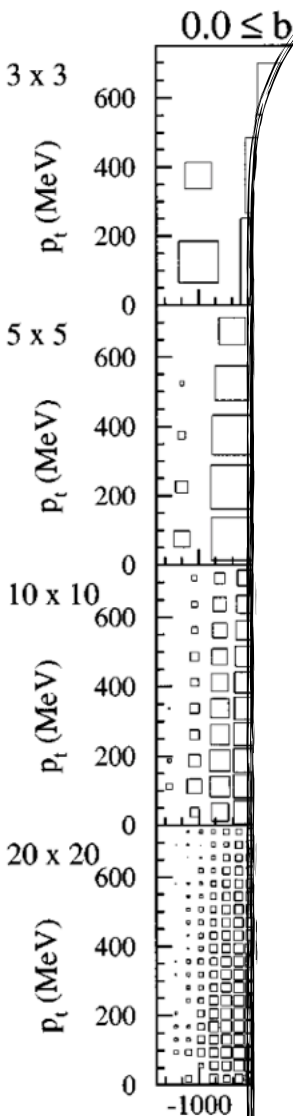
CNNs+DNNs

Input 15X48

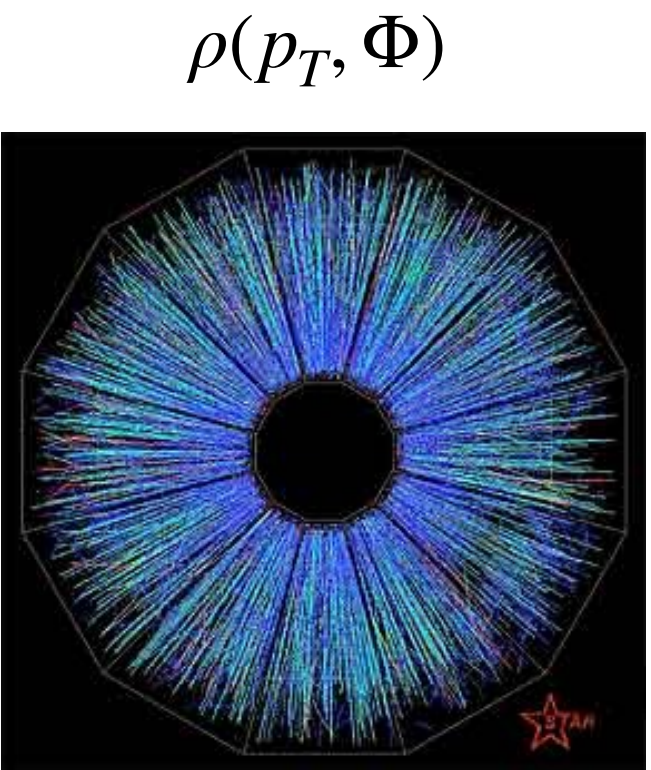
L.-G. Pang, K. Zhou, N. Su, H. Petersen, H. Stöcker, and X.-N. Wang, Nature Commun. 9, 210 (2018)

Data-Driven Learning

Determine Impact Parameter

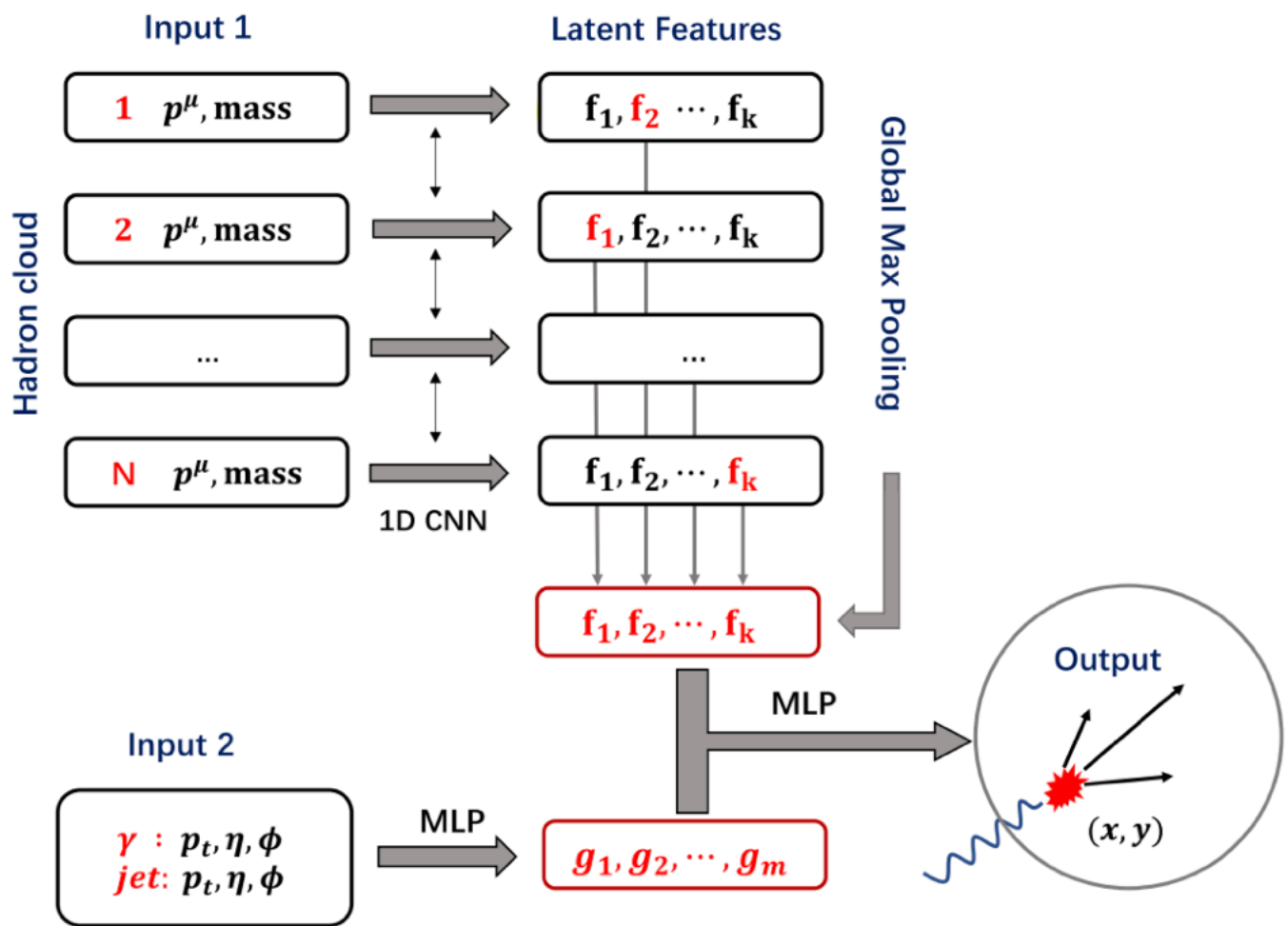


Recognizing QCD Phase Transitions

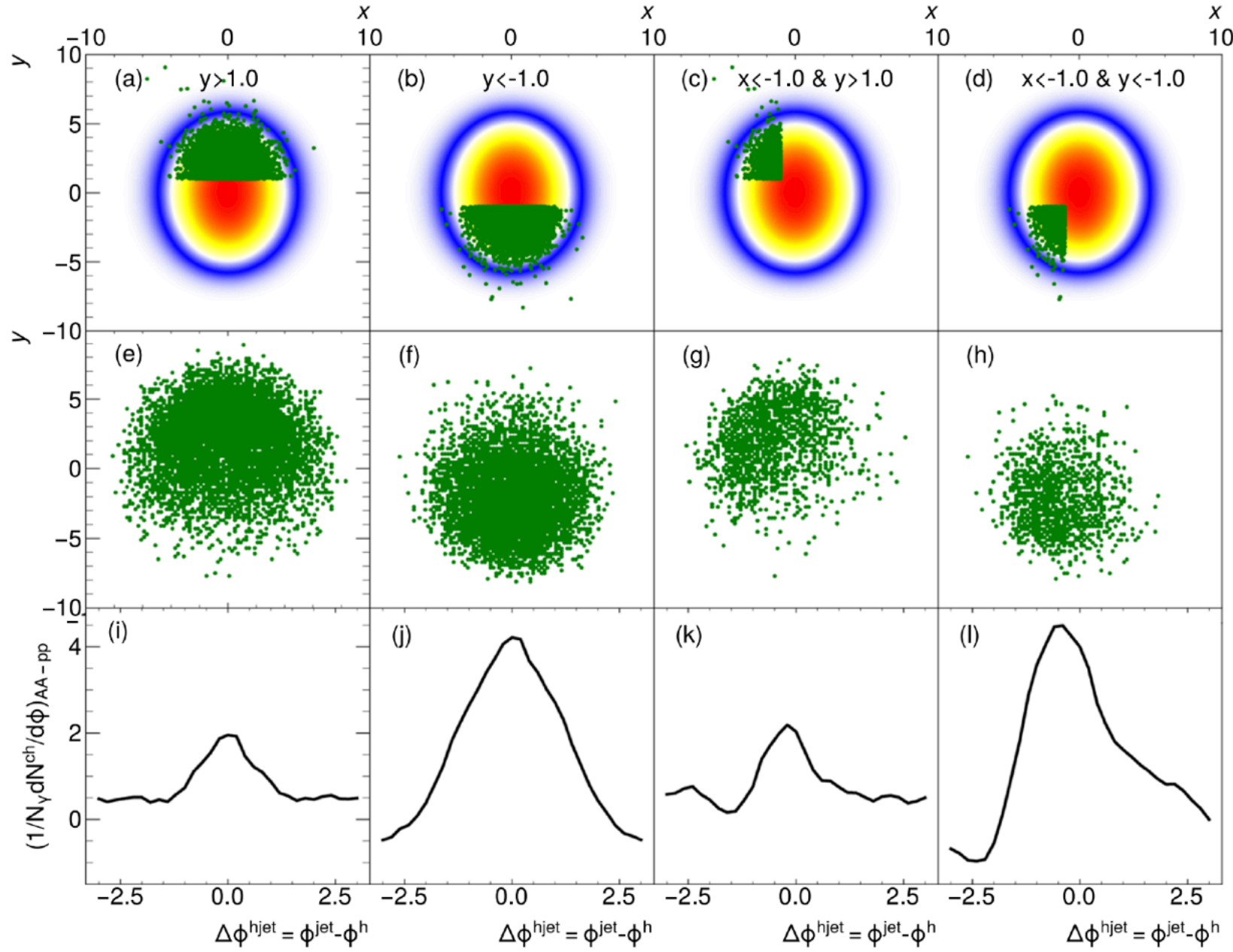


L.-G. Pang, K. Zhou, N. S.

Point Net for Jet Tomography

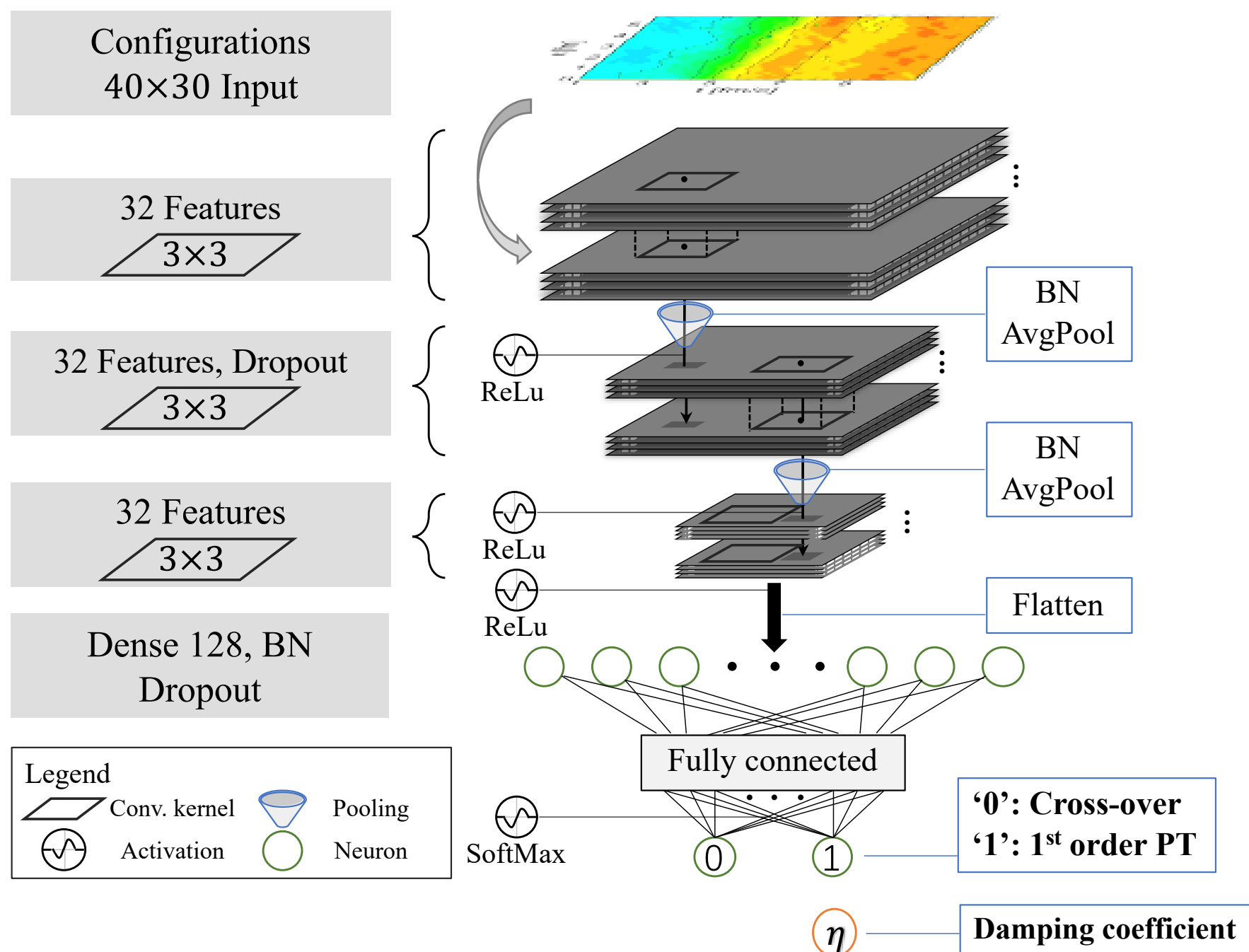


Z. Yang, Y. He, W. Chen, W.-Y. Ke, L.-G. Pang, and X.-N. Wang, Eur. Phys. J. C 83, 652 (2023).

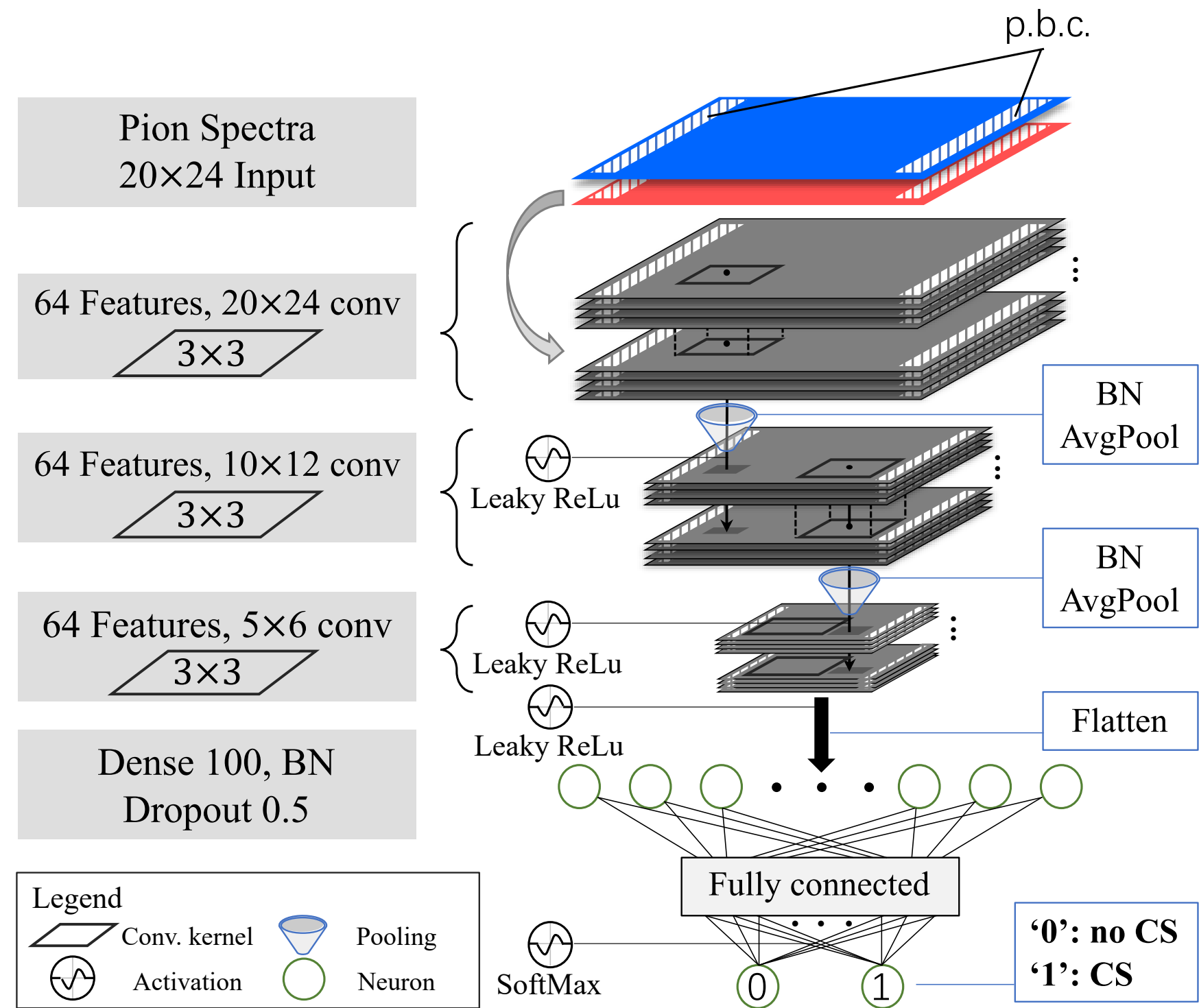


Our Current Works

Phys. Rev. D 103, 116023



Phys. Rev. C 106, L051901(Letter)

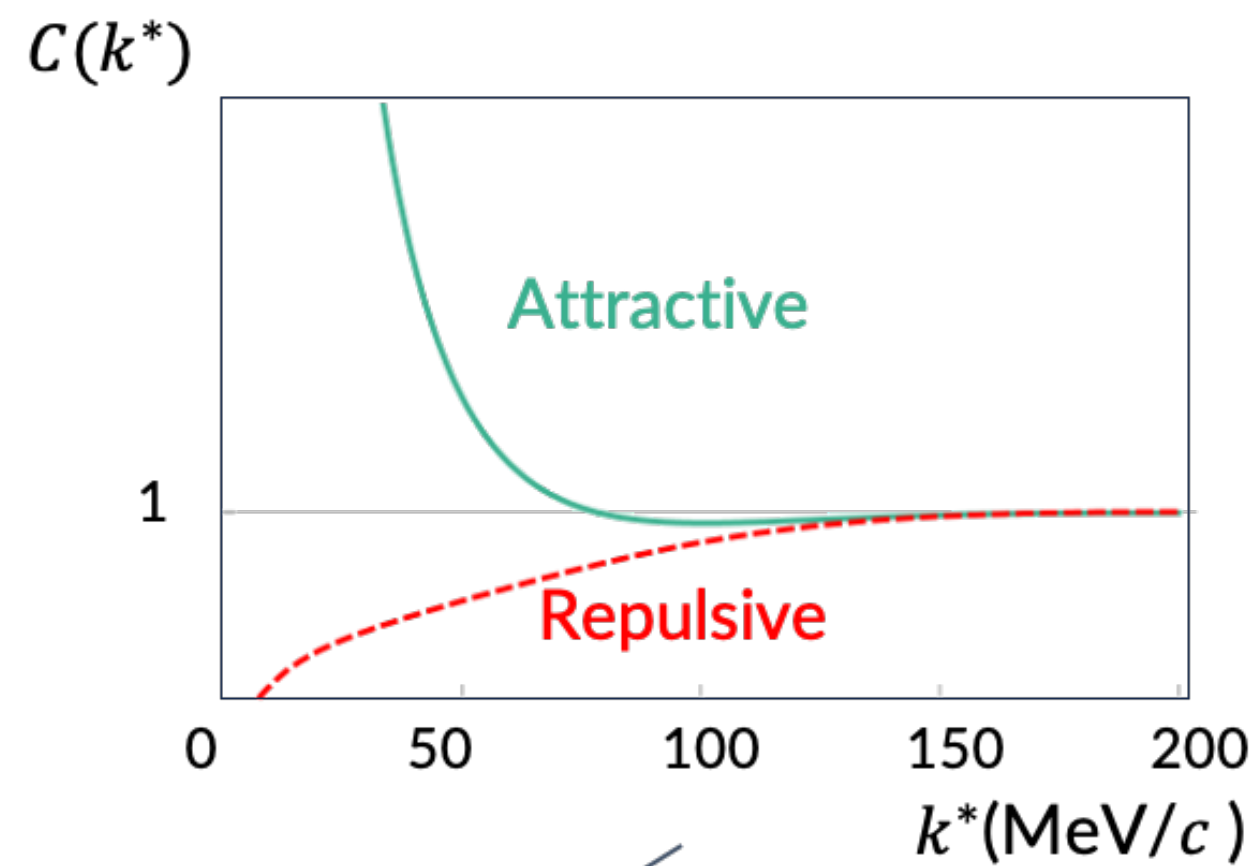
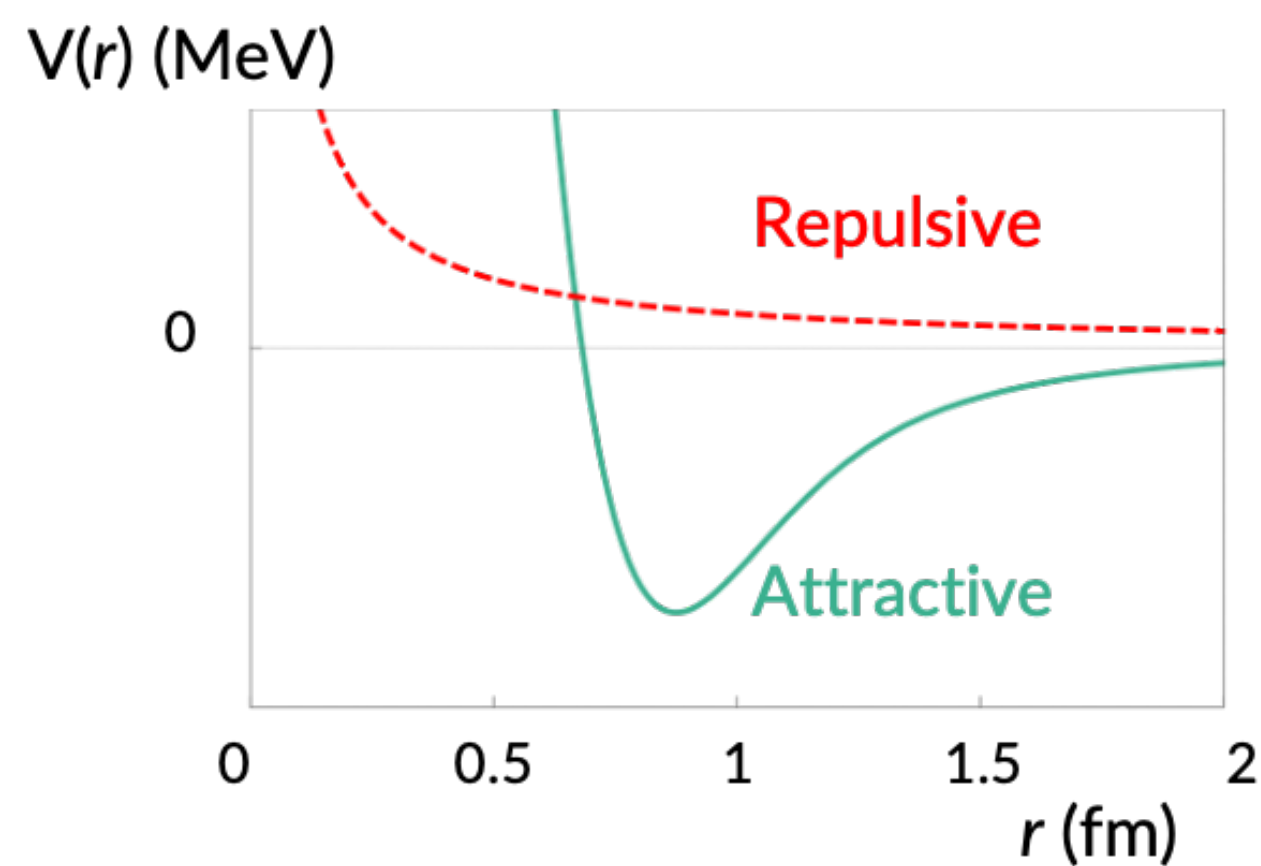
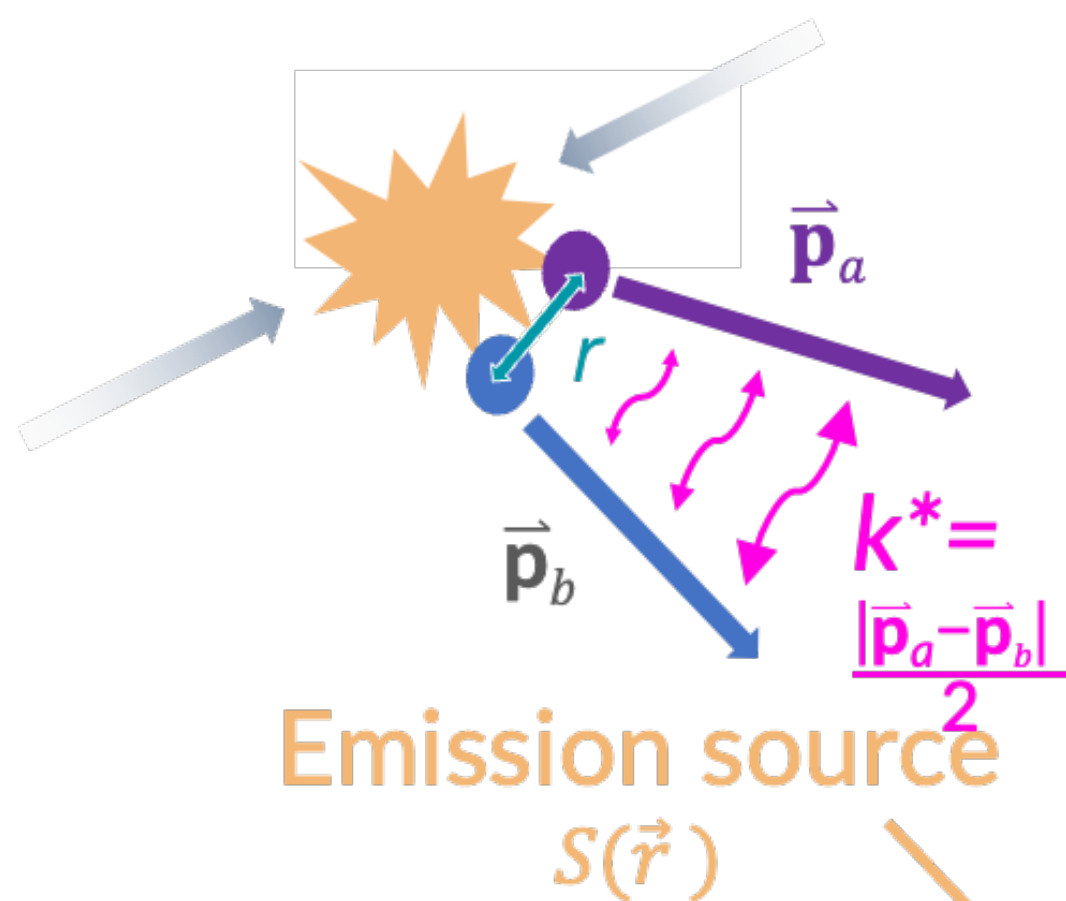


**Learning phase transition orders, CME signals
from final-stage distributions**

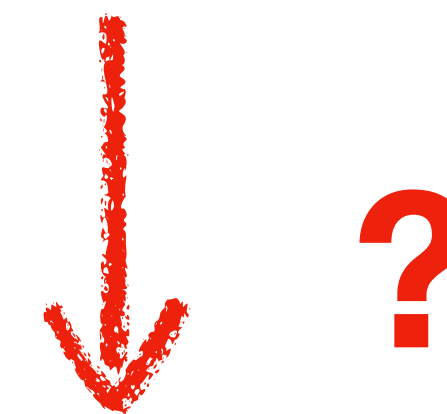
Inverse Femtoscopy

in Preparation

with Jiaxing Zhao, Liang Zhang



Correlations



Potentials

Two-particle wave function

$$C(k^*) = \int S(\vec{r}) |\psi(\vec{k}^*, \vec{r})|^2 d^3\vec{r} = \mathcal{N}(k^*) \frac{N_{\text{same}}(k^*)}{N_{\text{mixed}}(k^*)}$$

Raffaele Del Grande | XQCD 2023

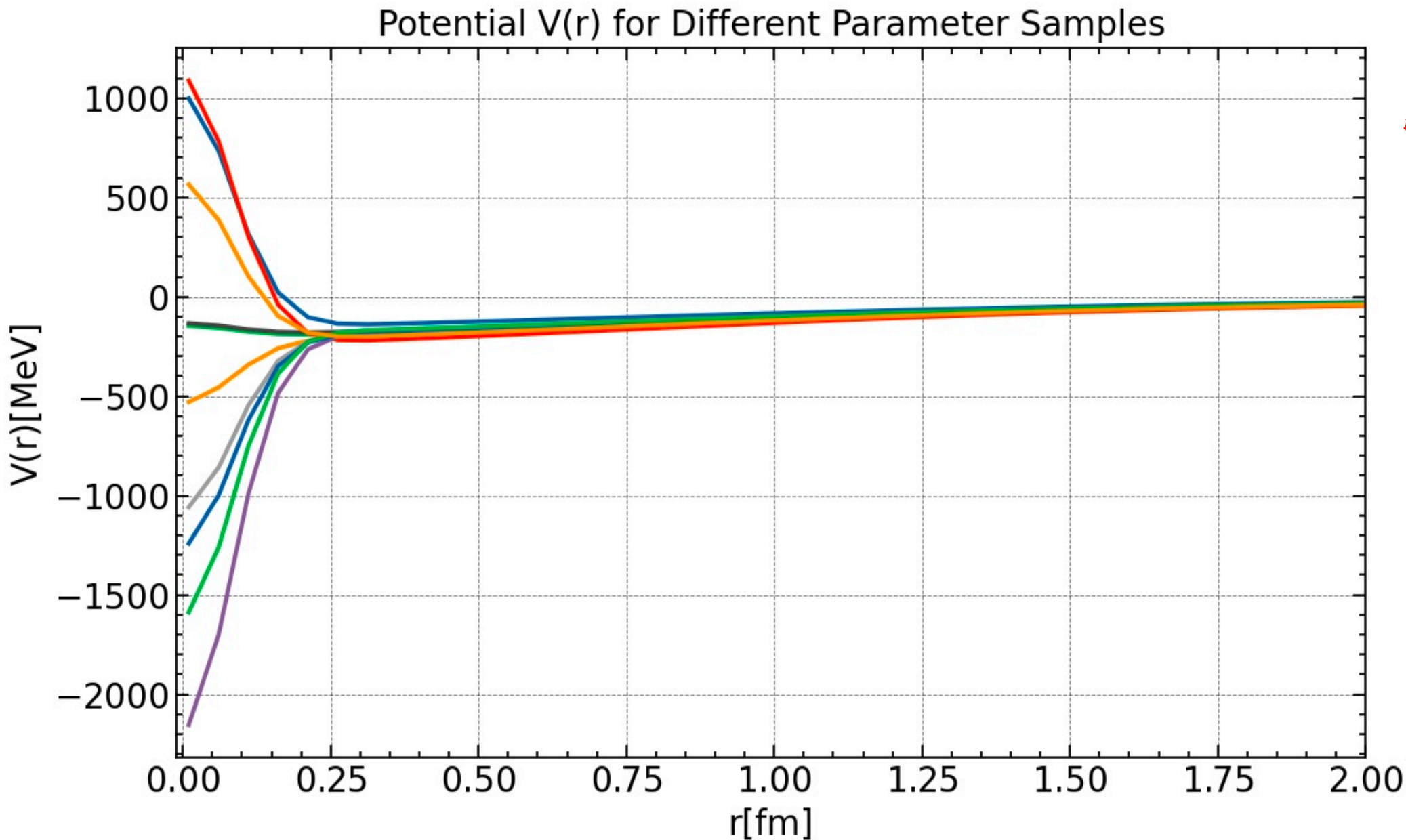
Whether this inverse mapping exists?

Inverse Femtoscopy

in Preparation

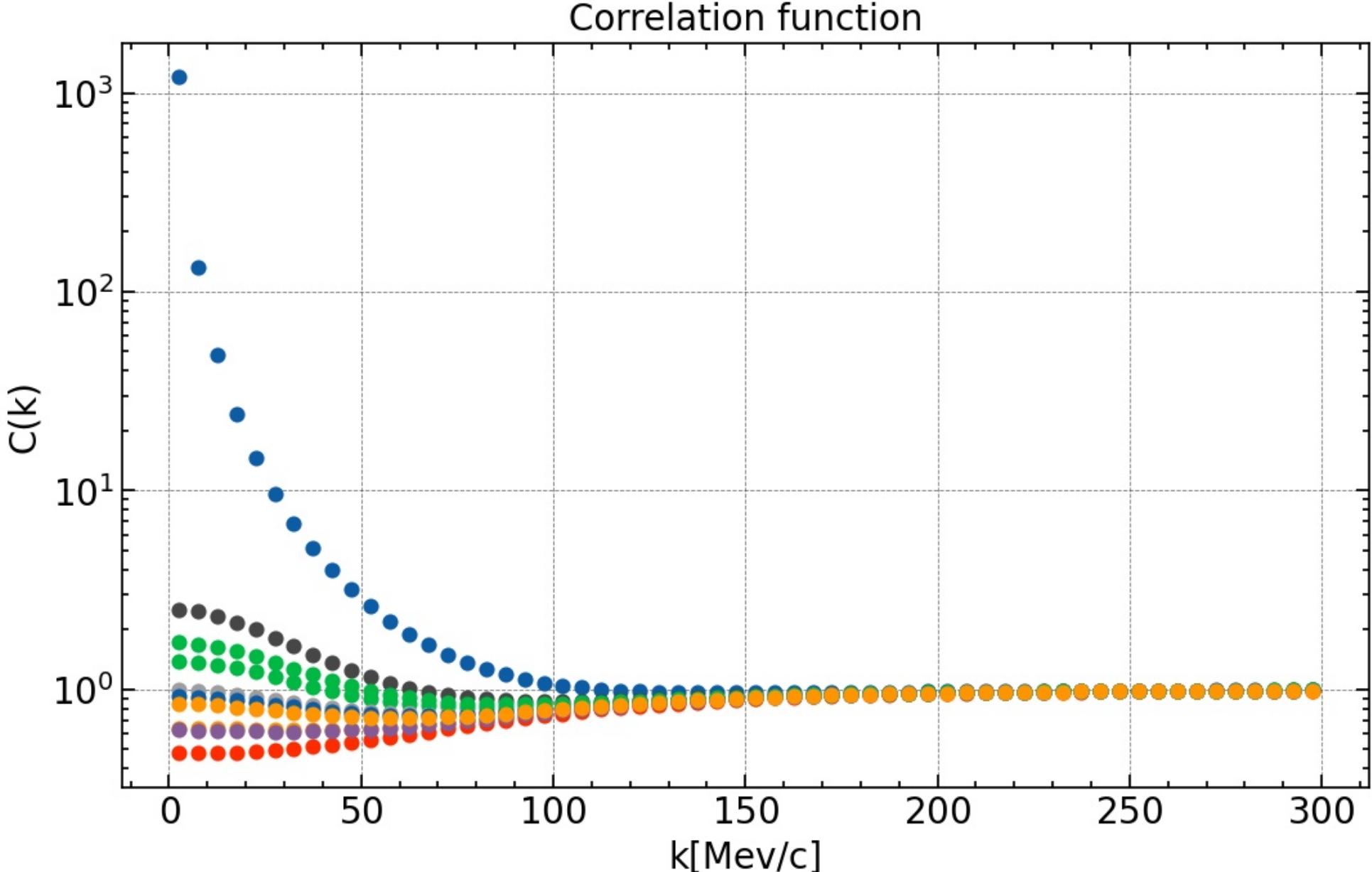
with Jiaxing Zhao, Liang Zhang

$$V(r) = b_1 e^{-b_2 r^2} + b_3 (1 - e^{-b_4 r^2}) \left(\frac{e^{(-m_\pi r)}}{r} \right)^{n_\pi}$$



Schrödinger eq.

CATS Framework: D. Mihaylov et al., Eur. Phys. J. C78 (2018) 394

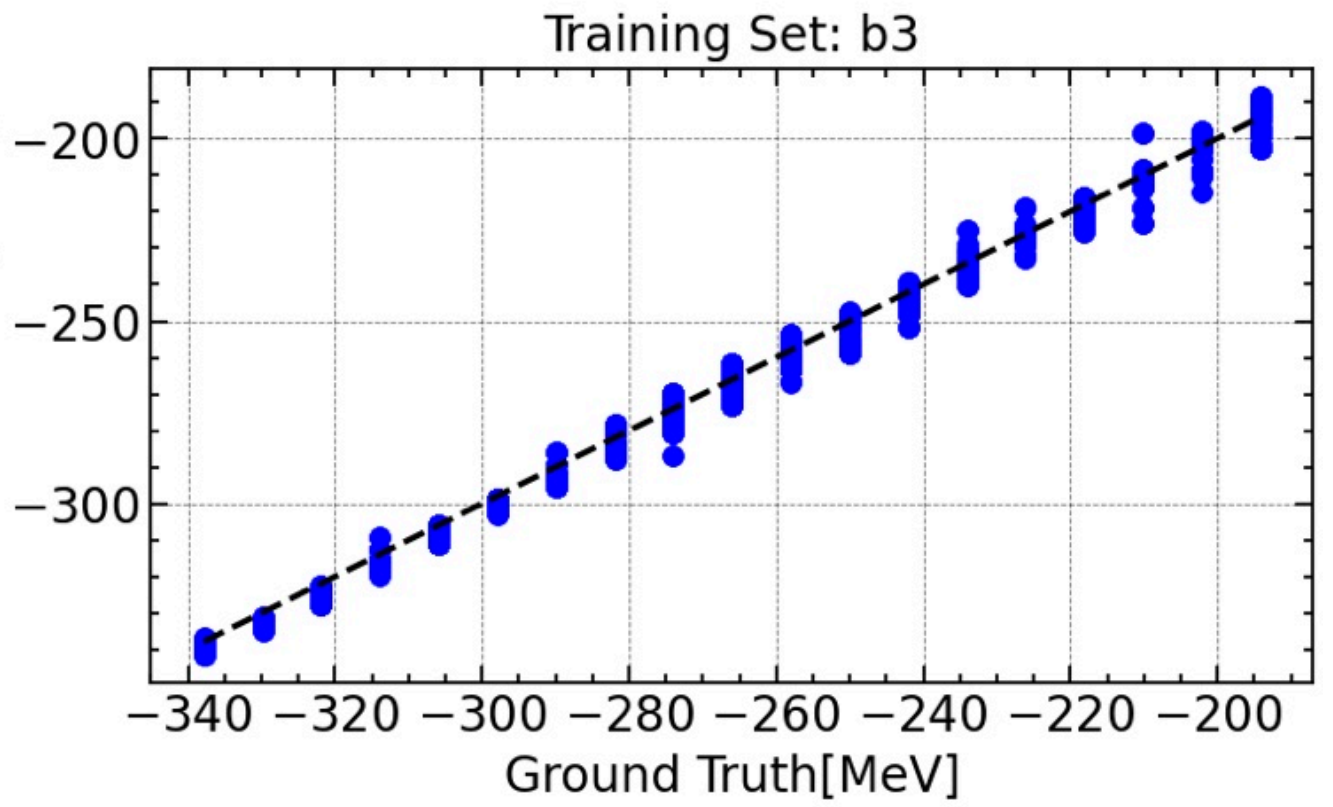
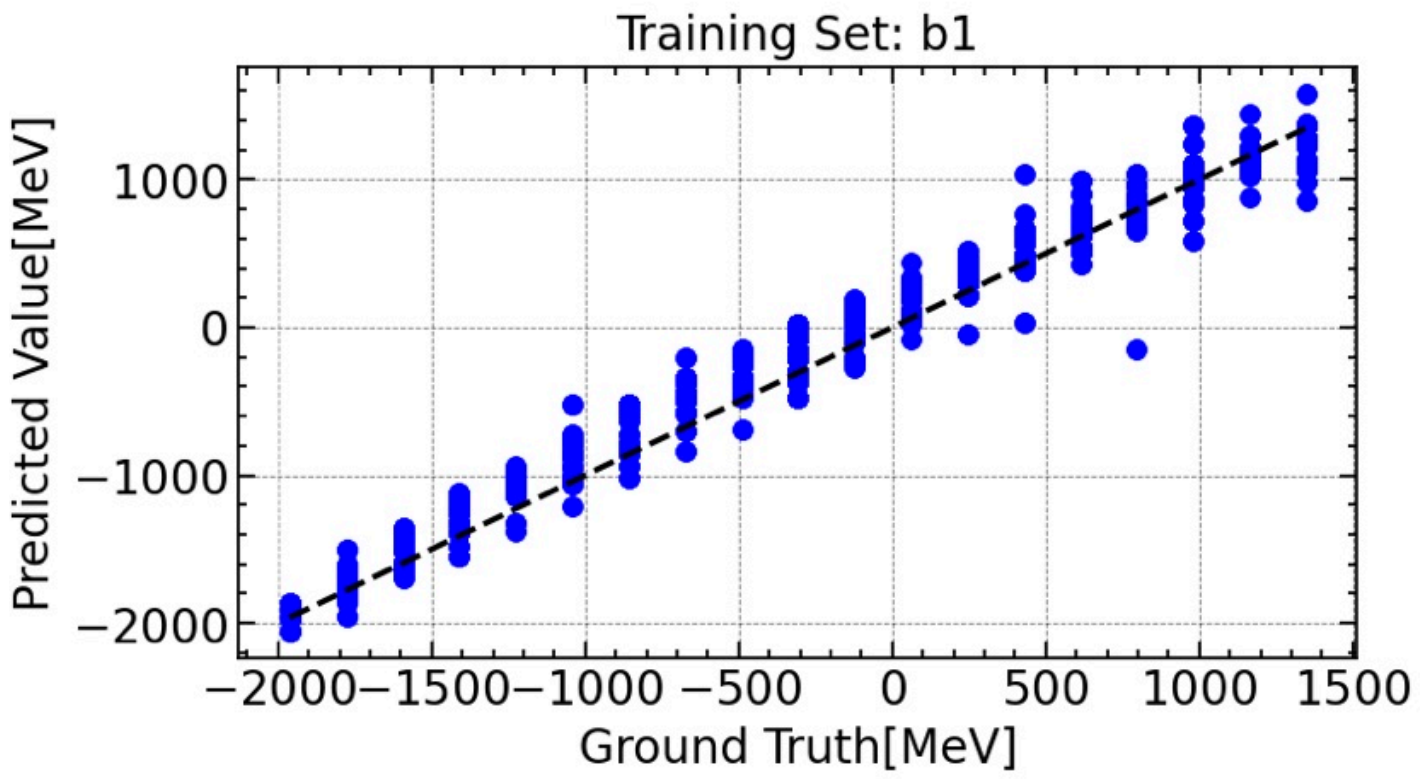


60 points(k), 10000 correlations

$$S(r) = (4\pi r_0^2)^{-3/2} e^{-\frac{r^2}{4r_0^2}}$$

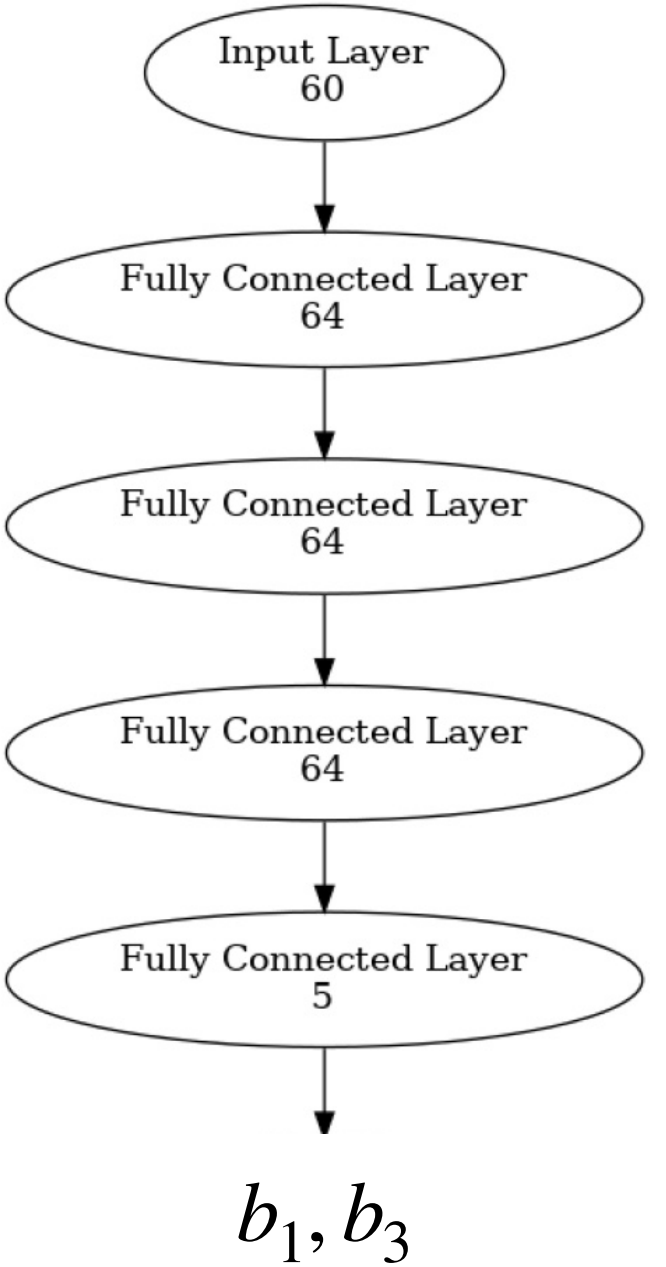
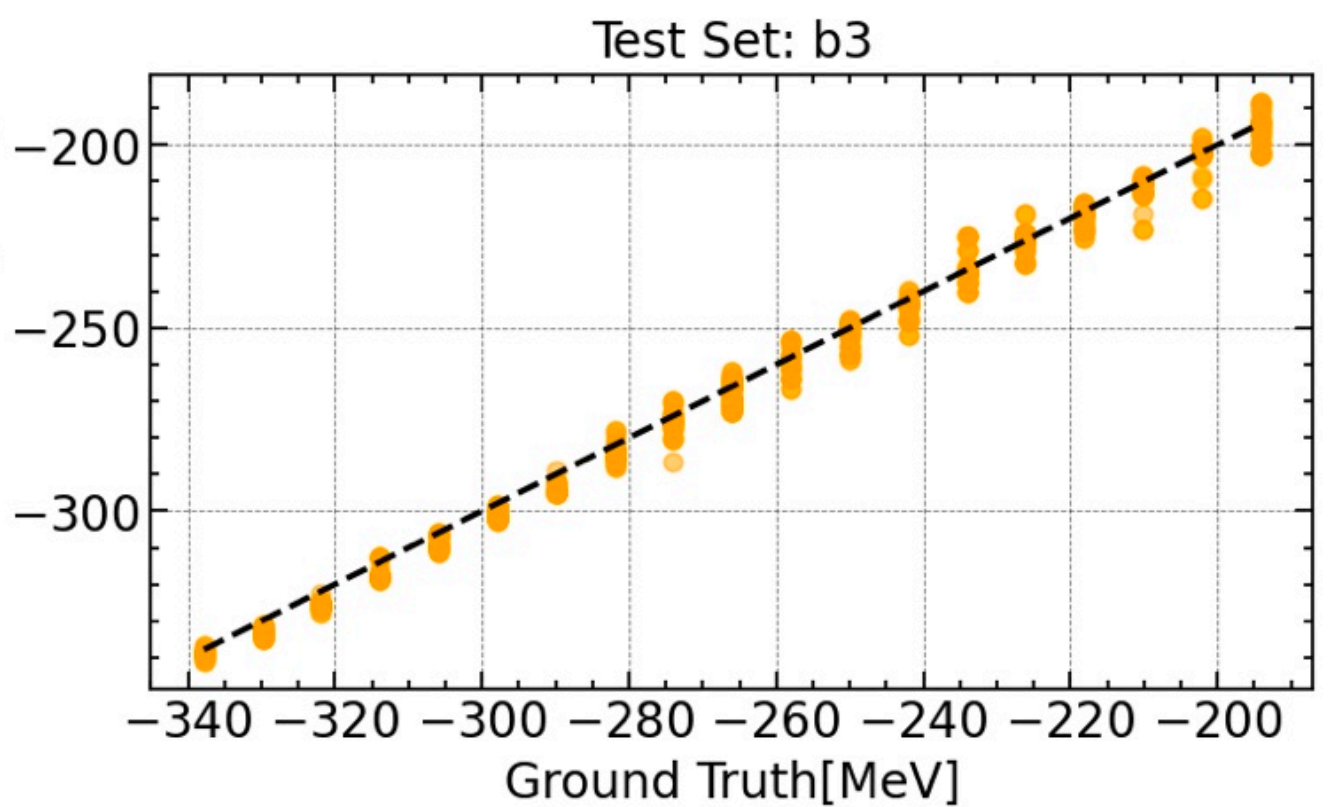
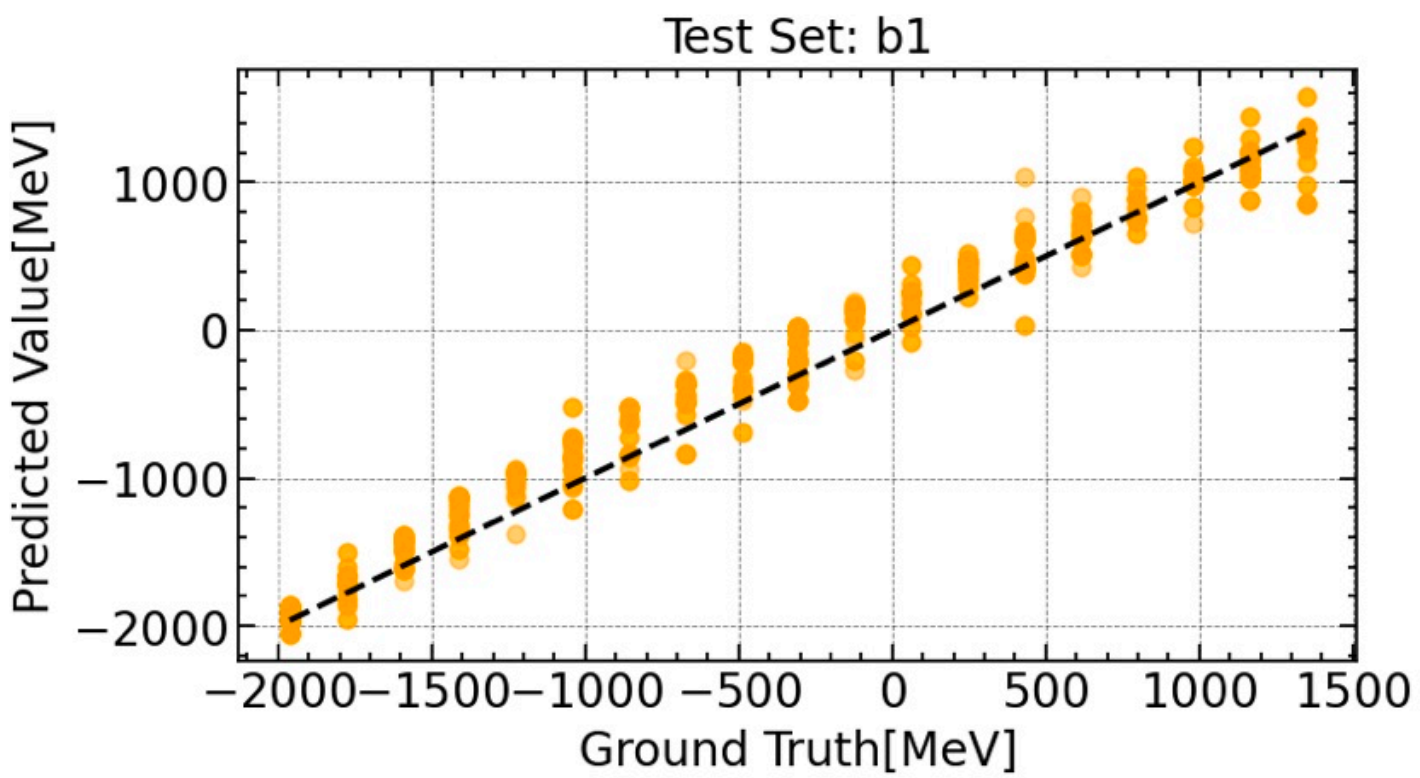
$r_0 = 1.3 \text{ fm}$

Inverse Femtoscopy



in Preparation

with Jiaxing Zhao, Liang Zhang



60 points

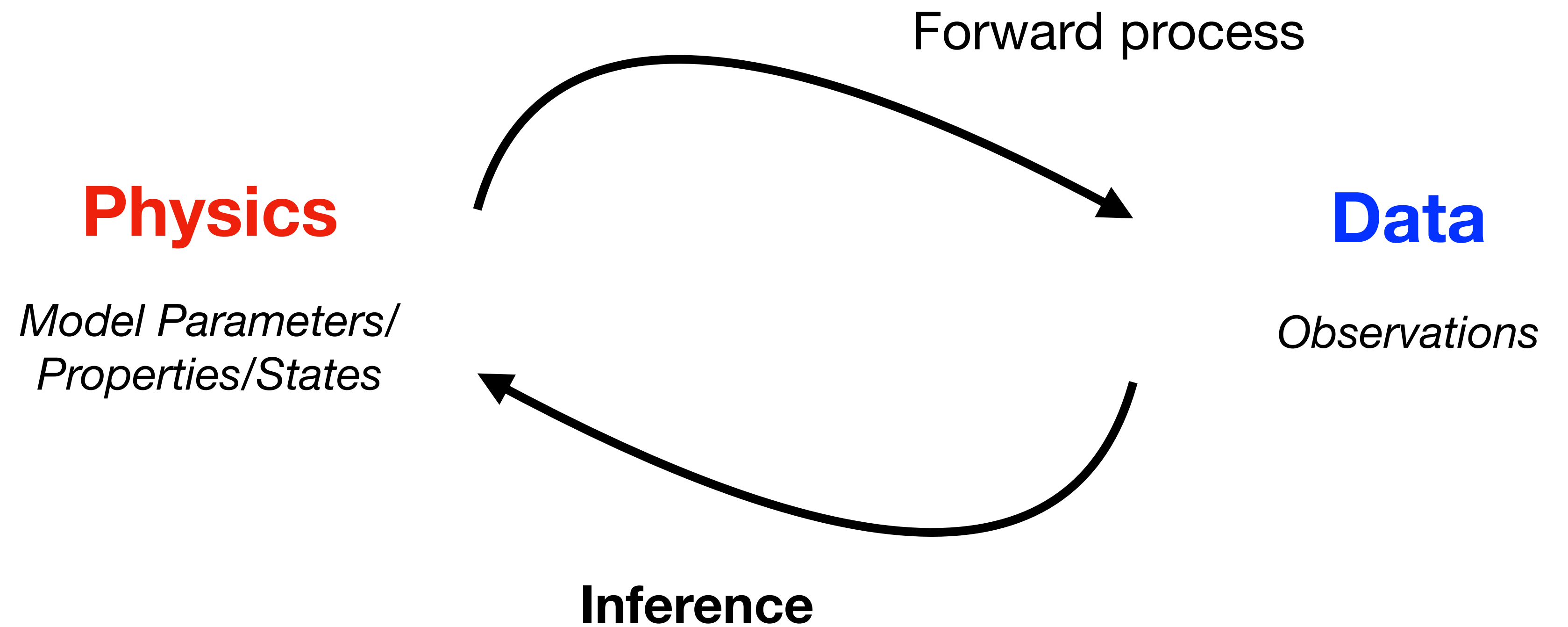
R-squared	b1	b3
Training	0.96	0.99
Testing	0.96	0.99

Neural Networks for Femtoscopy

$$b_2 = 73.9, b_4 = 0.78, n_\pi = 2$$

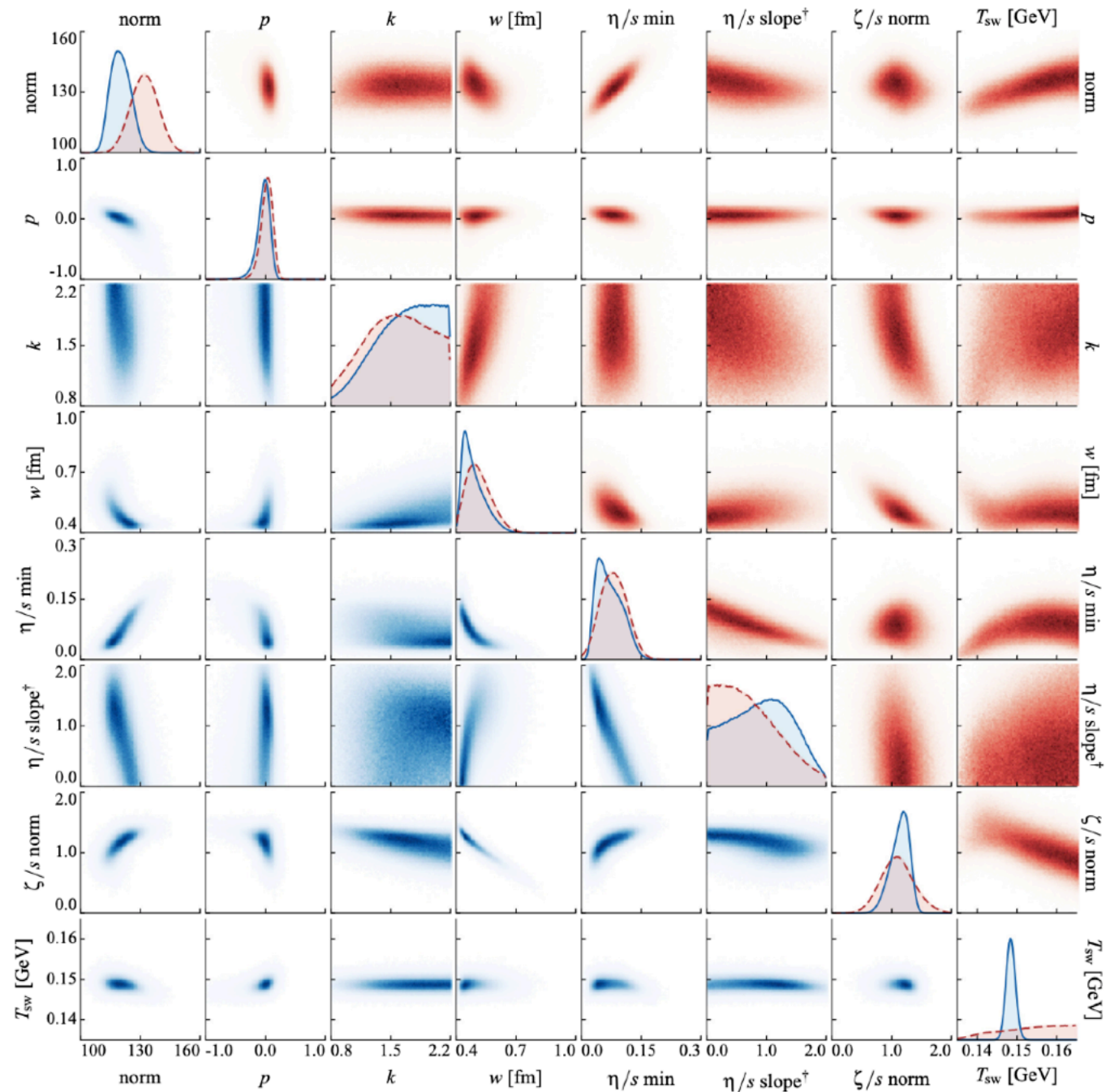
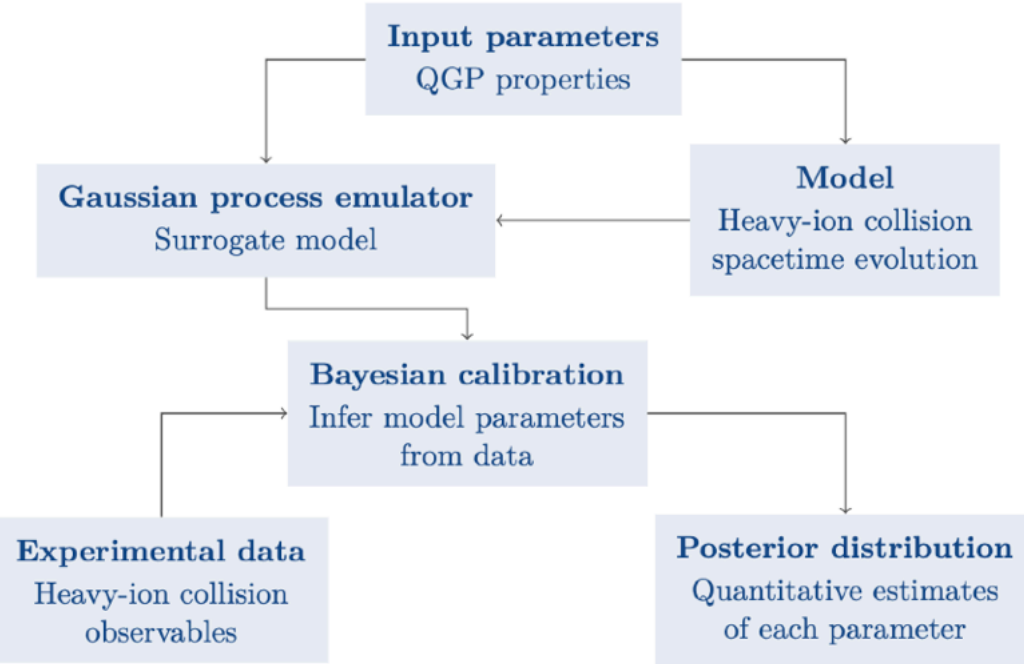
Bayesian Inference

$$\hat{\theta} = \arg \max_{\theta} \{p(X | \theta)\}$$



Bayesian Inference

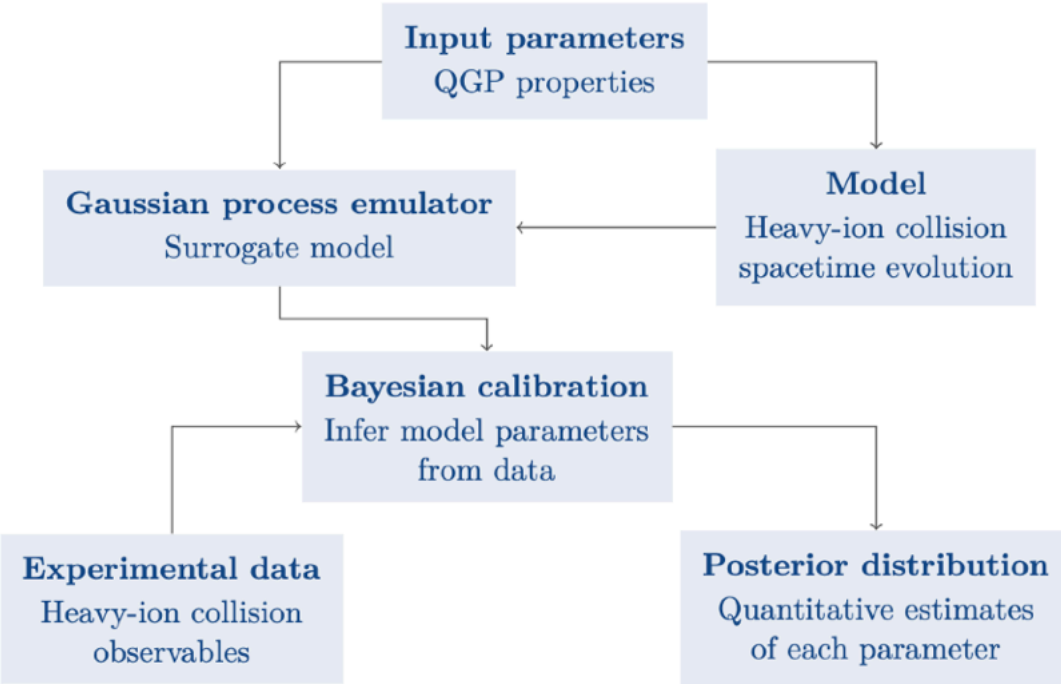
Inferring Dynamical Information



J. E. Bernhard, etc.(Duke Group), [arXiv:1804.06469](https://arxiv.org/abs/1804.06469) and Nat Phy **15**, 1113 (2019).

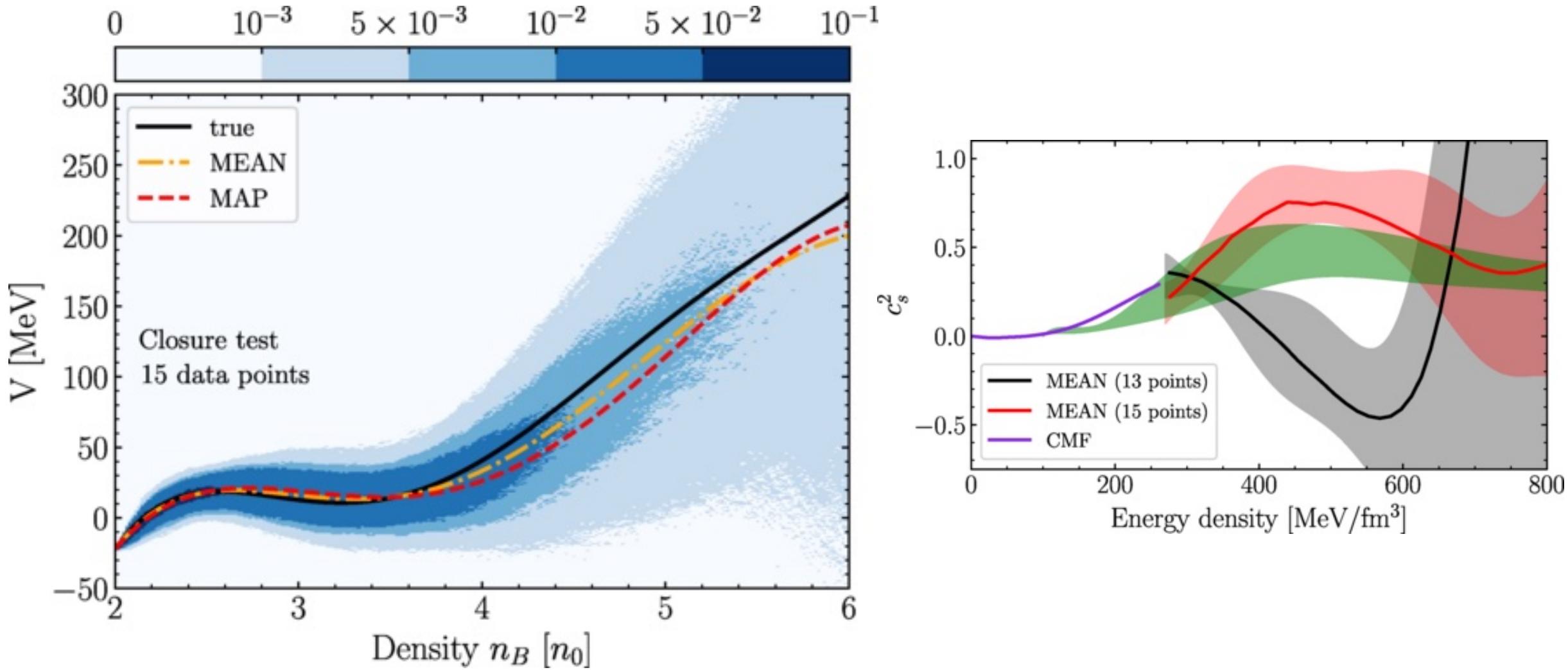
Bayesian Inference

Inferring Dynamical Information



J. E. Bernhard, etc.(Duke Group), [arXiv:1804.06469](https://arxiv.org/abs/1804.06469)

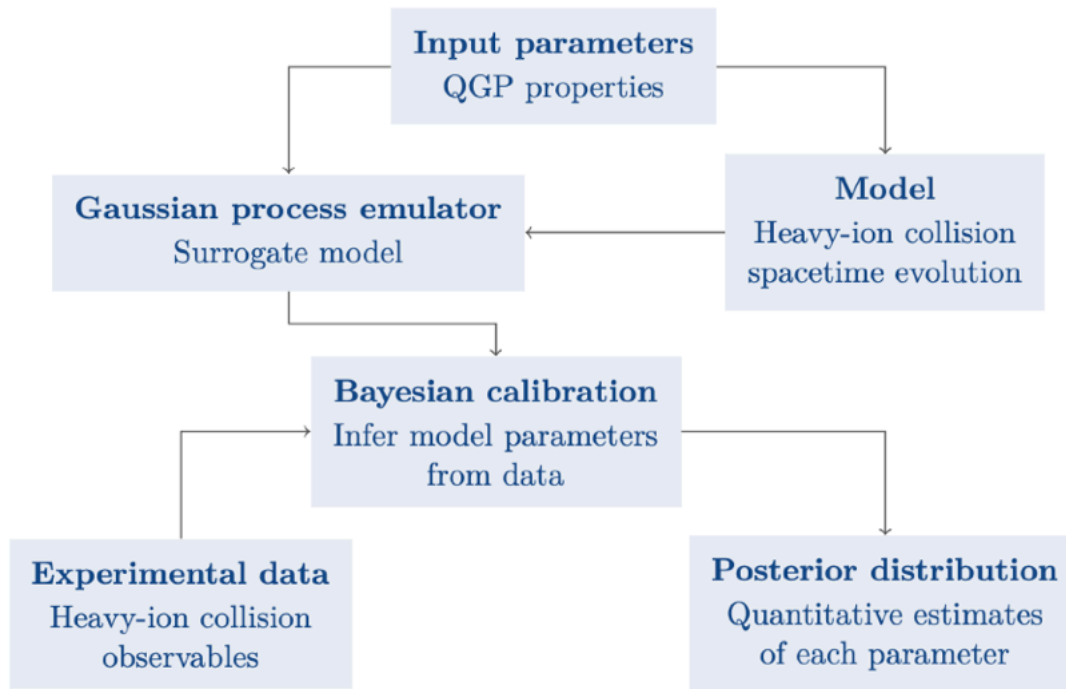
Extracting Dense Matter EoSs



M. Omana Kuttan, J. Steinheimer, K. Zhou, and H. Stoecker, Phys. Rev. Lett. **131**, 202303 (2023).

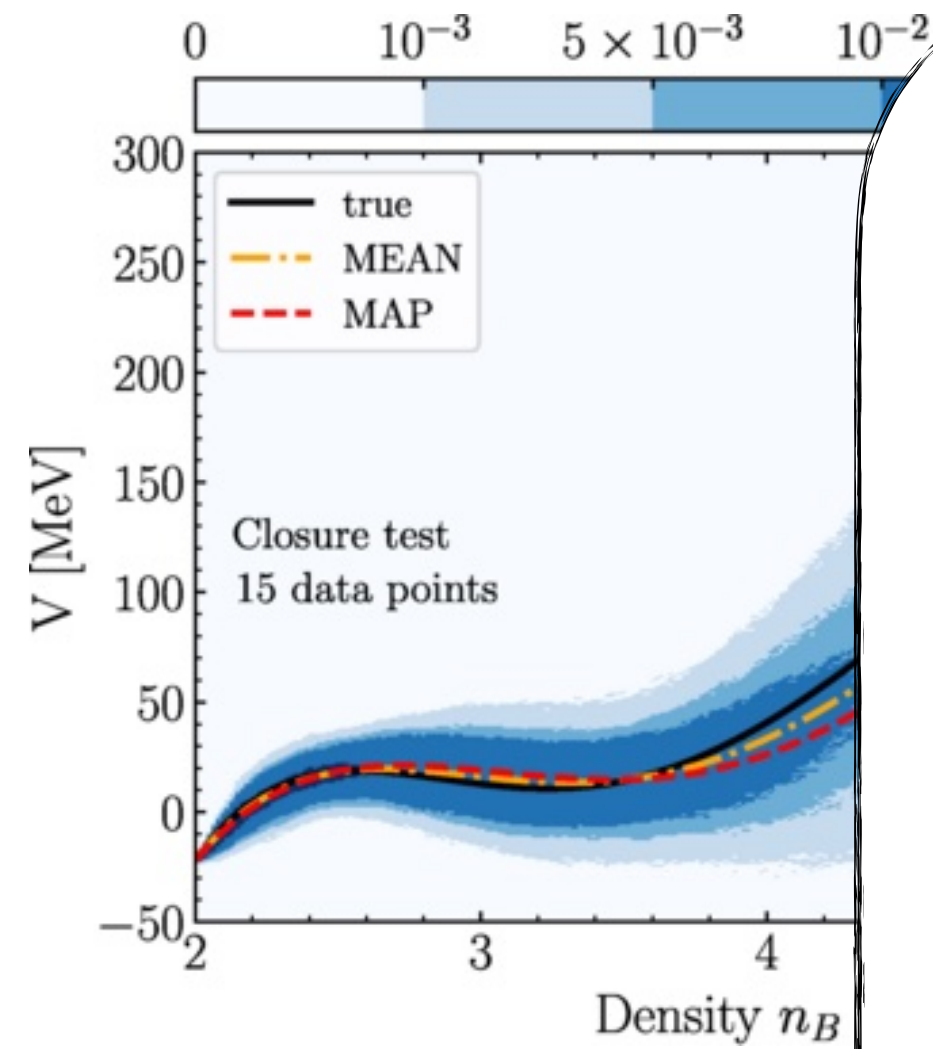
Bayesian Inference

Inferring Dynamical Information



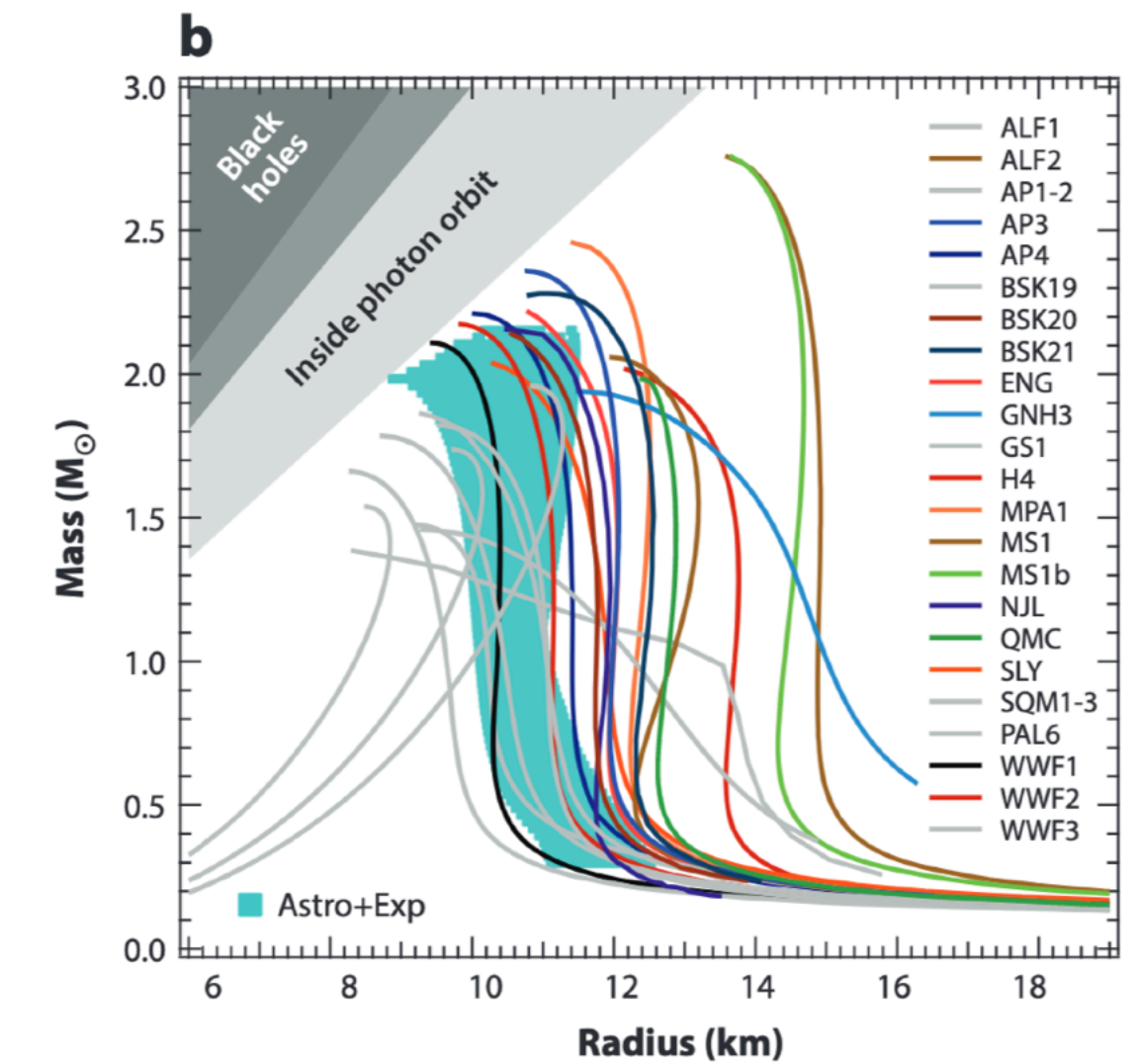
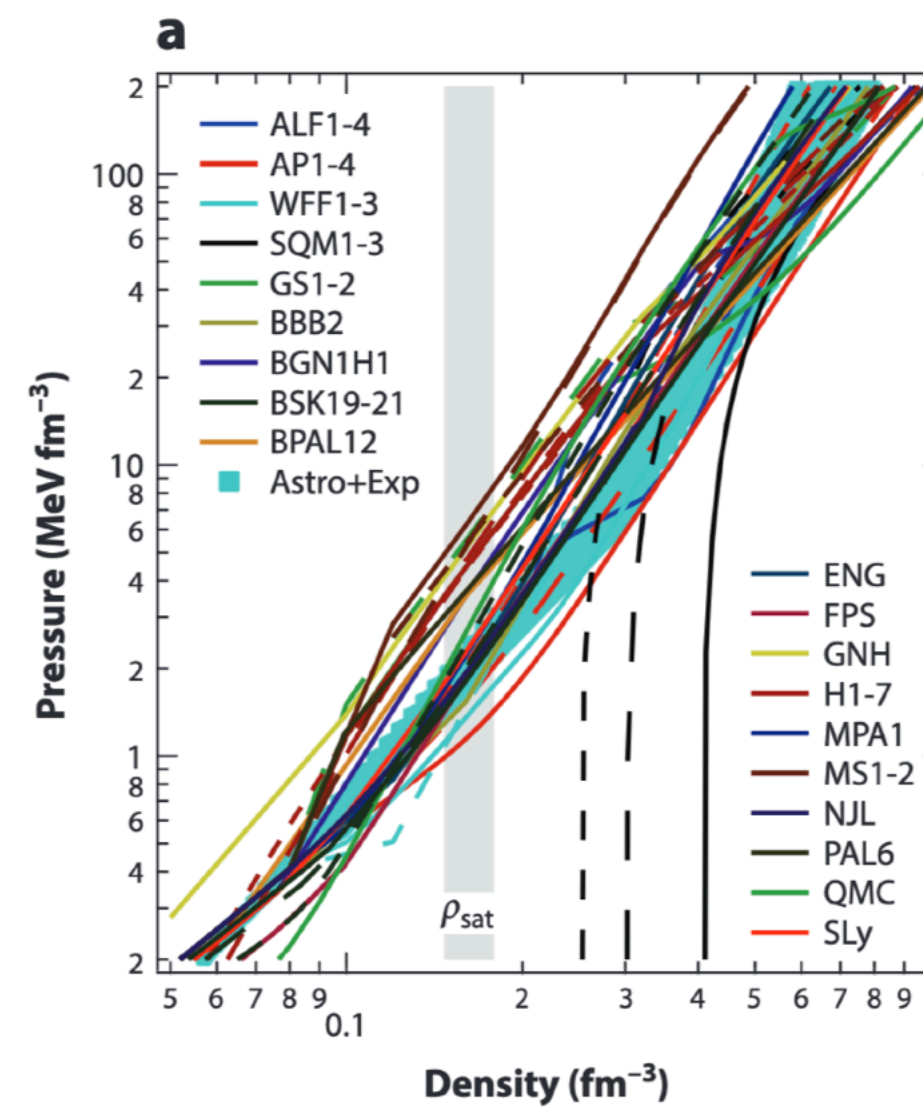
J. E. Bernhard, etc.(Duke Group), [arXiv:1804.06469](https://arxiv.org/abs/1804.06469)

Extracting Dense Matter EoS



M. Omana Kuttan, J. Ste...

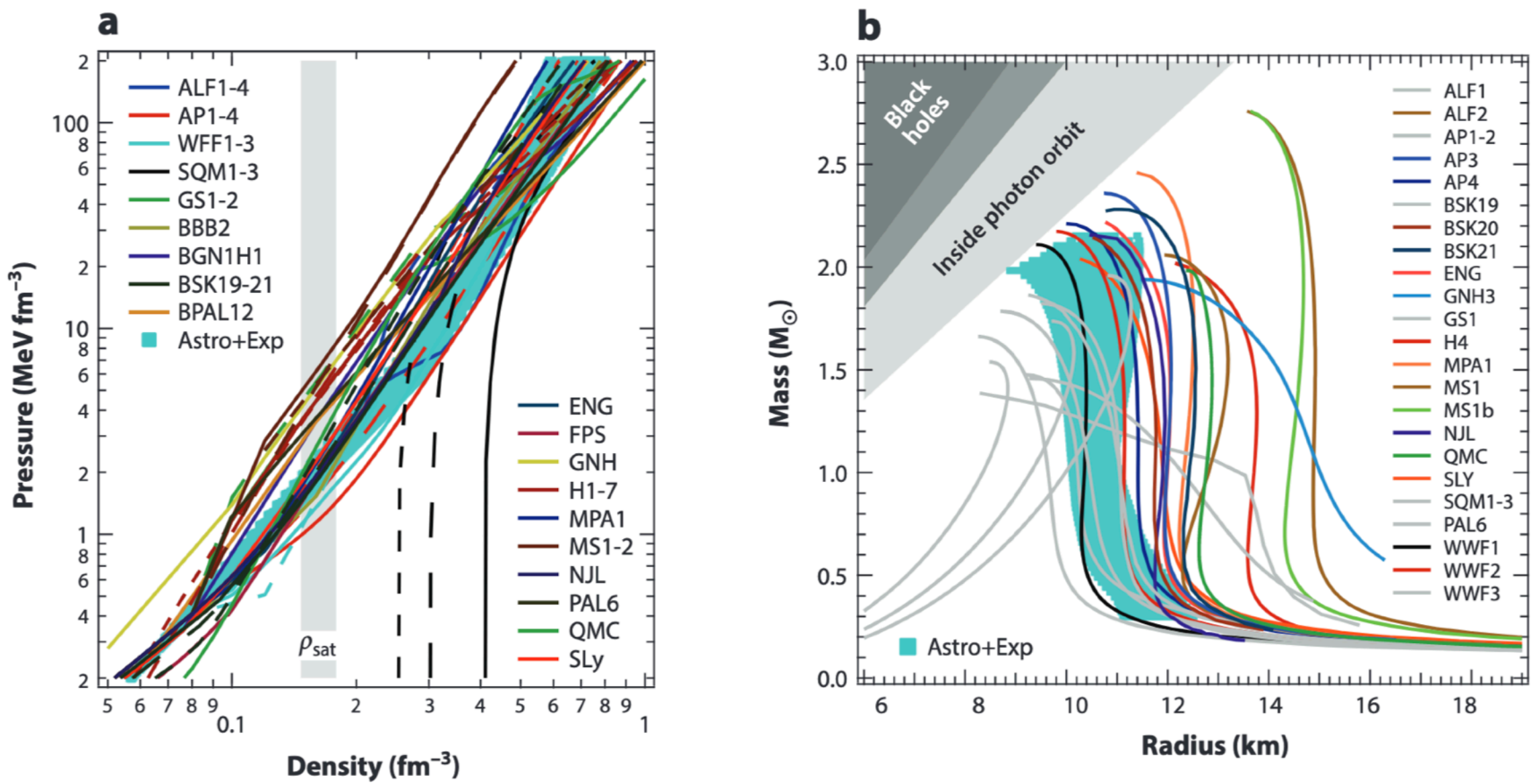
Building Nuclear Matter EoS



F. Özel and P. Freire, *Annu. Rev. Astron. Astrophys.* **54**, 401 (2016)

Bayesian Inference

Building Nuclear Matter EoS

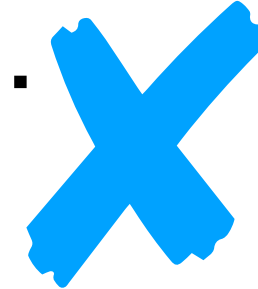


F. Özel and P. Freire, Annu. Rev. Astron. Astrophys. 54, 401 (2016)

Physics Parameters are Finite
 EoS, Wave-Function, Potential, ...

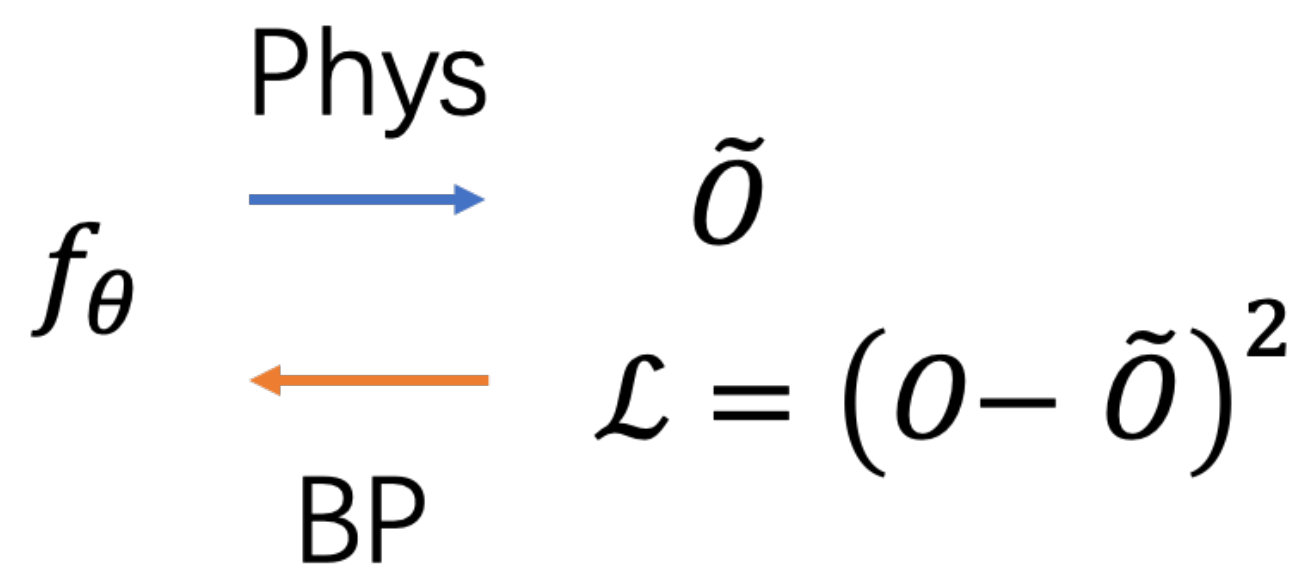
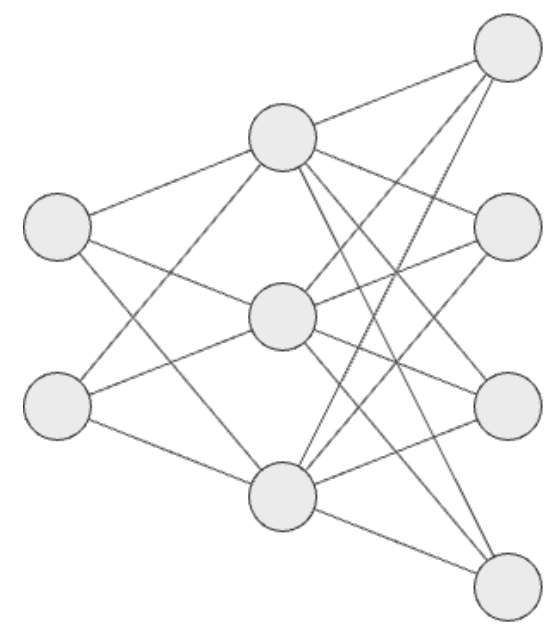


Inference is Easy-To-Compute
 ODEs, PDEs, Simulations, ...



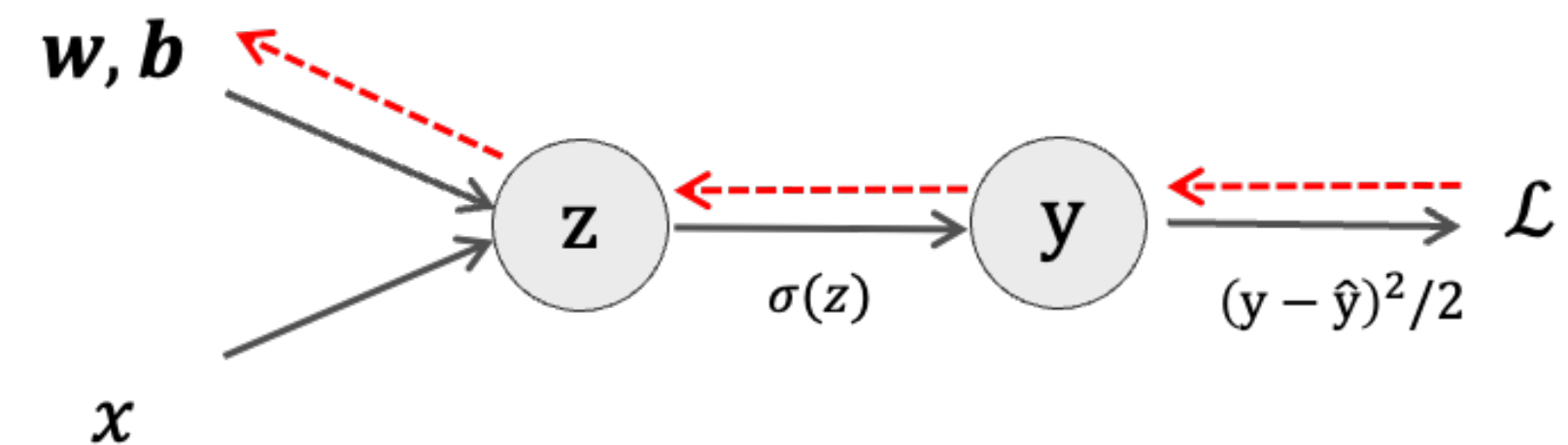
Physics-Driven Deep Learning

$$\hat{\theta} = \arg \max_{\theta} \{p(X | \theta)\}$$



Deep Neural Network represented Physics, f_{θ}

Flexible Representation



Back-Propagation

Easy-To-Compute on GPUs

Physics-Driven Deep Learning

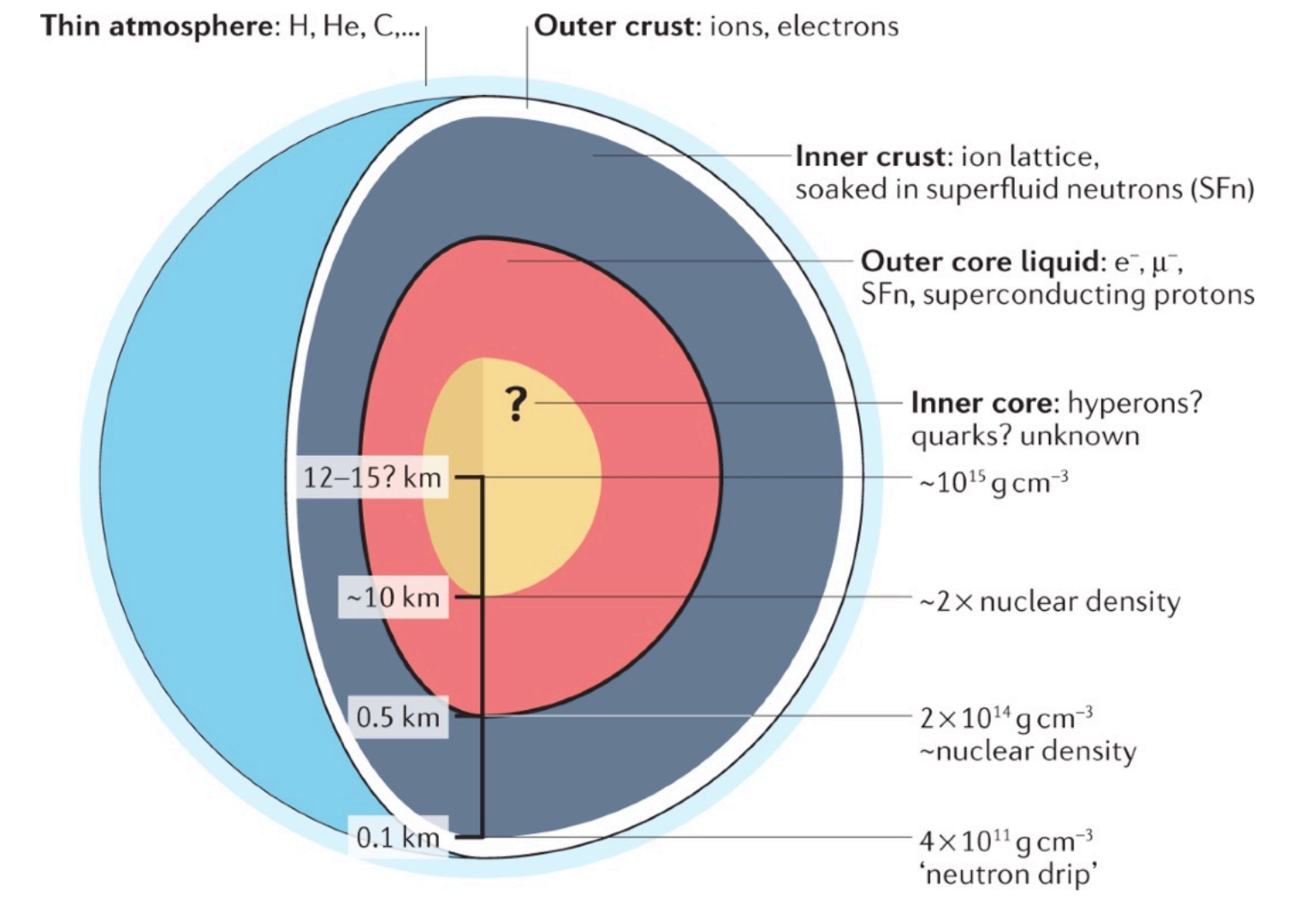
1. Building Neutron Star EoS

Tolman–Oppenheimer–Volkoff equations

$$\frac{dP}{dr} = -G \frac{m(r)\epsilon(r)}{r^2} \left(1 + \frac{P(r)}{\epsilon(r)}\right) \left(1 + \frac{4\pi r^3 P(r)}{m(r)}\right) \left(1 - \frac{2Gm(r)}{r}\right)^{-1}$$

$$\frac{dm(r)}{dr} = 4\pi r^2 \epsilon(r)$$

Hydrostatic condition in each shell (dr)



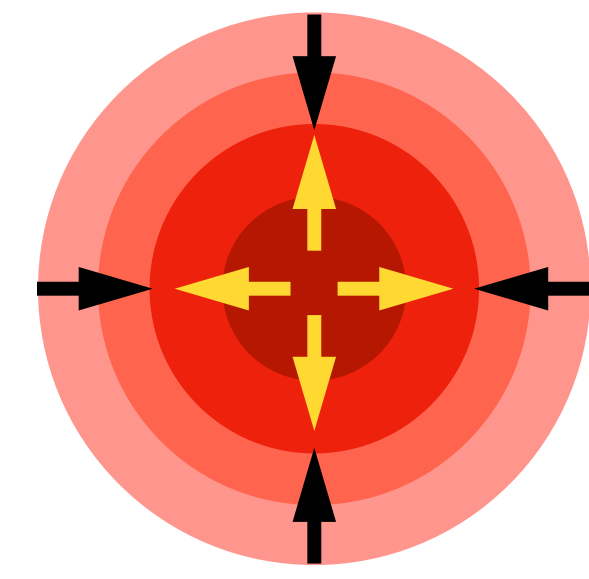
Nat. Rev. Phys. 4, 237–246 (2022)

EoS $P(\epsilon) = 0$

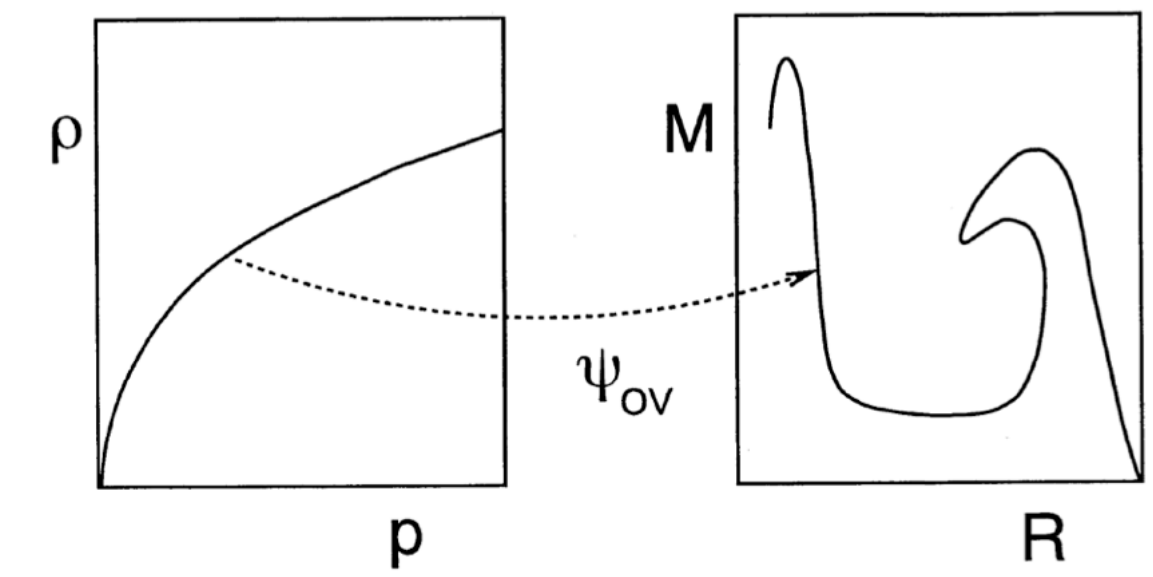
Core $r = 0, \epsilon(r = 0) = \epsilon_c, P(r = 0) = P(\epsilon_c)$

Surface $r = R, \epsilon(r = R) \simeq 0, M = \int 4\pi r^2 \epsilon(r) dr$

M, R



Pressure \rightarrow \leftarrow Gravity

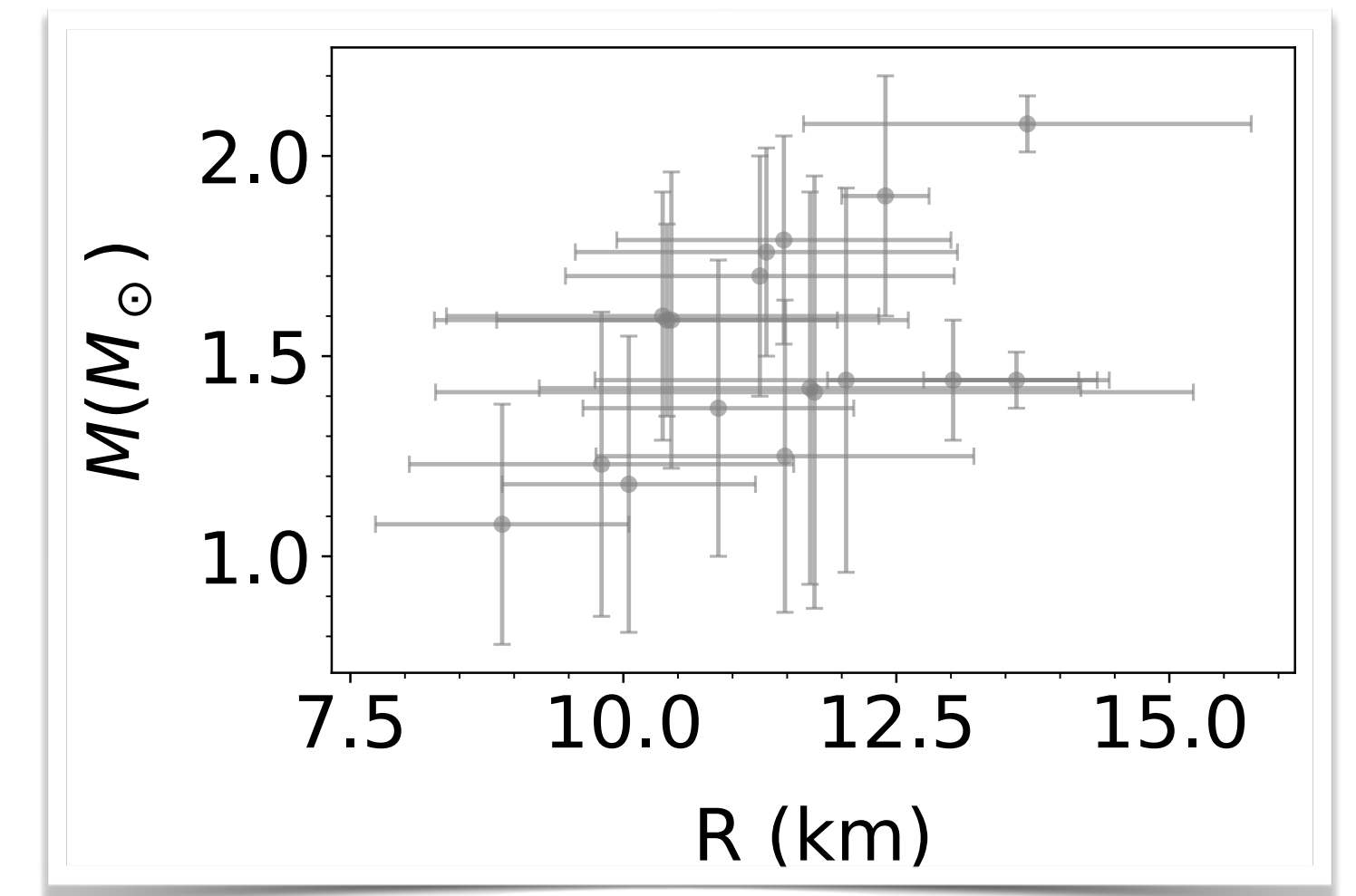
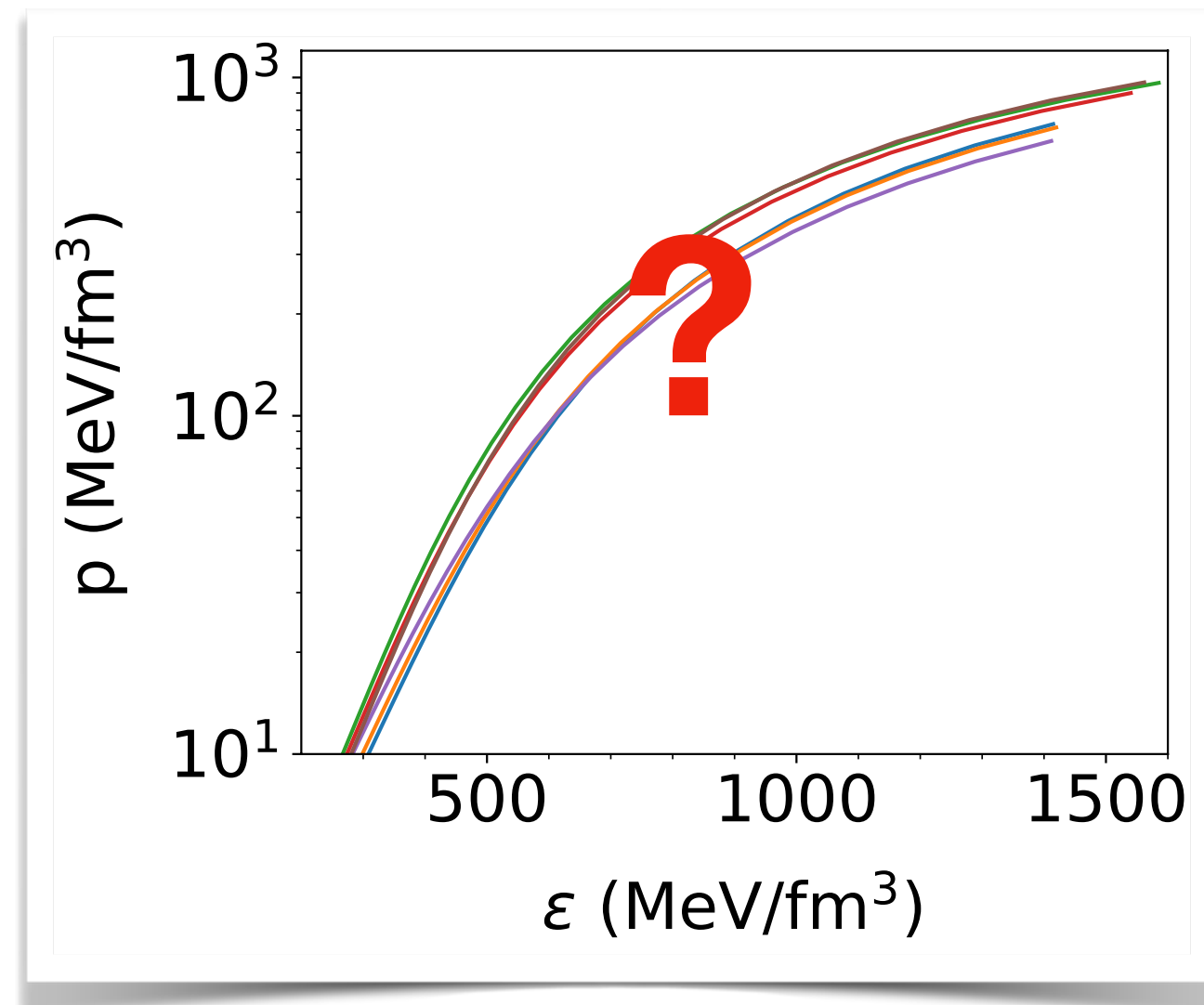


L. Lindblom, A.J., 398, 569 (1992).
If the whole $M(R)$ is known, it's well-defined problem.

Physics-Driven Deep Learning

1. Building Neutron Star EoS

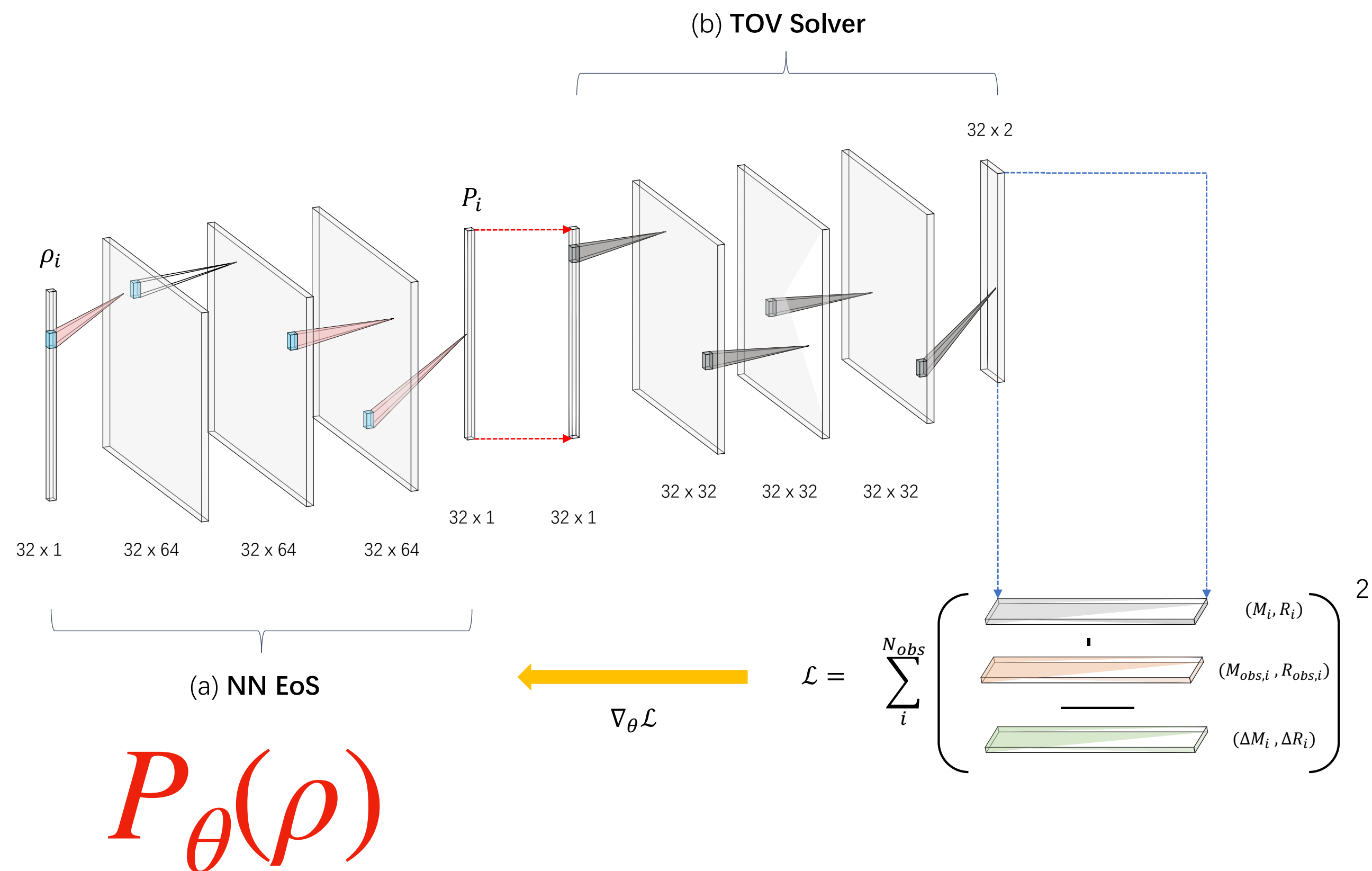
Tolman–Oppenheimer–Volkoff equations



Physics-Driven Deep Learning

1. Building Neutron Star EoS

Phys. Rev. D 107, 083028; JCAP08 (2022) 071

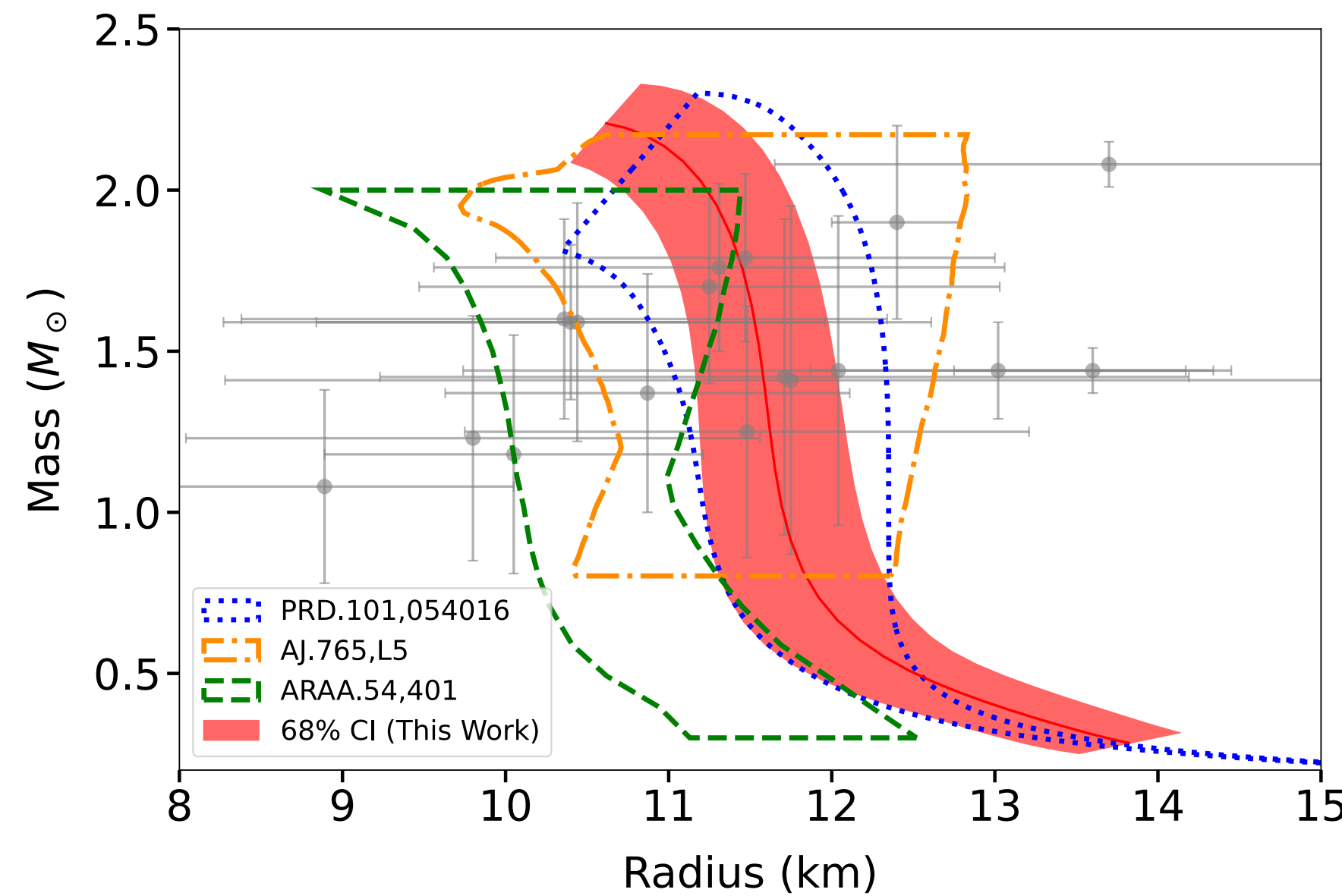
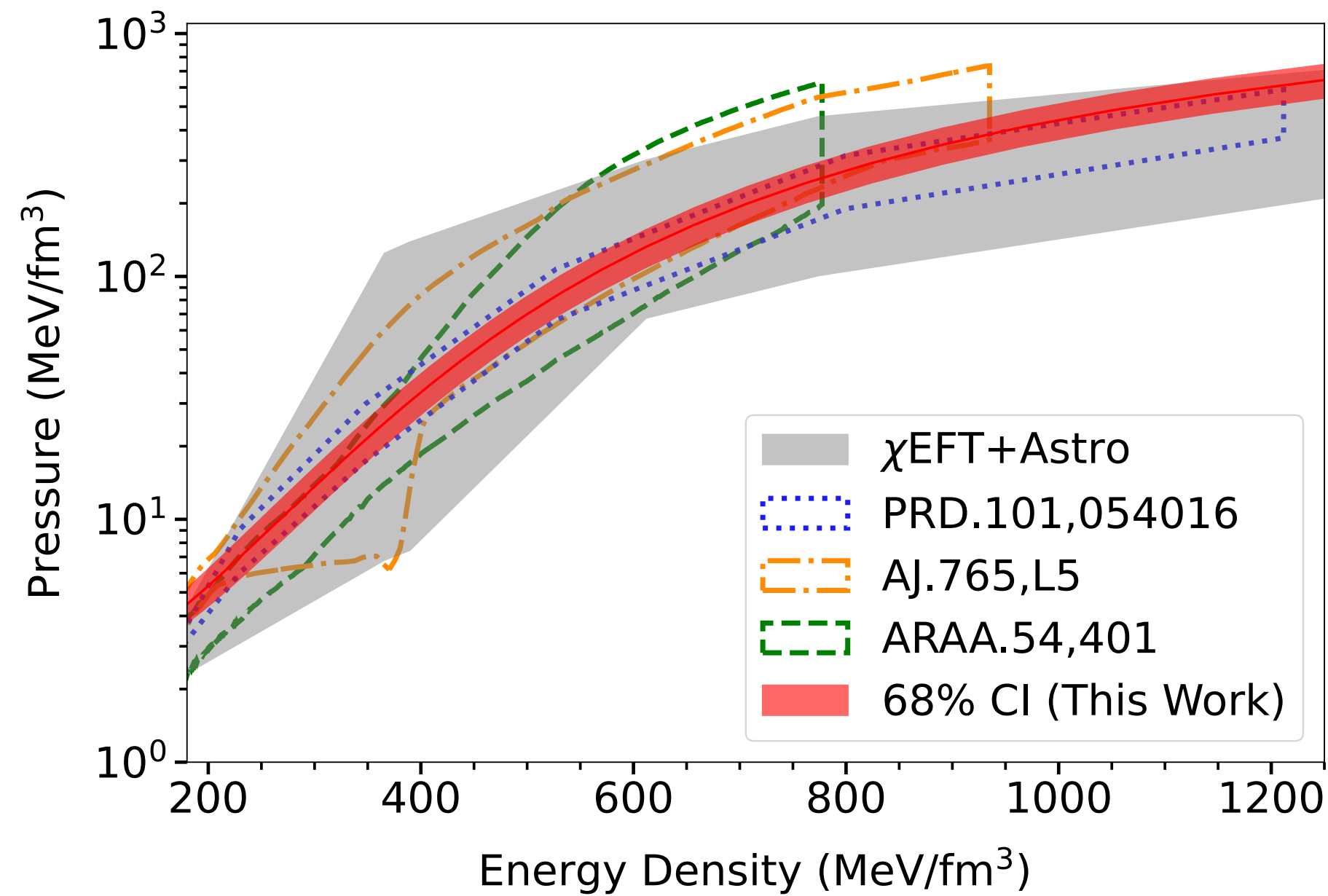


Physics-Driven Deep Learning

1. Building Neutron Star EoS

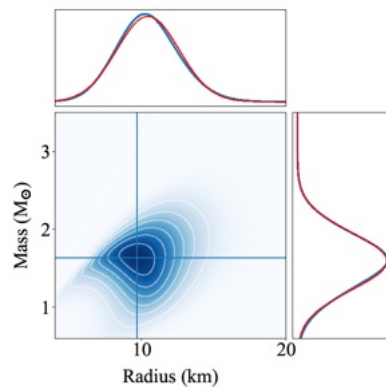
Phys. Rev. D 107, 083028

Blue dots: NN results, Fujimoto-Fukushima-Murase
 Yellow and Green dashed lines: Bayesian Approaches



Our results: $R_{1.4} = 11.6 \pm 0.43$ km (68% CI)

18 (M_i, R_i), sample size = 10k
 causality ($de/dp < 1$)
 Maximum mass $\geq 1.9 M_{\odot}$



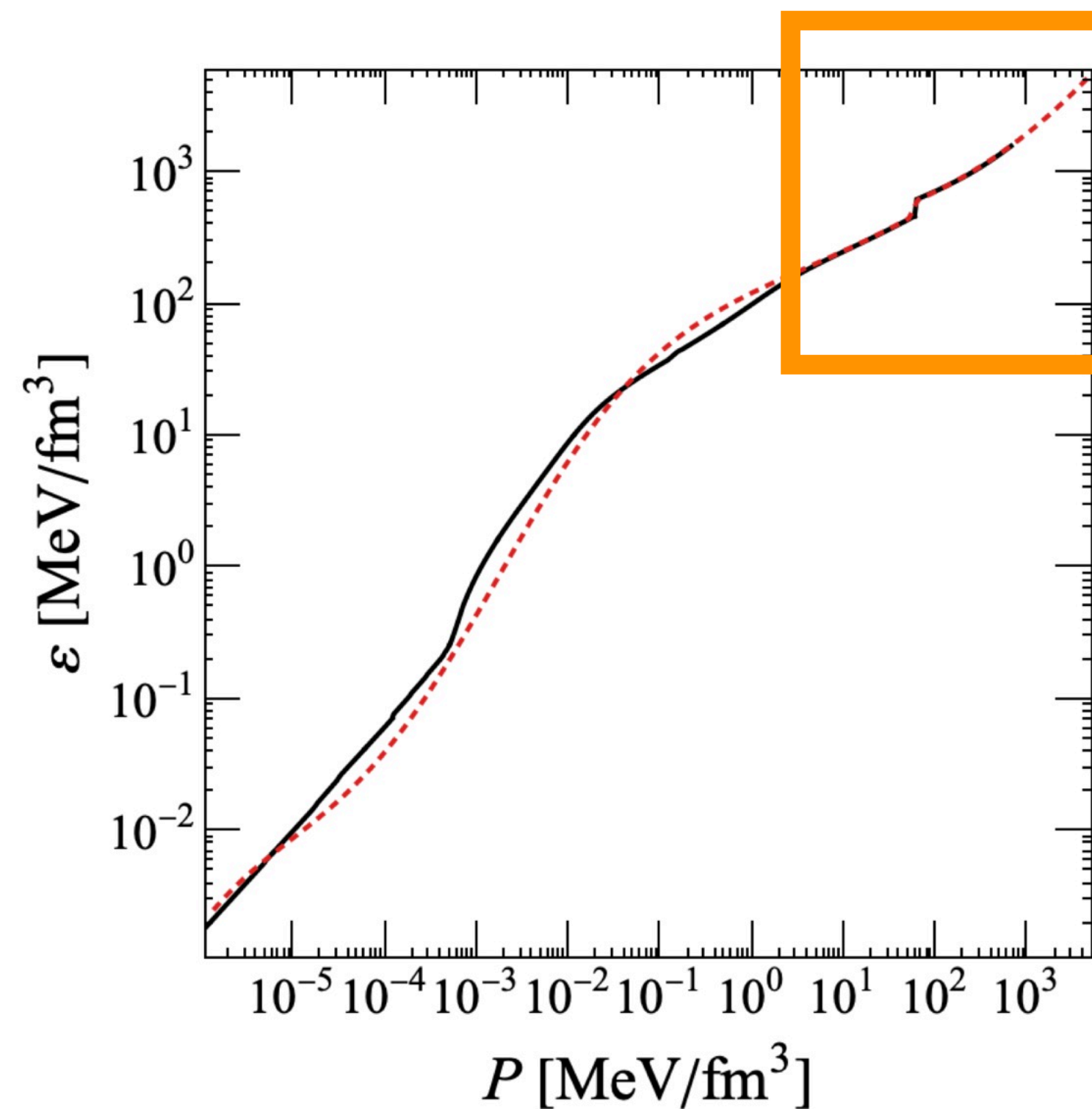
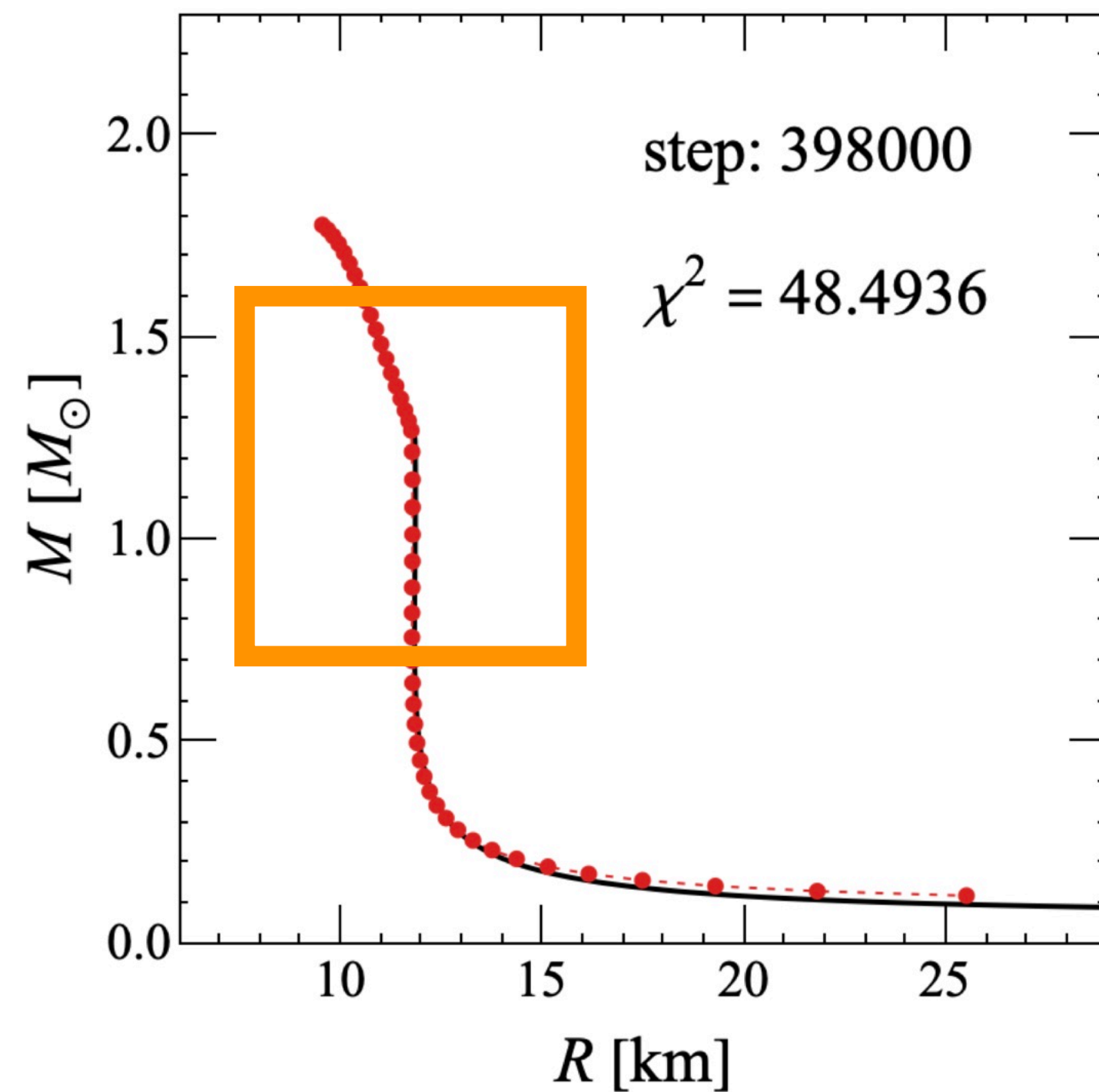
Observable	Mass(M_{\odot})	Radius(km)
M13	1.42±0.49	11.71±2.48
M28	1.08±0.30	8.89±1.16
M30	1.44±0.48	12.04±2.30
NGC 6304	1.41±0.54	11.75±3.47
NGC 6397	1.25±0.39	11.48±1.73
ω Cen	1.23±0.38	9.80±1.76
4U 1608-52	1.60±0.31	10.36±1.98
4U 1724-207	1.79±0.26	11.47±1.53
4U 1820-30	1.76±0.26	11.31±1.75
EXO 1745-248	1.59±0.24	10.40±1.56
KS 1731-260	1.59±0.37	10.44±2.17
SAX J1748.9-2021	1.70±0.30	11.25±1.78
X5	1.18±0.37	10.05±1.16
X7	1.37±0.37	10.87±1.24
4U 1702-429	1.90±0.30	12.40±0.40
PSR J0437-4715	1.44±0.07	13.60±0.85
PSR J0030+0451	1.44±0.15	13.02±1.15
PSR J0740+6620	2.08±0.07	13.70±2.05

Physics-Driven Deep Learning

1. Building Neutron Star EoS

in Preparation

with Shuzhe Shi, Zidu Lin, etc.



**Able to capture
first-order
phase transition !**

48 Neutron Stars
(24 in $M > M_{\odot}$)

Represent Speed of Sound

1. Microscopicly stable condition, $\frac{dp}{d\epsilon} \geq 0$

2. Causality condition, $\frac{dp}{d\epsilon} = \frac{c_s^2}{c^2} < 1$

Representing $c_s(\epsilon) = \sigma(y(\epsilon))$ with neural networks $y(\epsilon)$, above two conditions can be naturally met with the **sigmoid** activation function, $\sigma(x) = 1/(1 + e^{-x})$.

Physics-Driven Deep Learning

2. Reconstructing Spectral Function

Correlation Function

$$\bar{D}_{\eta\eta'} = \text{Tr}(\mathcal{T}_\tau [J_\eta(\tau, \mathbf{x}) J_{\eta'}^\dagger(0, 0)] e^{-H/T}) / Z$$

M. Asakawa, Y. Nakahara, and T. Hatsuda, Prog. Part. Nucl. Phys. 46, 459 (2001)

$$\equiv T \sum_n \int \frac{d^3k}{(2\pi)^3} D_{\eta\eta'}(i\omega_n, \mathbf{k}) e^{-i(\omega_n\tau - \mathbf{k}\cdot\mathbf{x})}$$

$\mathbf{k} = 0, \eta = \eta'$

$\mathbf{k} = 0, \eta = \eta', T \rightarrow 0$

Spectrum representation

$$G(\tau, T) = \int_0^\infty K(\omega, \tau, T) \rho(\omega, T) d\omega$$

$$K(\omega, \tau, T) = \frac{\cosh \omega(\tau - \frac{1}{2T})}{\sinh \frac{\omega}{2T}}$$

Thermal Kernel

Energy eigenspace

$$C(\tau) = \sum_{n=0} e^{-E_n\tau} | \langle \Omega_0 | J(0) | \Omega_n \rangle |^2$$

$$\equiv \sum_{n=0} |c_n|^2 e^{-E_n\tau}$$

Discretization

$$G(\tau) = \int_0^\infty e^{-\tau\omega} \rho(\omega) d\omega$$

Laplace Kernel

Spectral Function

$$\rho(\omega), E_n$$

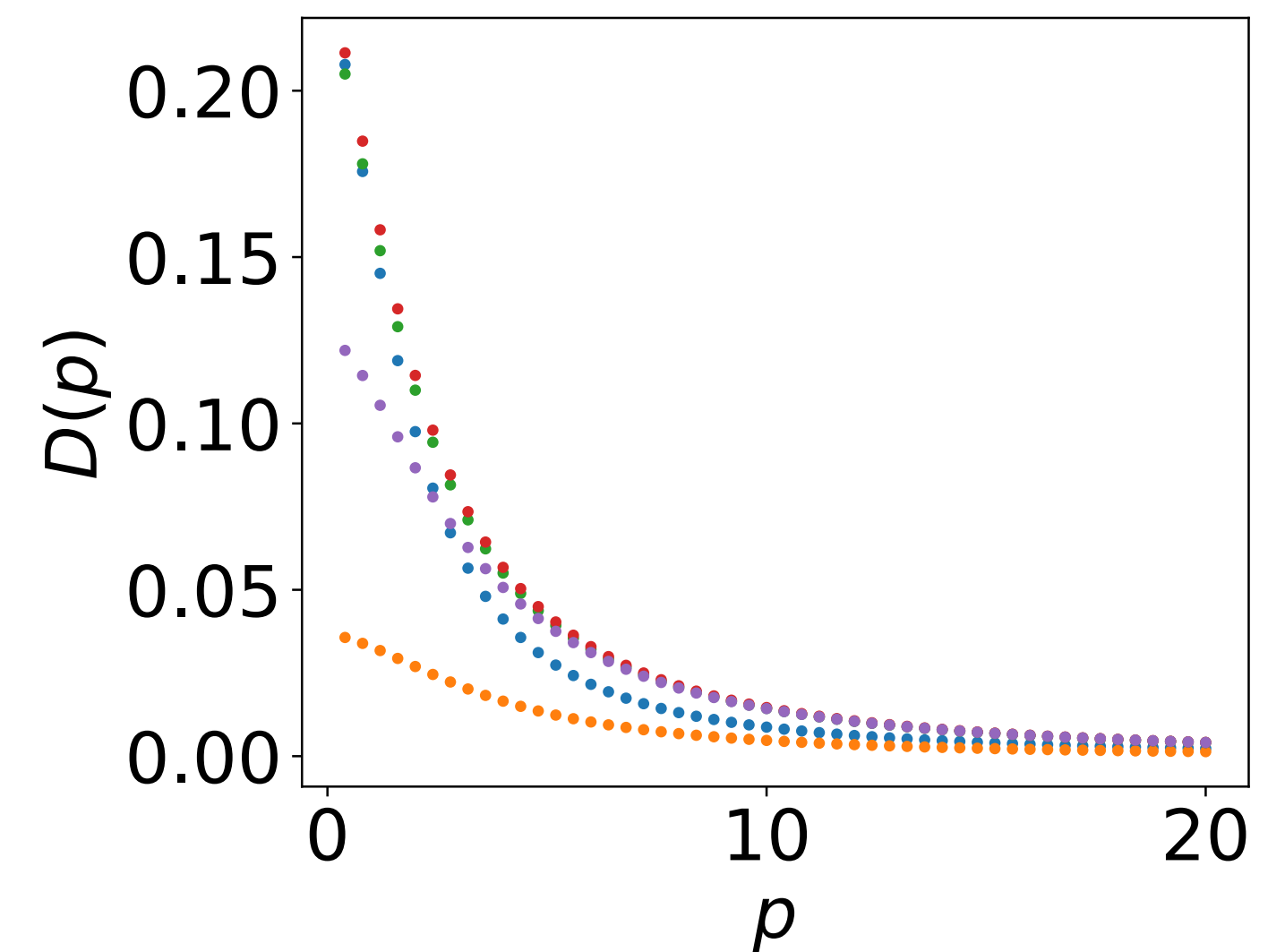
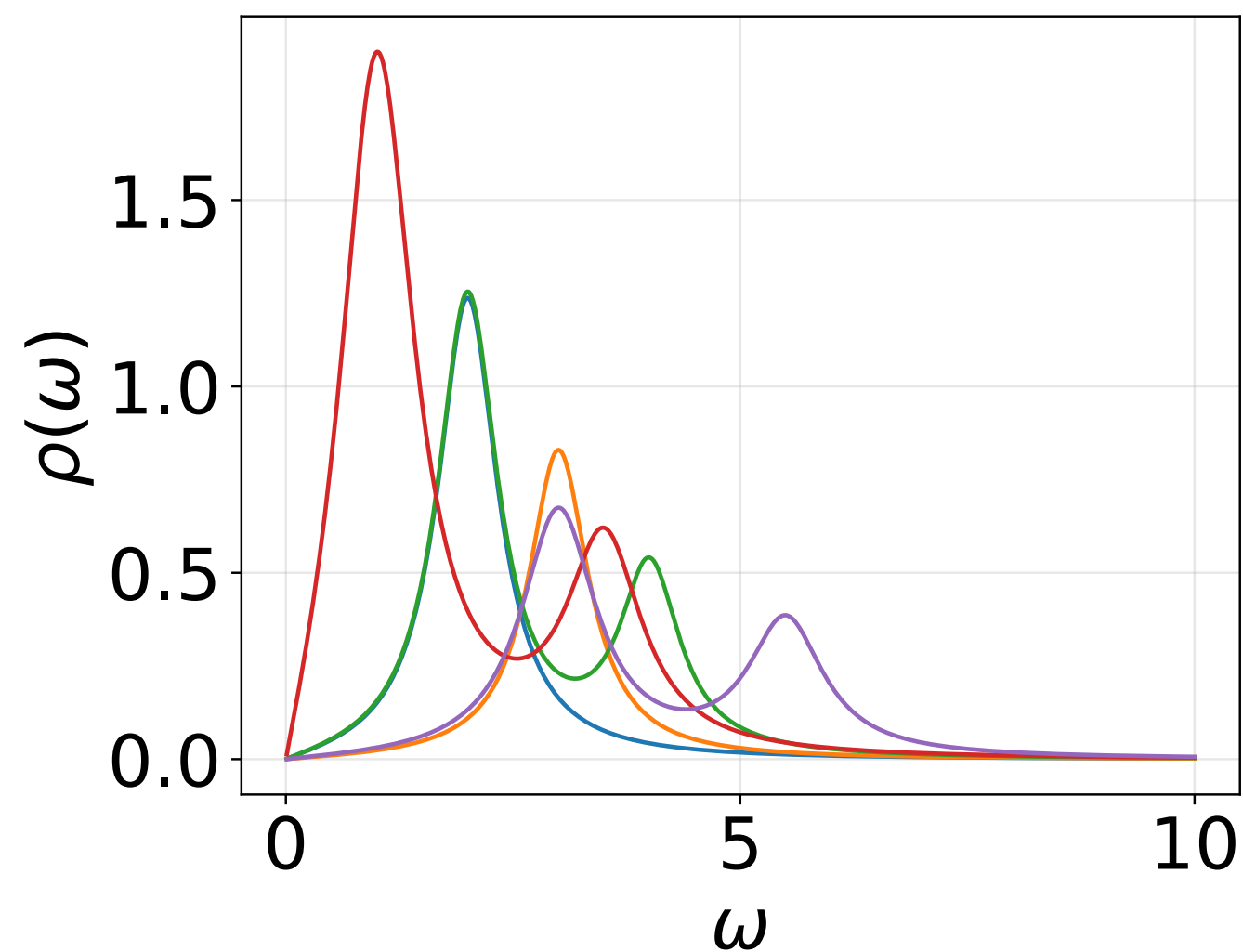
$T \rightarrow 0$

Physics-Driven Deep Learning

2. Reconstructing Spectral Function

Kallen–Lehmann(KL) representation

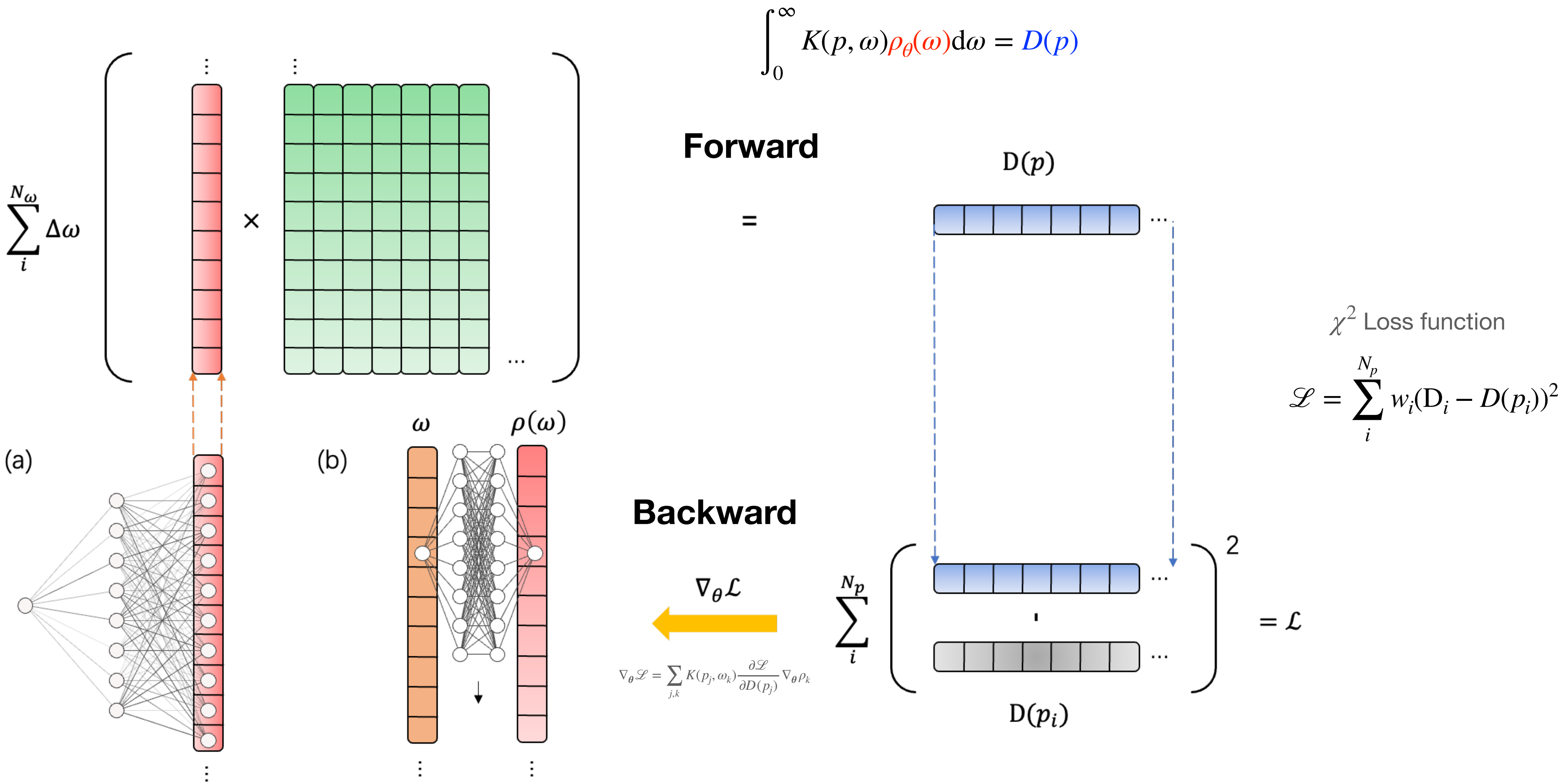
$$D(p) \equiv \int_0^{\infty} K(p, \omega) \rho(\omega) d\omega \quad K(p, \omega) = \frac{\omega}{\pi(\omega^2 + p^2)}$$



Physics-Driven Deep Learning

2. Reconstructing Spectral Function

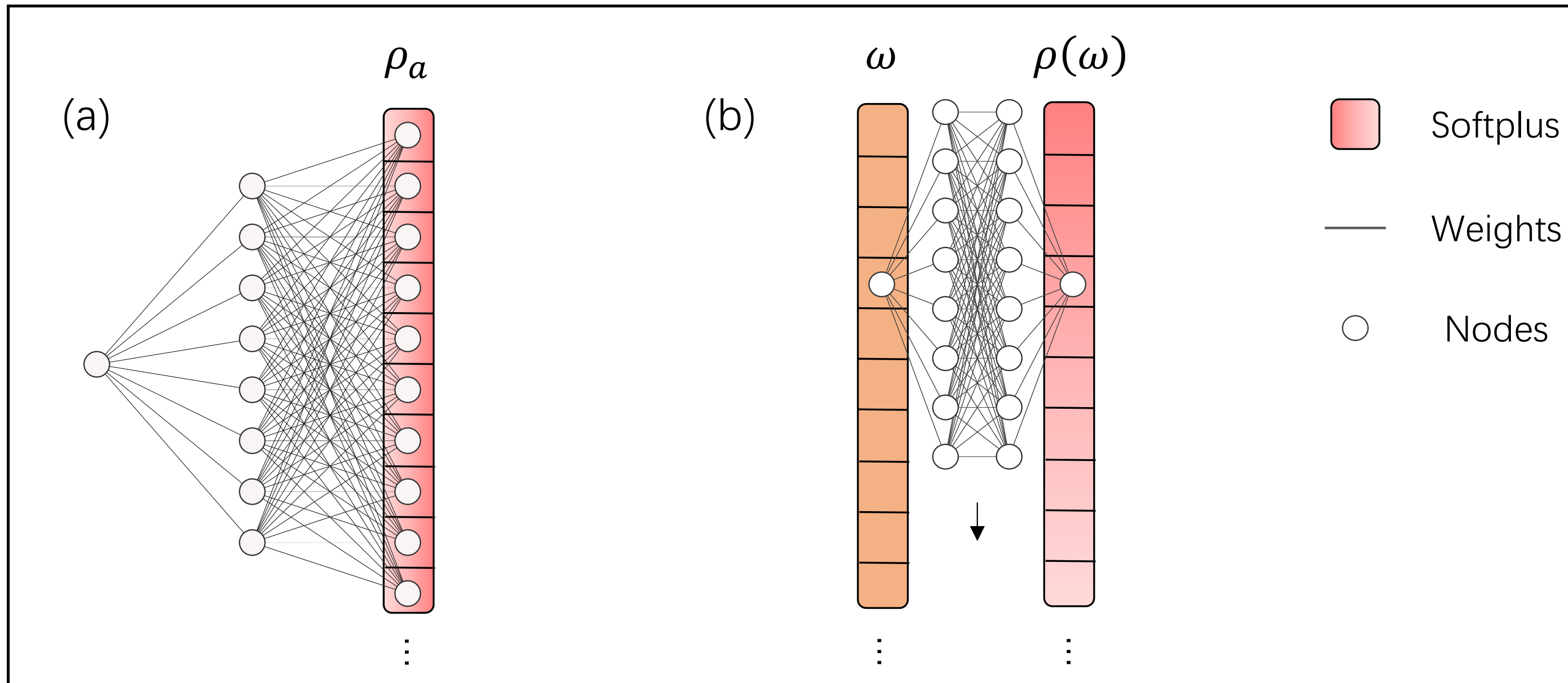
Phys. Rev. D 106, L051502



Physics-Driven Deep Learning

2. Reconstructing Spectral Function

Phys. Rev. D 106, L051502



NN : $(\rho_1, \rho_2, \dots, \rho_{N_\omega})$

Differentiable variables : Network weights $\{\theta\}$

Adam, L2 ($\lambda = 10^{-3} \rightarrow 10^{-8}$), Smoothness ($\lambda_s = 10^{-4} \rightarrow 0$)

width = 64 and depth = 3 with bias

NN-P2P : $\rho(\omega)$

Differentiable variables : Network weights $\{\theta\}$

Adam, L2 ($\lambda = 10^{-6} \rightarrow 0$)

width = 64 and depth = 3 with bias

Regularization

$$\text{L2} : \lambda \|\theta\|_2^2$$

$$\text{Smoothness} : \lambda_s \sum_i^{N_\omega} (\rho_i - \rho_{i-1})^2$$

Gradient-based Optimization

$$\text{Adam} : \theta_{t+1} = \theta_t - \frac{\eta}{\sqrt{\hat{v}_t} + \epsilon} \hat{m}_t$$

Physical Prior

Positive-defined condition (for hadrons)

Softplus $\log(1 + e^x)$

Continuity

NN-P2P

Physics-Driven Deep Learning

2. Reconstructing Spectral Function

Phys. Rev. D 106, L051502

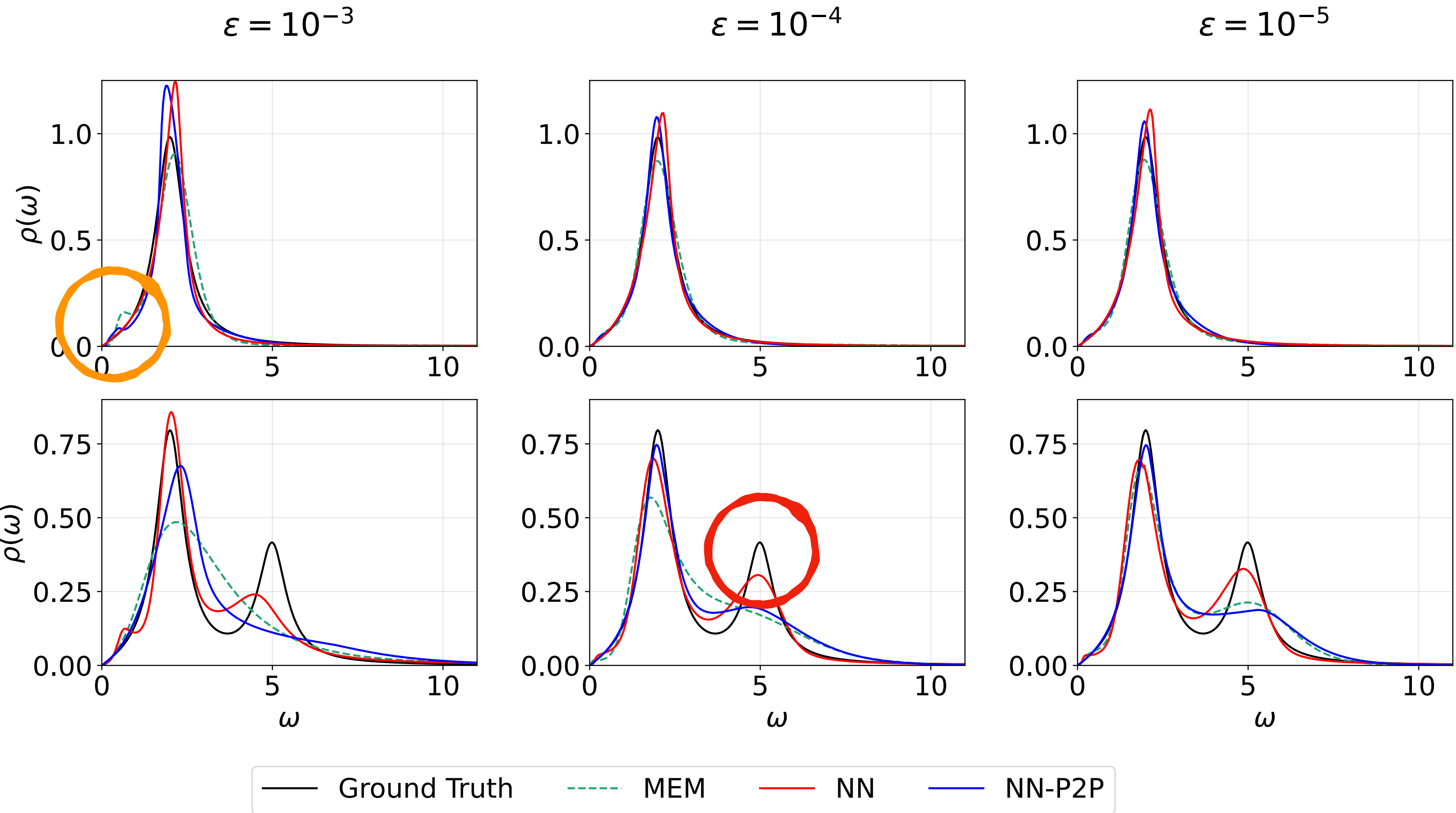
Mock Test I.

$$\rho^{(BW)}(\omega) = \frac{4A\Gamma\omega}{(M^2 + \Gamma^2 - \omega^2)^2 + 4\Gamma^2\omega^2}$$

$A = 1.0, \Gamma = 0.5, M = 2.0$

$A_1 = 0.8, A_2 = 1.0, \Gamma_1 = \Gamma_2 = 0.5$

$M_1 = 2.0, M_2 = 5.0$



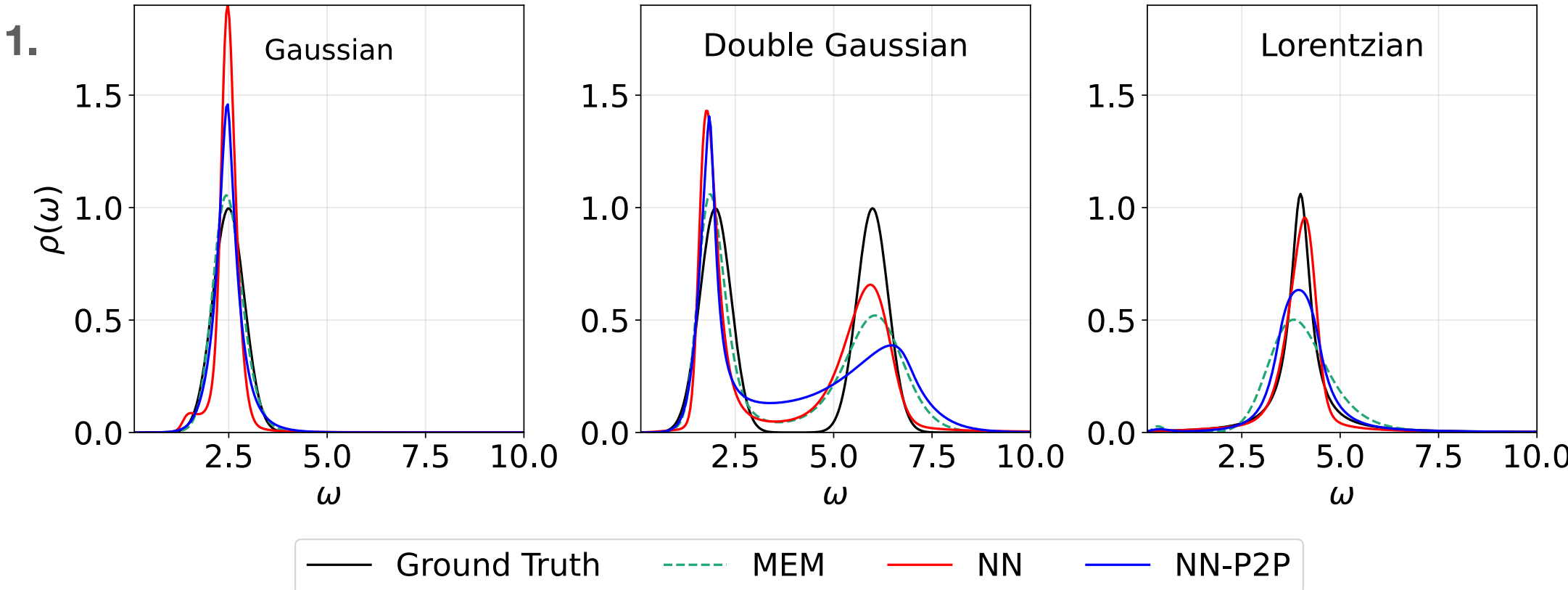
Physics-Driven Deep Learning

2. Reconstructing Spectral Function

Phys. Rev. D 106, L051502

Mock Test II.

noise level $\epsilon = 10^{-4}$ with $N_p = 25$ points

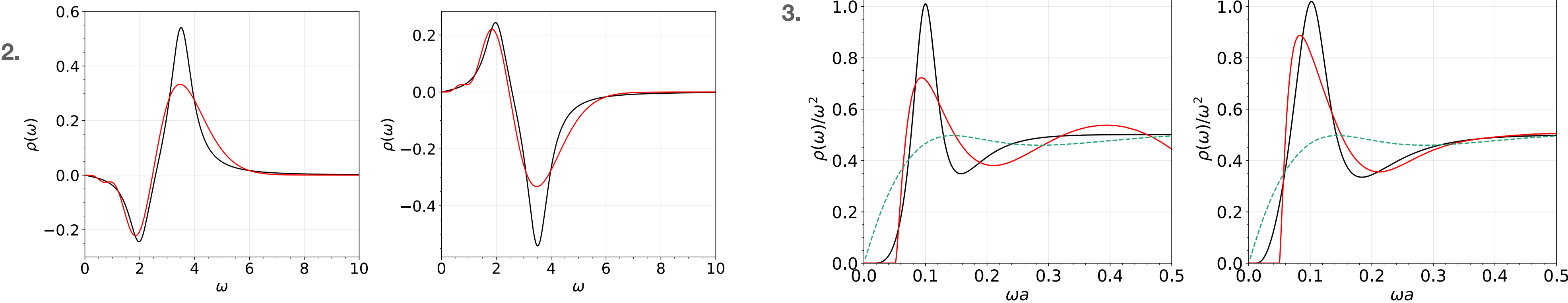


- 1. Single-peak functions
- 2. Non-positive-definited SPs
- 3. Lattice QCD mock data

Thermal (details see arXiv:2110.13521)

$$G(\tau, T) = \int_0^\infty \frac{d\omega}{2\pi} K(\omega, \tau, T) \rho(\omega, T)$$

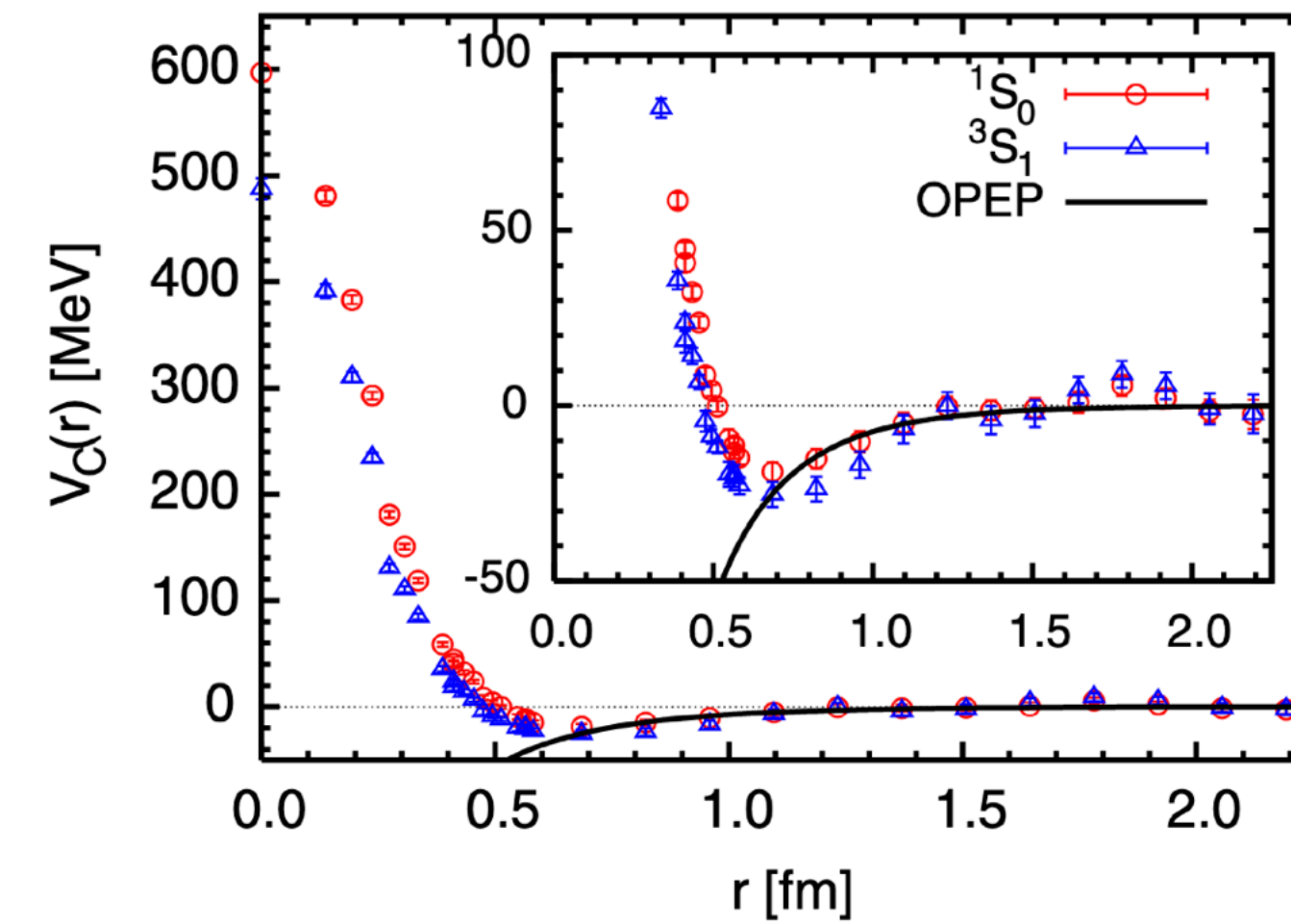
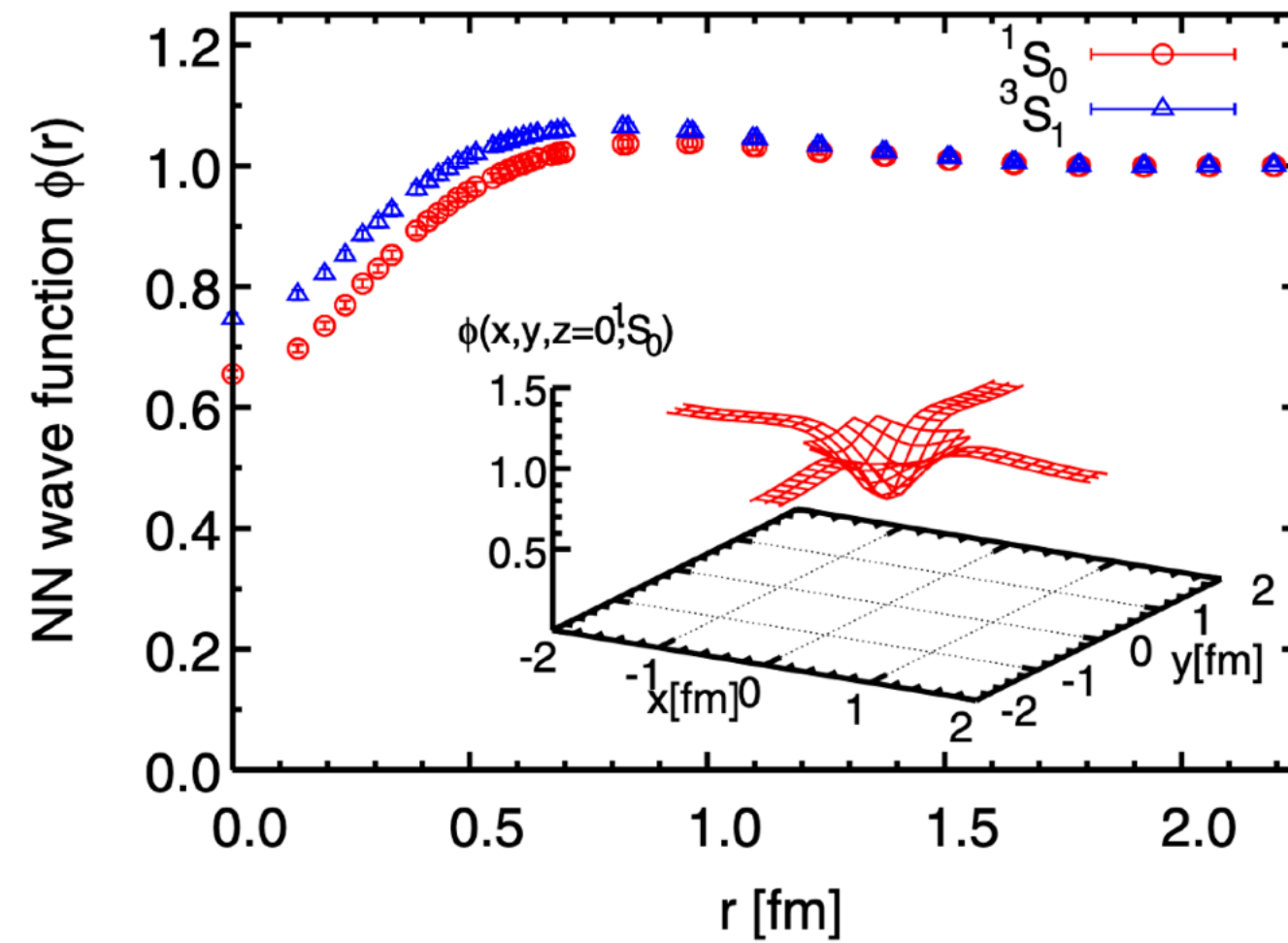
$$K(\omega, \tau, T) = \frac{\cosh \omega(\tau - \frac{1}{2T})}{\sinh \frac{\omega}{2T}}$$



Physics-Driven Deep Learning

3. Extracting Nuclear Force

N. Ishii, S. Aoki, and T. Hatsuda, Phys. Rev. Lett. **99**, 022001 (2007)



Local Approx.
Gradient Expansion



HAL QCD method

Nambu-Bethe-Salpeter (NBS) wave function

$$\begin{aligned} \psi_{NBS}(\vec{r}) &= \langle 0 | N(\vec{r}) N(\vec{0}) | N(\vec{k}) N(-\vec{k}), in \rangle \\ &\simeq e^{i\delta_l(k)} \sin(kr - l\pi/2 + \delta_l(k)) / (kr) \end{aligned}$$

(at asymptotic region)

Nuclear Force

$$\begin{aligned} (k^2/m_N - H_0) \psi_{NBS}(\vec{r}) \\ = \int d\vec{r}' U(\vec{r}, \vec{r}') \psi_{NBS}(\vec{r}') \end{aligned}$$

(Schrodinger eq.)

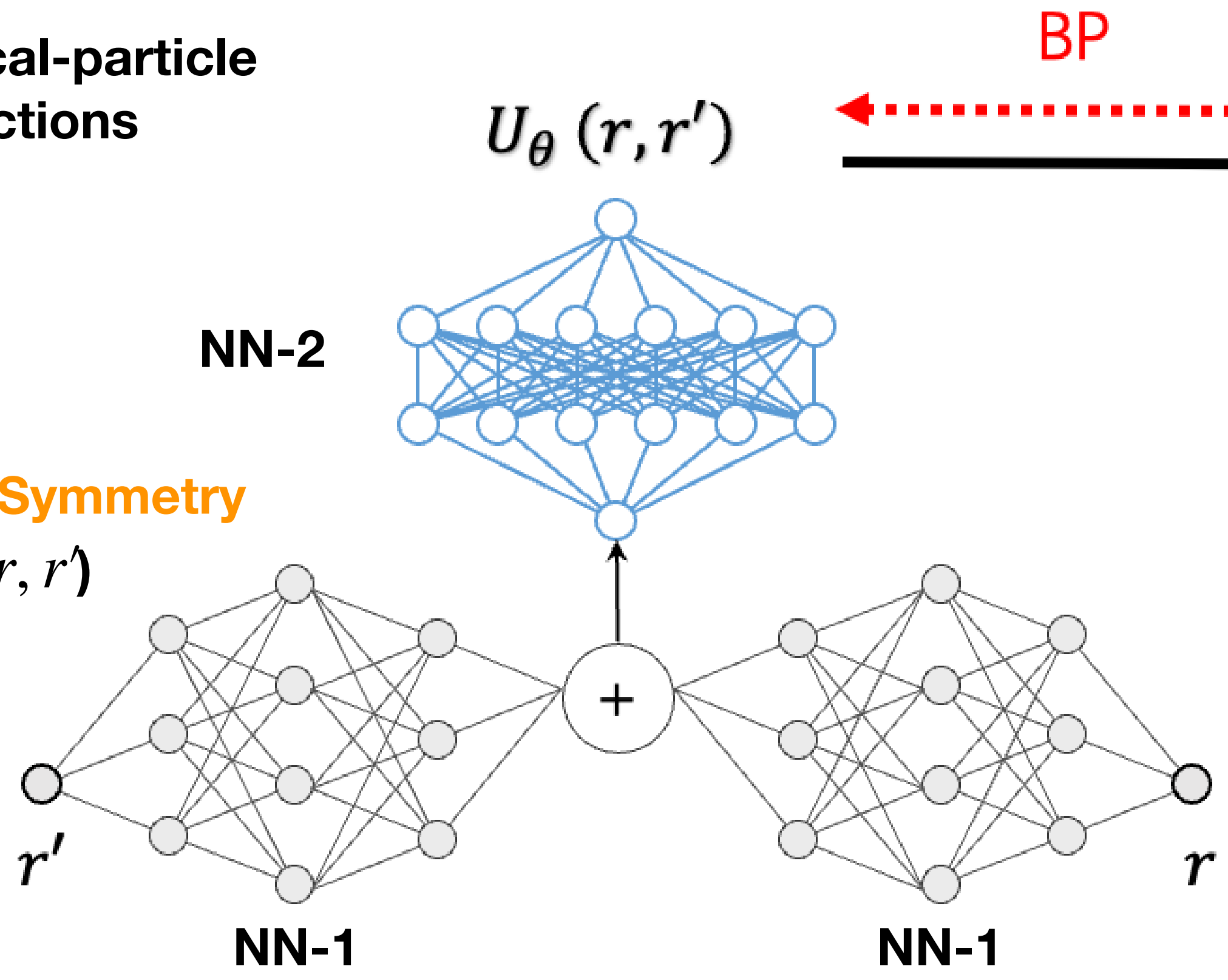
Physics-Driven Deep Learning

3. Extracting Nuclear Force

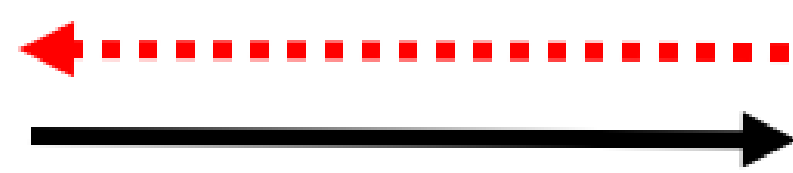
in preparation (with HAL QCD)

Two identical-particle interactions

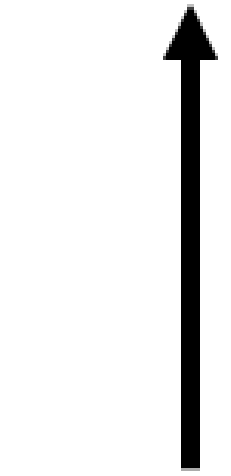
Exchange Symmetry for (r, r')



BP



Residual of Schrödinger Eq.



$$(E_k - H_0)\phi_k(\mathbf{r}) = \int d^3 r' U(\mathbf{r}, \mathbf{r}')\phi_k(\mathbf{r}')$$

$$E_k = \frac{k^2}{2m}, \quad H_0 = -\frac{\nabla^2}{2m}, \quad m = \frac{m_N}{2}$$

$\phi_k(r)$
or

Phys. Lett. B 712, 437 (2012)

$$R_t(r) \left\{ \frac{1}{4m_N} \frac{\partial^2}{\partial t^2} - \frac{\partial}{\partial t} - H_0 \right\} R(t, r) = \int 4\pi r'^2 dr' U(r, r') R(t, r')$$

Physics-Driven Deep Learning

3. Extracting Nuclear Force

in preparation (with HAL QCD)

$\Omega_{ccc}\Omega_{ccc}$ Interaction

$$\left\{ \frac{1}{4m_N} \frac{\partial^2}{\partial t^2} - \frac{\partial}{\partial t} - H_0 \right\} R(t, r) = \int 4\pi r'^2 dr' U(r, r') R(t, r')$$

Nambu-Bethe-Salpeter (NBS) wave function

$$R_2 = R_{t+1} - 2R_t + R_{t-1}, R_1 = (R_{t+1} - R_{t-1})/2, Rr = \nabla^2 R(t, r)$$

$$m_N = 2.073, a^{-1} = 2333.0 \text{ MeV}$$

$$t = 26$$

Phys. Rev. Lett. 127, 072003 (2021)

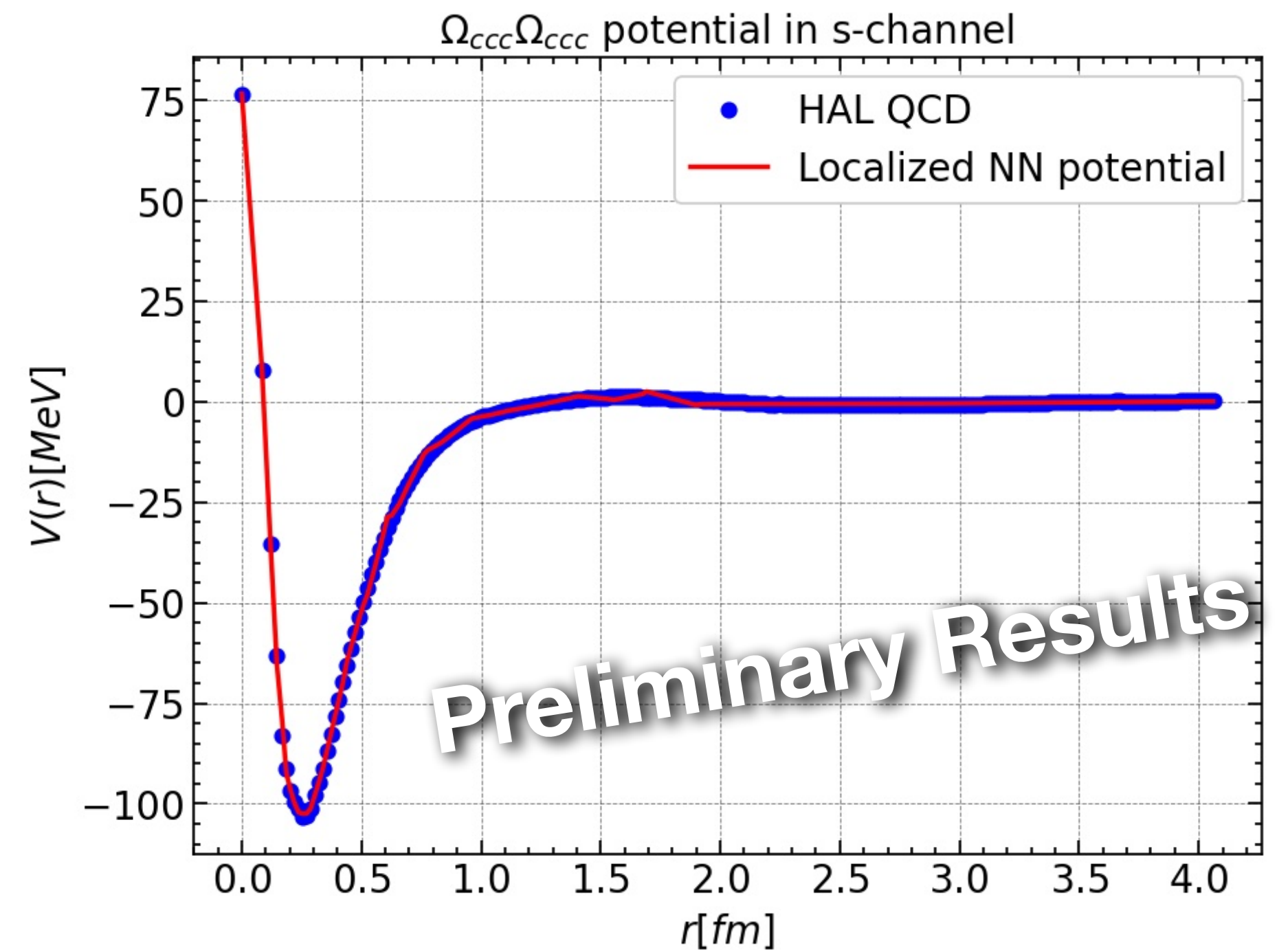
No Approx.



$$\mathcal{L} = \sum_t \left\{ \frac{1}{4m_N} R_2(t, r) - R_1(t, r) + \frac{1}{m_N} Rr(t, r) - \int 4\pi r'^2 dr' U_\theta(r, r') R(t, r') \right\}$$

$$V_\theta(r) \equiv \frac{\sum_{r'} \Delta r' U_\theta(r, r') R(t, r')}{R(t, r)}$$

Neural Network Hadron Force

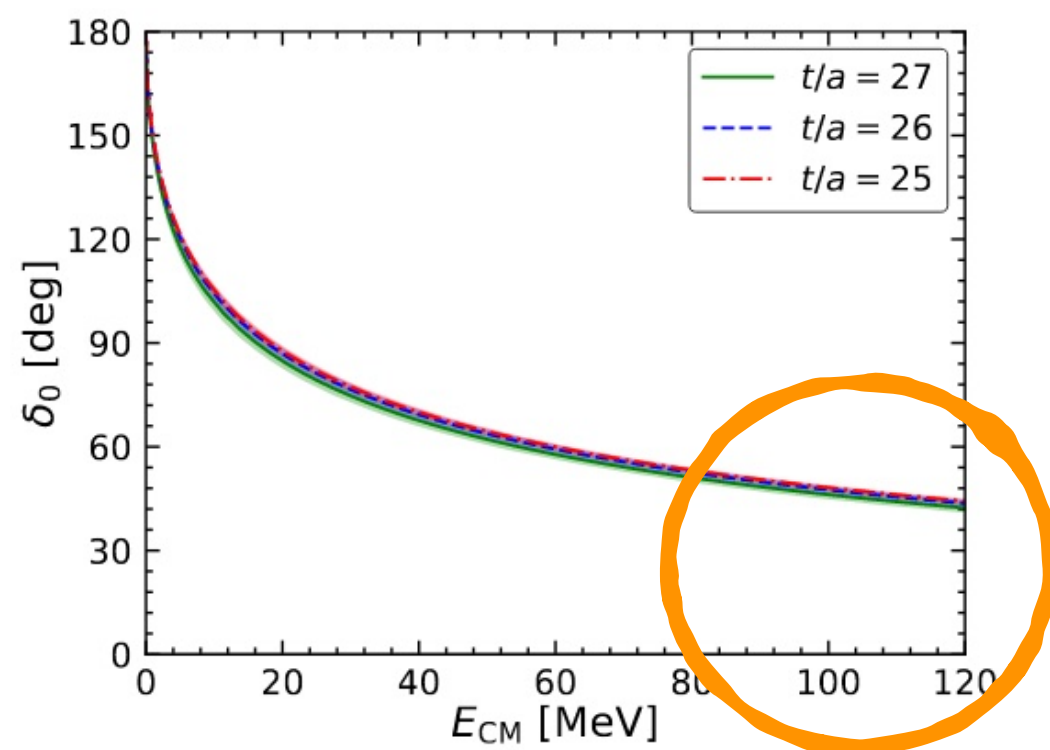
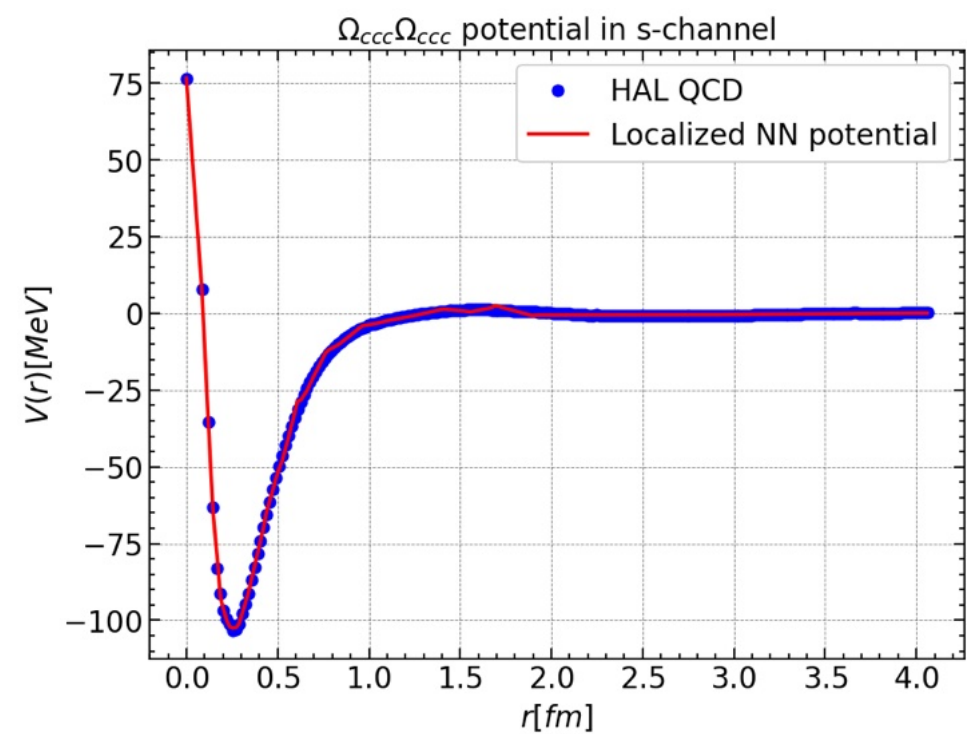


Physics-Driven Deep Learning

3. Extracting Nuclear Force

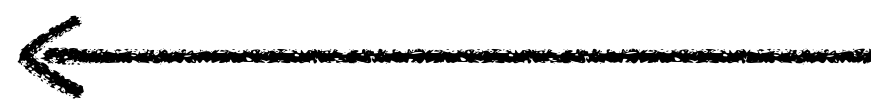
in preparation (with HAL QCD)

$\Omega_{ccc}\Omega_{ccc}$ Interaction



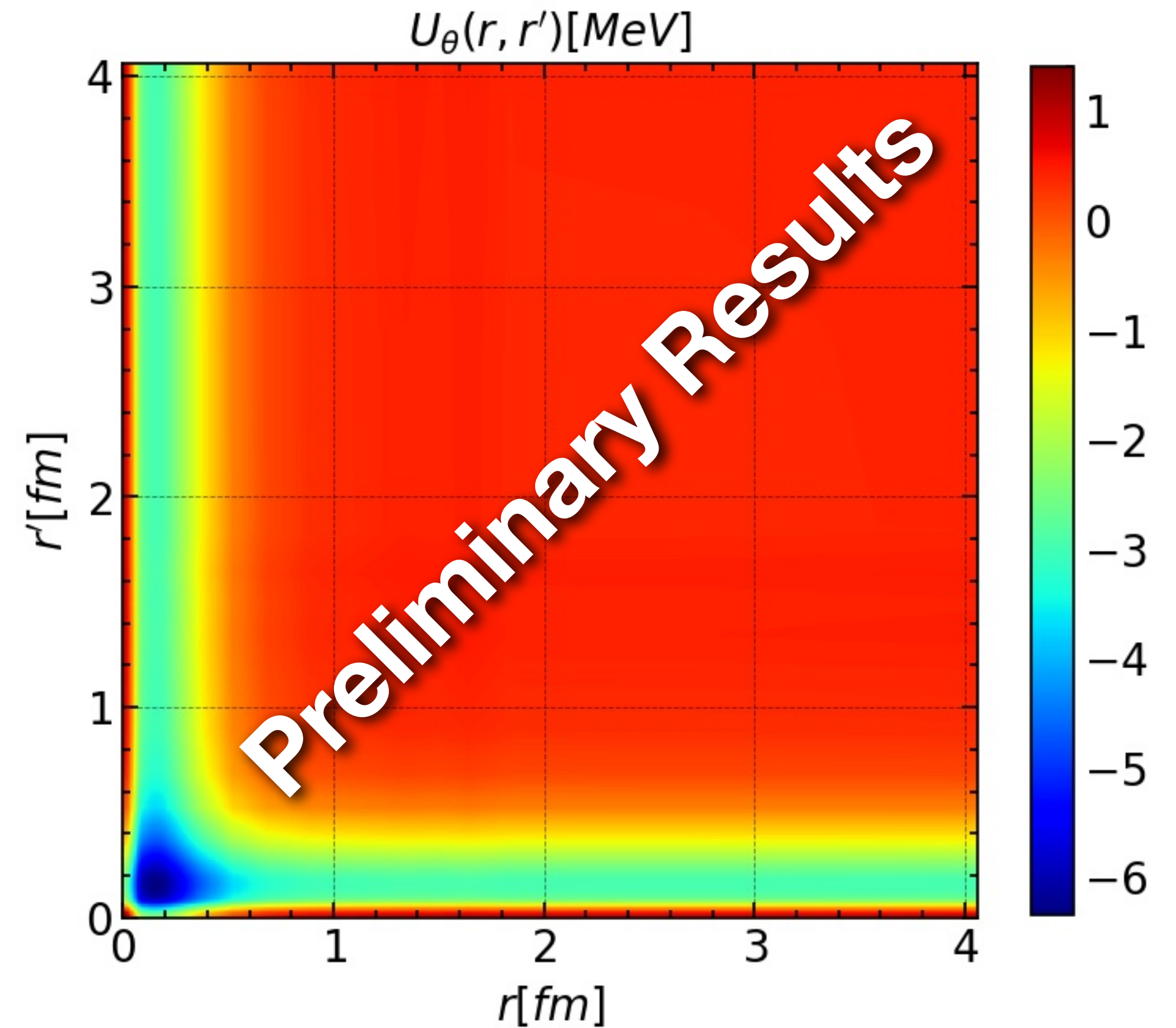
$$(E_k - H_0)\phi_{\mathbf{k}}(\mathbf{r}) = \int d^3 r' U_{\theta}(\mathbf{r}, \mathbf{r}')\phi_{\mathbf{k}}(\mathbf{r}')$$

$$E_k = \frac{k^2}{2m}, \quad H_0 = -\frac{\nabla^2}{2m}, \quad m = \frac{m_N}{2}$$



Affect

Neural Network Hadron Force



Summary I

- **Inverse Problems**

- Data-driven learning
- Physics-driven learning
 - **Neural network representations**
 - **Embed physics priors explicitly**
 - **Continuity and Causality** for EoSs
 - **Continuity and Positive Definiton** for SFs
 - **Exchange Symmetry and Asymptotic Behavior** for HH interactions

- **Future works**

- PTs in Nuclear Matter EoS
- More Spectroscopy [[github1](#), [github2](#)]
- More Hadron Forces

in preparation
[Review]

Physics-Driven Learning for Solving Inverse Problems towards QCD Physics

Gert Aarts¹, Kenji Fukushima², Tetsuo Hatsuda³, Andreas Ipp⁴, Shuzhe Shi⁵, Lingxiao Wang^{3,*}, and Kai Zhou^{6,7}

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³Interdisciplinary Theoretical and Mathematical Sciences Program (iTHEMS), RIKEN, Wako, Saitama 351-0198, Japan

⁴Institute for Theoretical Physics, TU Wien, Wiedner Hauptstraße 8-10/136, A-1040 Vienna, Austria

⁵Department of Physics, Tsinghua University, Beijing 100084, China

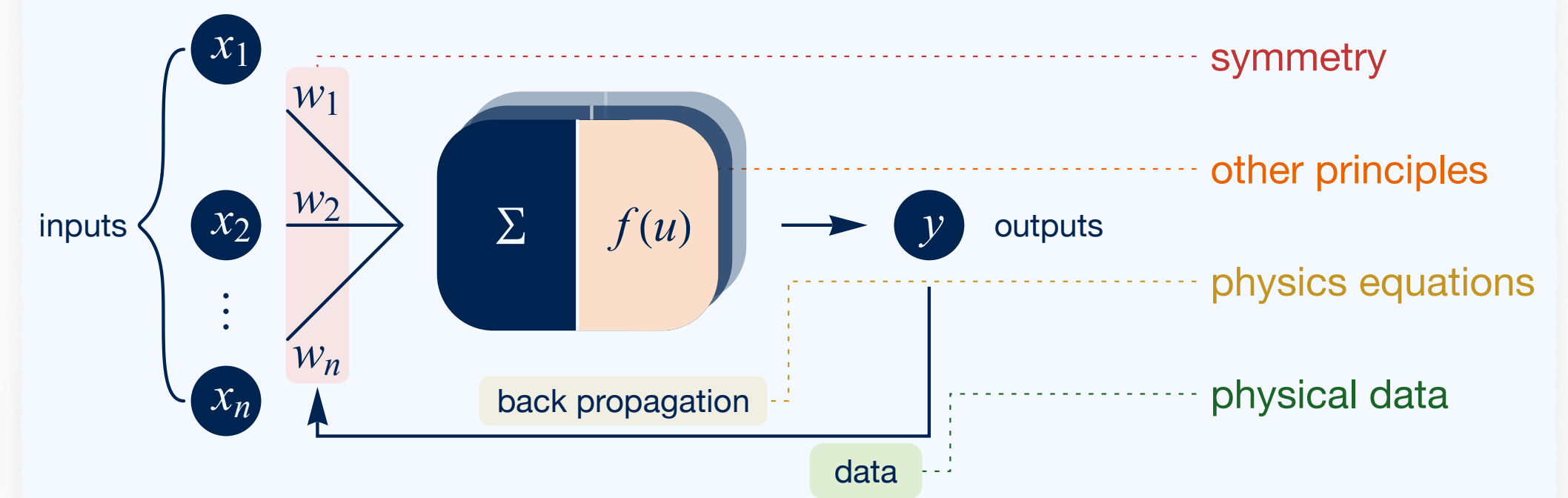
⁶School of Science and Engineering, The Chinese University of Hong Kong, Shenzhen (CUHK-Shenzhen), Guangdong, 518172, China

⁷Frankfurt Institute for Advanced Studies, Ruth Moufang Strasse 1, D-60438, Frankfurt am Main, Germany

*e-mail: lingxiao.wang@riken.jp

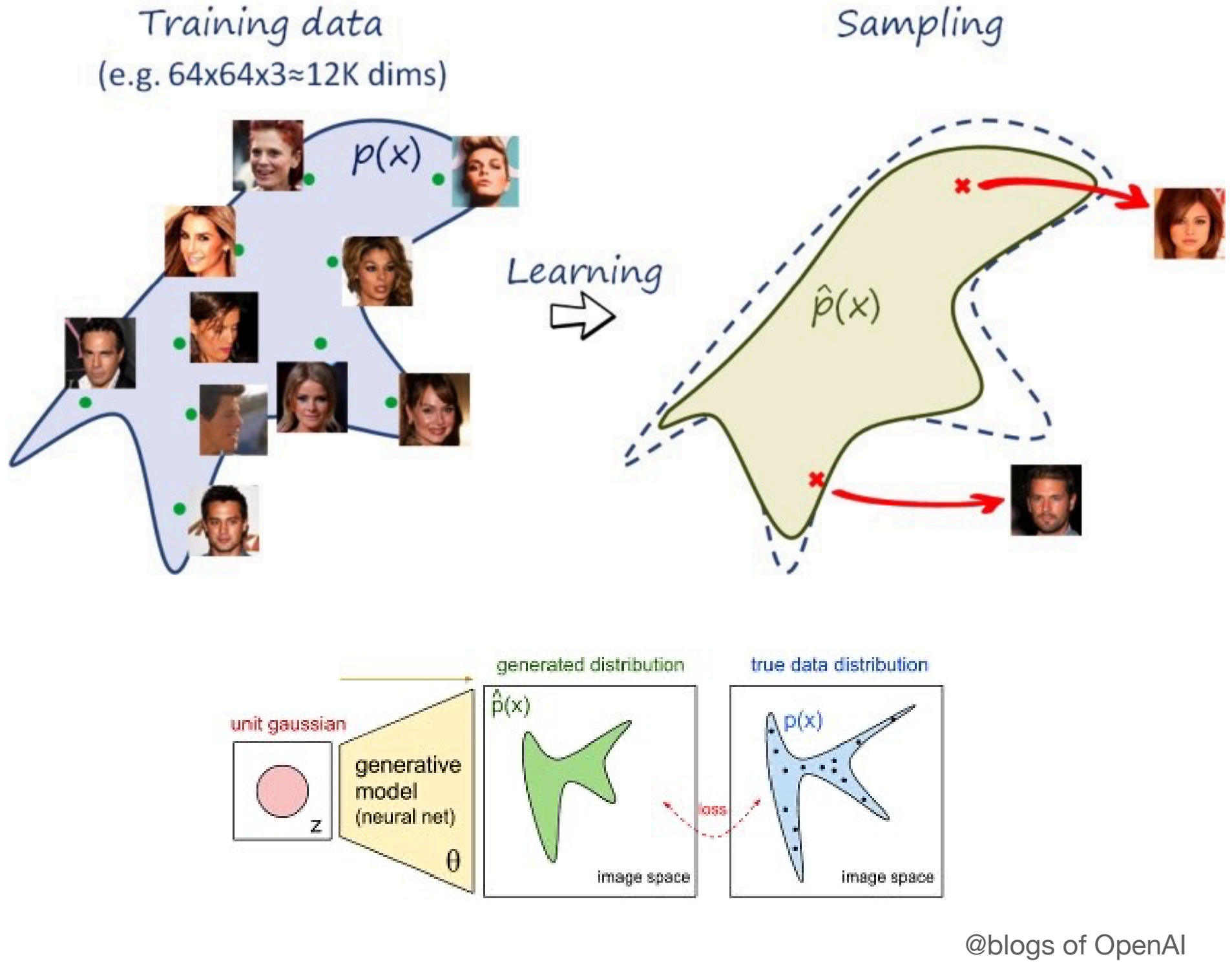
ABSTRACT

The integration of deep learning techniques and physics-driven designs is reforming the way we address inverse problems, in which accurate physical properties are extracted from complex data sets. This is particularly relevant for quantum chromodynamics (QCD), the theory of strong interactions, with its inherent limitations in observational data and demanding computational approaches. This perspective highlights advances and potential of physics-driven learning methods, focusing on predictions of physical quantities towards QCD physics, and drawing connections to machine learning (ML). It is shown that the fusion of ML and physics can lead to more efficient and reliable problem-solving strategies. Key ideas of ML, methodology of embedding physics priors, and generative models as inverse modelling of physical probability distributions are introduced. Specific applications cover first-principle lattice calculations, and QCD physics of hadrons, neutron stars, and heavy-ion collisions. These examples provide a structured and concise overview of how incorporating prior knowledge such as symmetry, continuity and equations into deep learning designs can address diverse inverse problems across different physical sciences.



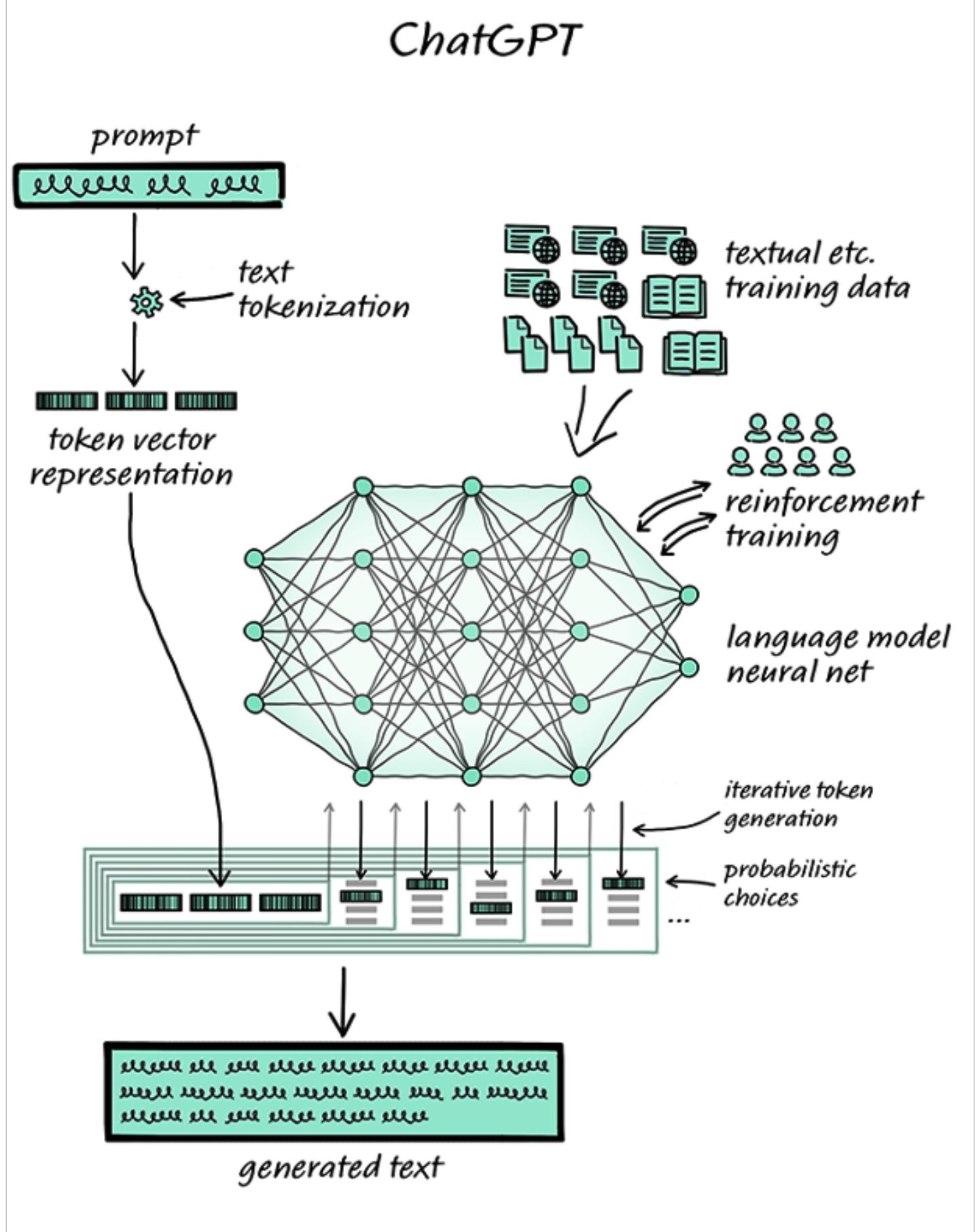
Generative Models as Inverse Modeling

Generative Models



Generative models
 → **Underlying Distributions** in Data

$$\max_{\theta} \prod_{i=1}^N p_{\theta}(x_i)$$



High-Dimensional Distribution

$$p(\phi) = e^{-S(\phi)} / Z$$

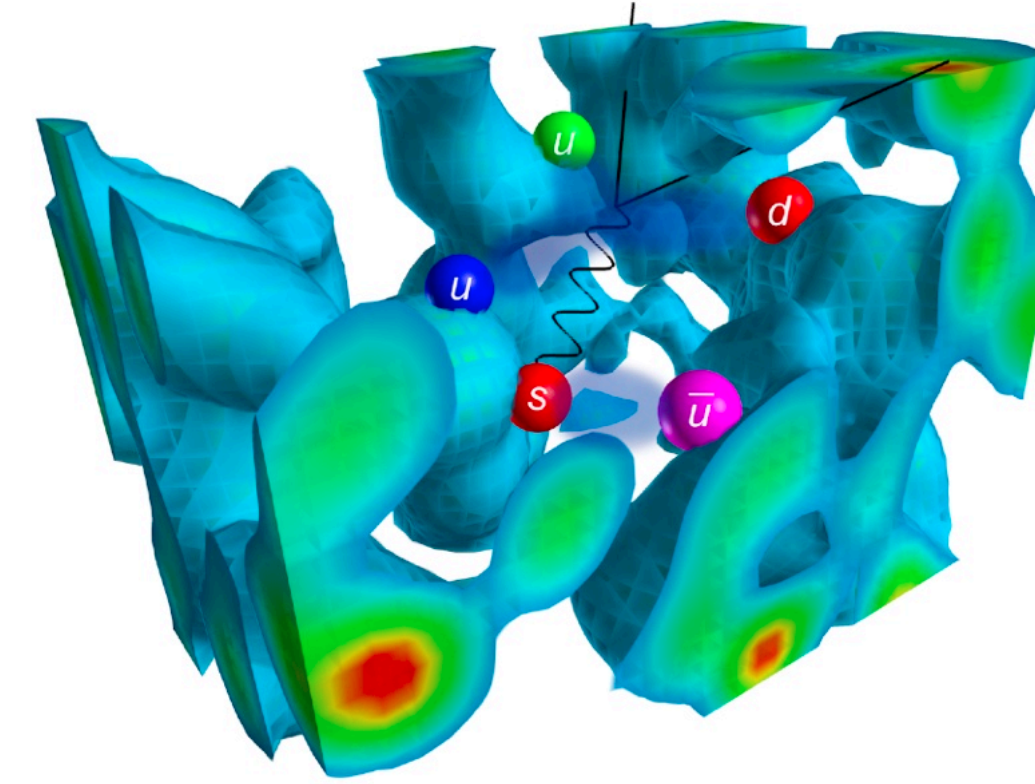
$$\langle O \rangle \approx \frac{1}{N} \sum_i O_i$$

→ **Physical Distribution, Sampling**
via Generative Models

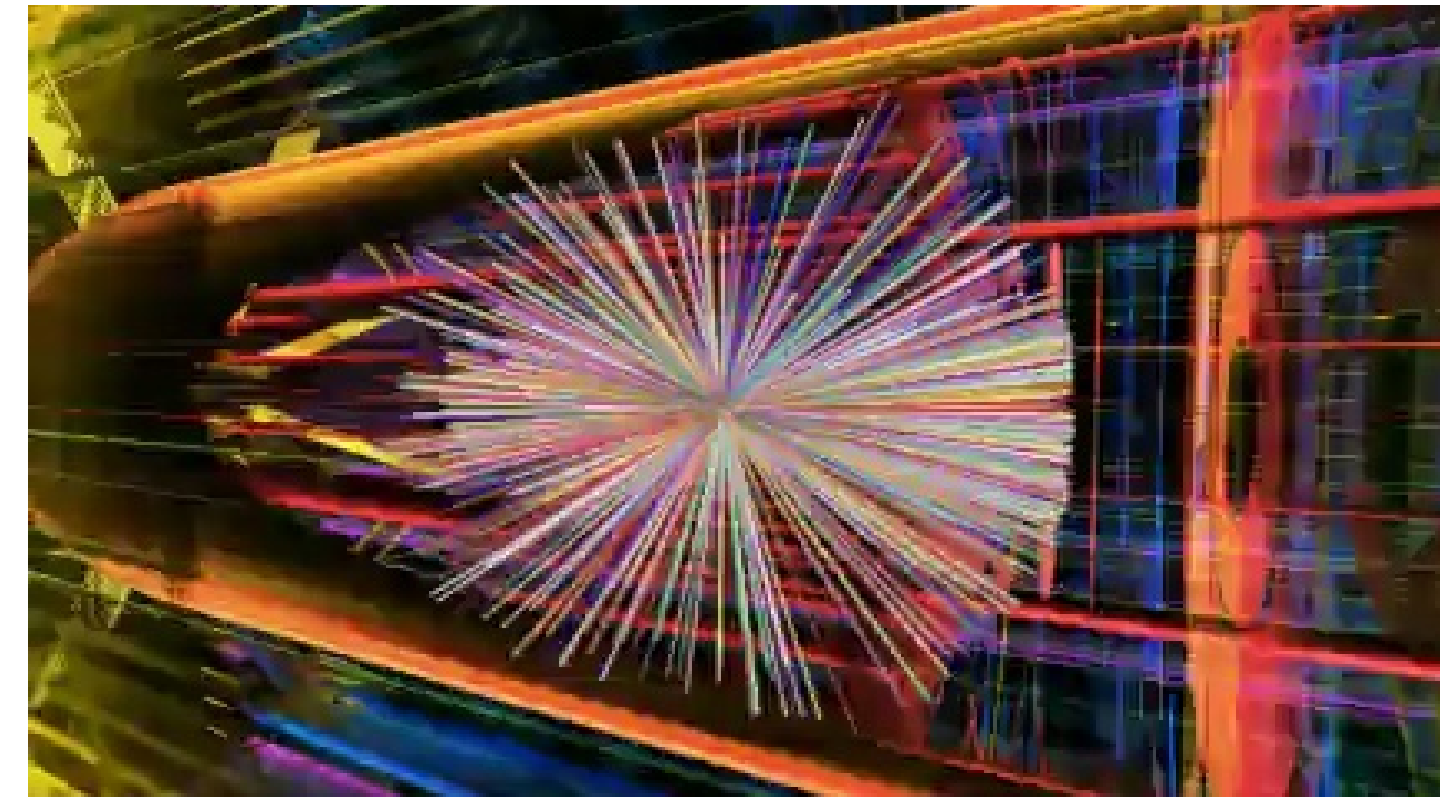
Global Sampling

Fast and Independent Sampler

Prog.Part.Nucl.Phys. 104084(2023)



Lattice QCD © Derek Leinweber/CSSM/University of Adelaide



Heavy-Ion Collisions © 2010 CERN

Learn to Sample

$$p(\phi) = e^{-S(\phi)} / Z$$

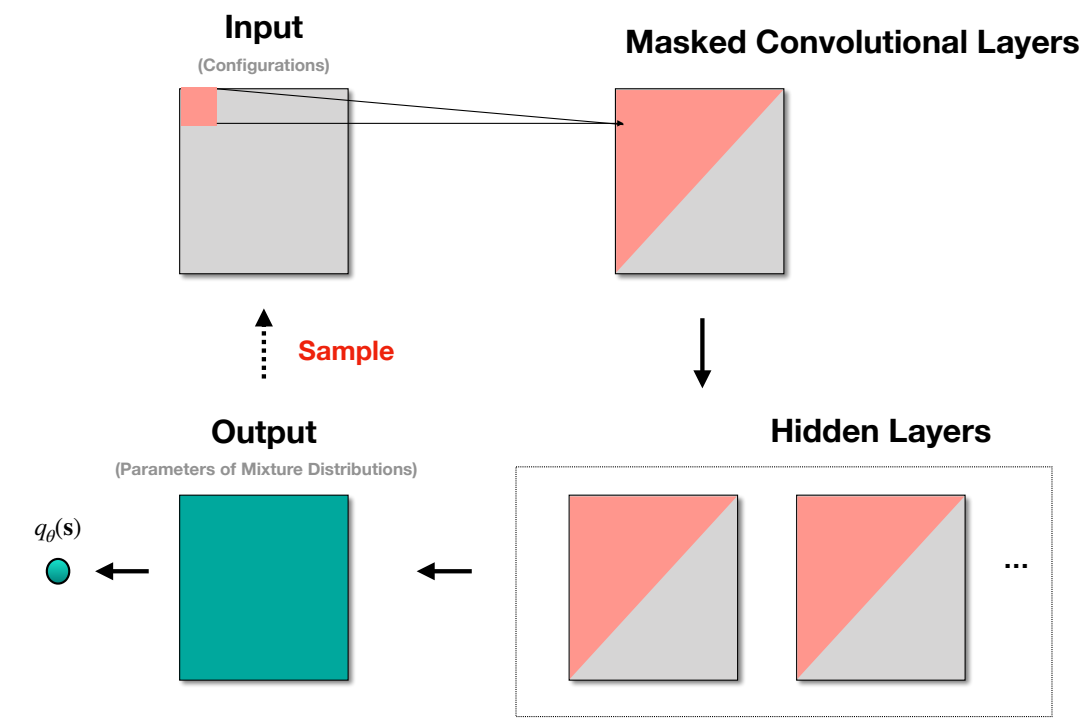
$$\langle O \rangle \approx \frac{1}{N} \sum_i O_i$$

→ **Physical Distribution, Sampling**
via Generative Models

Global Sampling

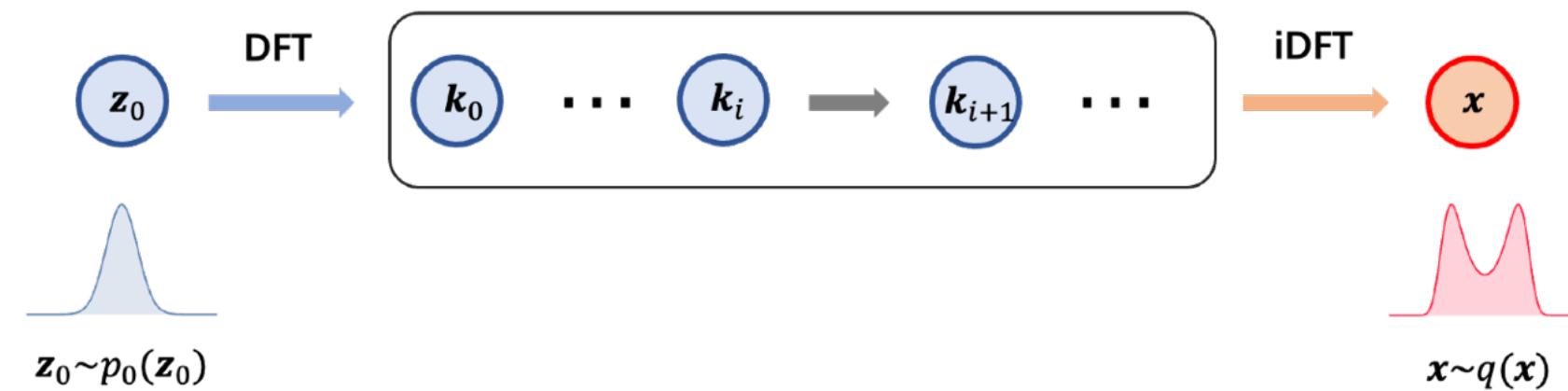
Fast and Independent Sampler

Prog.Part.Nucl.Phys. 104084(2023)



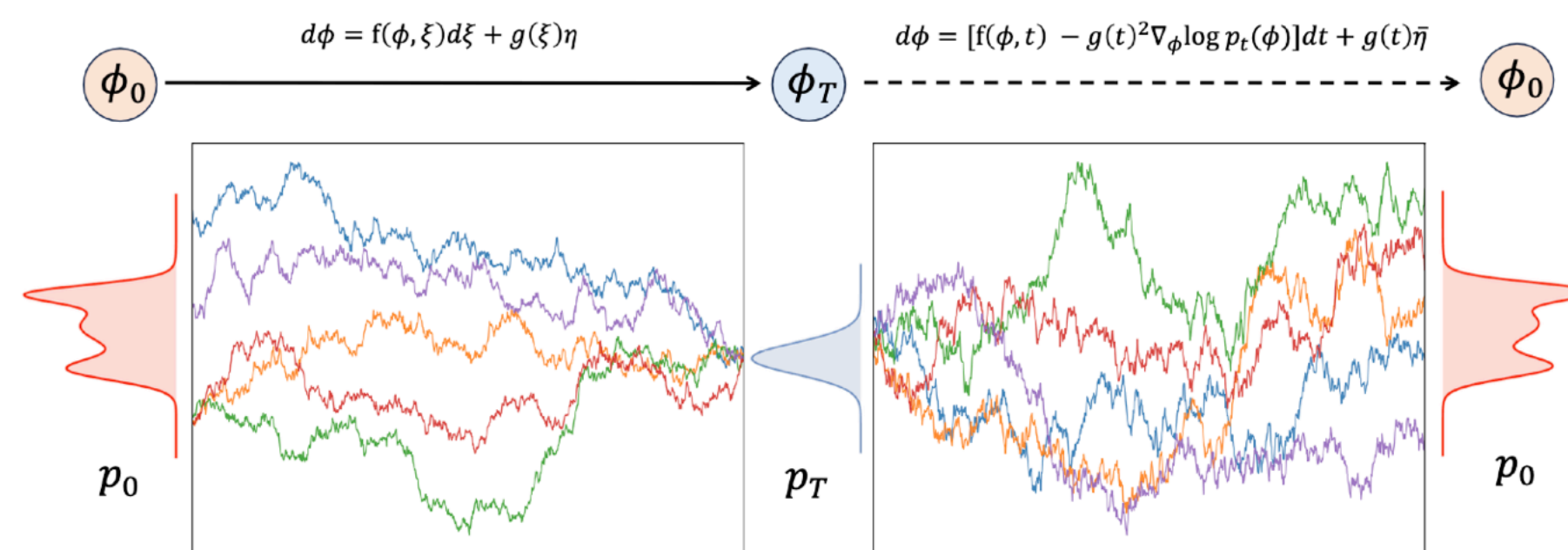
Continuous Autoregressive Models

Chinese Phys. Lett. 39, 120502 (2022)



Fourier Flow-based Model

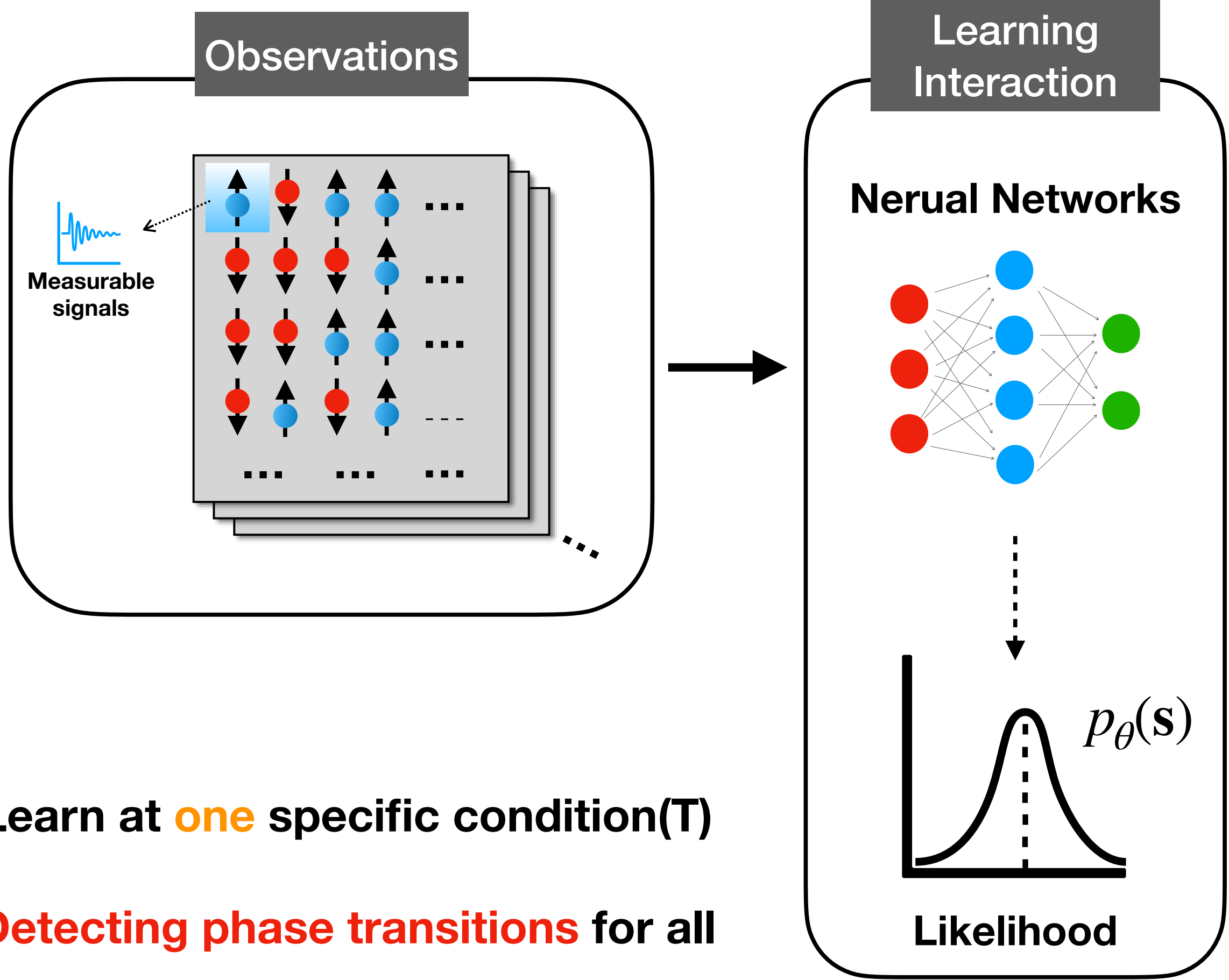
Phys. Rev. D 107, 056001



Diffusion Models

JHEP 05(2024)060

Learn Micro-Interactions from Observations



Learn at **one** specific condition(T)

Detecting phase transitions for all

$$\max_{\theta} \prod_{i=1}^N p_{\theta}(s_i)$$

$$p(s) \leftarrow p_{\theta}(s) \equiv \frac{e^{-\frac{H_{\theta}(s)}{T}}}{Z}$$

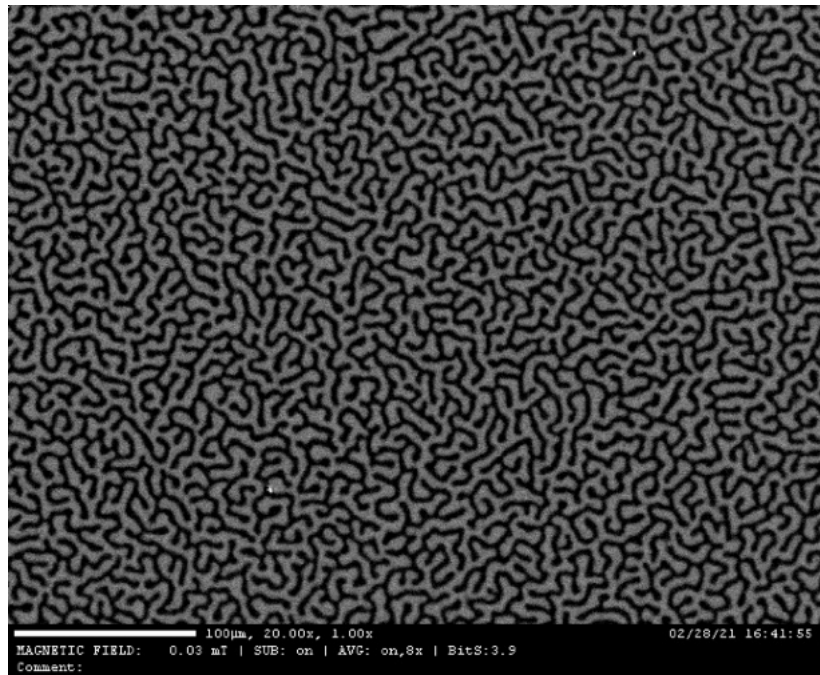
$$H_{\theta}(s, T) = -T \ln p_{\theta}(s) - T \ln Z$$

$$\frac{\Delta H_{\theta}(s, T)}{T'} \equiv -\frac{T}{T'} (\ln p_{\theta}(s + \delta s) - \ln p_{\theta}(s))$$

Learn to Detect Phase Transitions

Ferromagnetic Materials

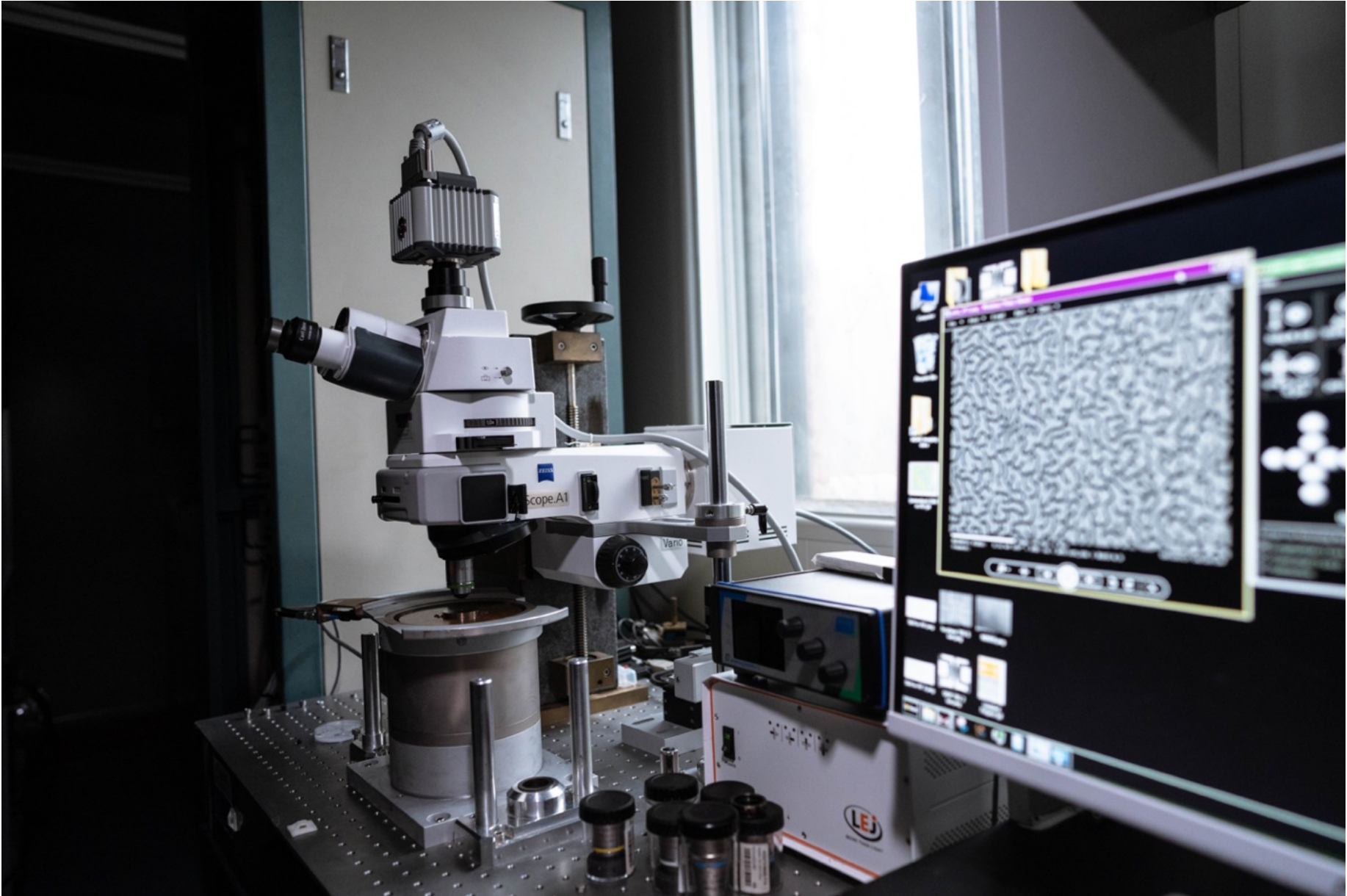
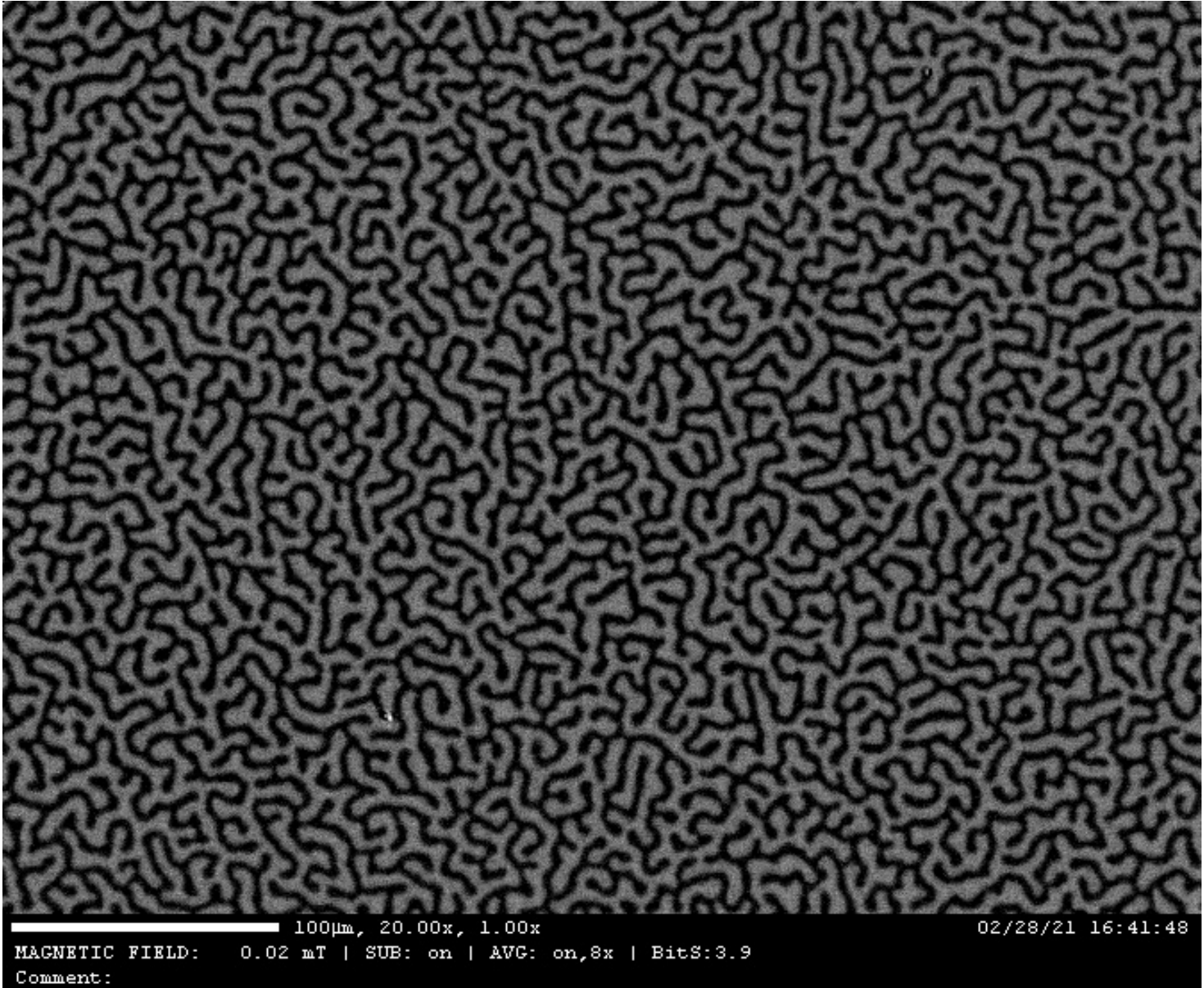
In preparation



...

3000 images

Resolution: 0.5 µm



MOKE microscope@THU

PhysRevLett.125.027206

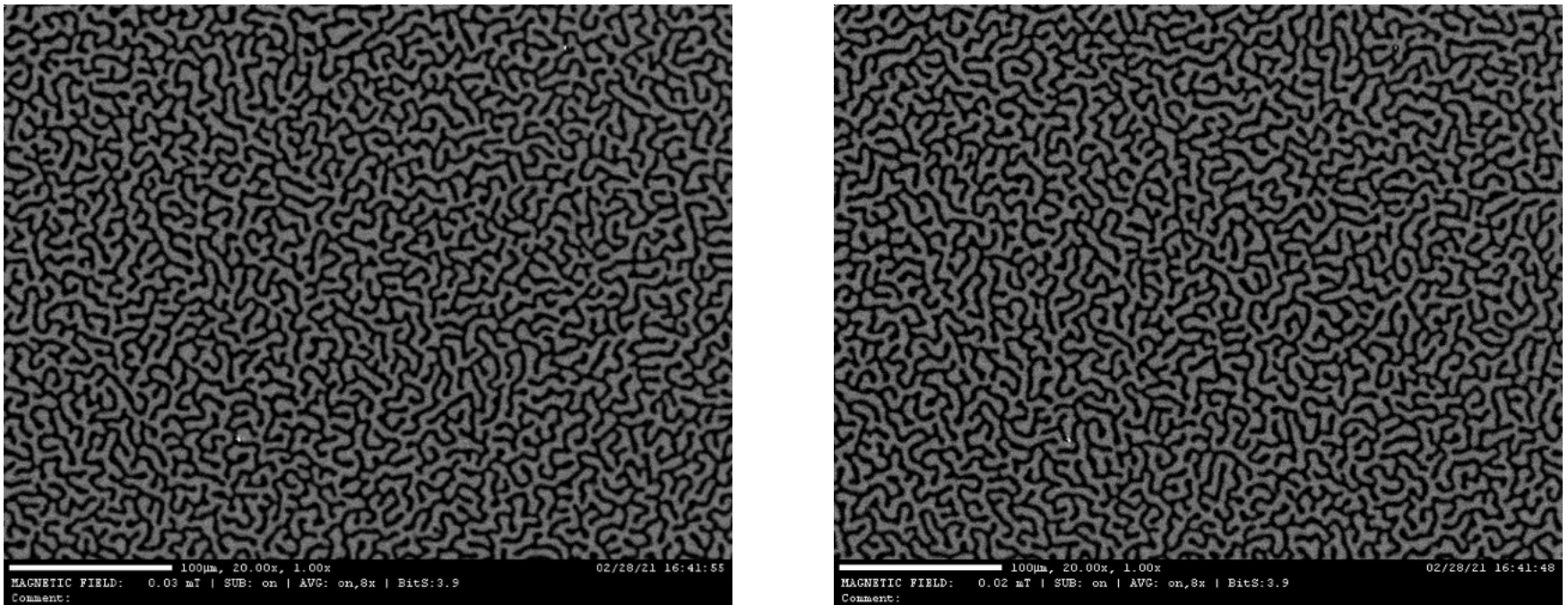
with **Le Zhao** and **Wan-Jun Jiang**

Magneto-optic Kerr effect (MOKE) microscope to capture images for the **magnetic domains** appearing inside a **Ta/CoFeB/TaO_x thin film** at **room temperature T = 296 K**

Learn to Detect Phase Transitions

Ferromagnetic Materials

In preparation



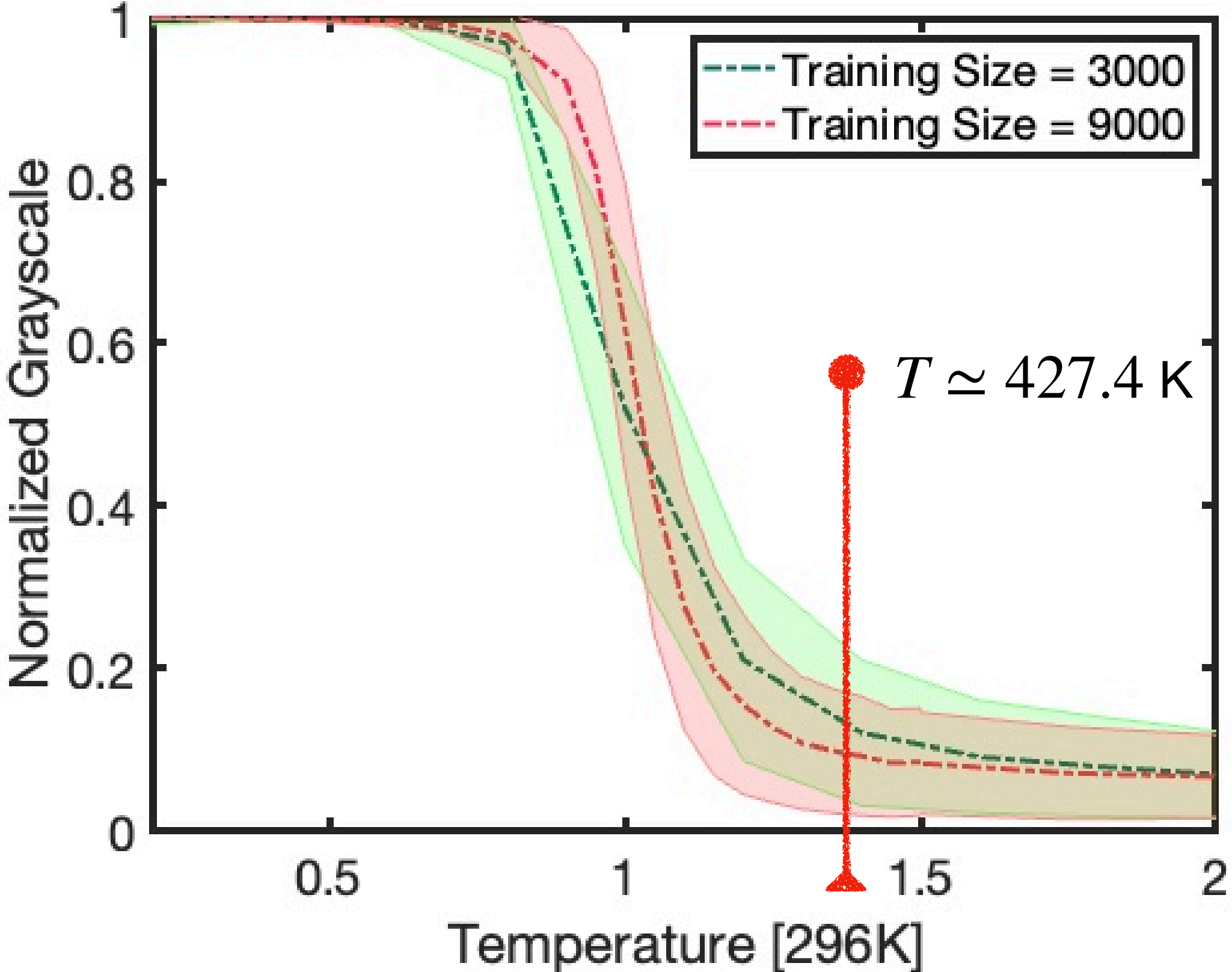
PhysRevLett.125.027206

3000 images

T [K]	50	100	150	200	250	300
M_s [emu/cc]	961.16	925.25	877.73	818.17	753.31	673.427

$$M_s(T) = M_s(0)(1 - T/T_C)^{1/3}$$

$$T_C = 427.4 \pm 2.9 \text{ K}$$



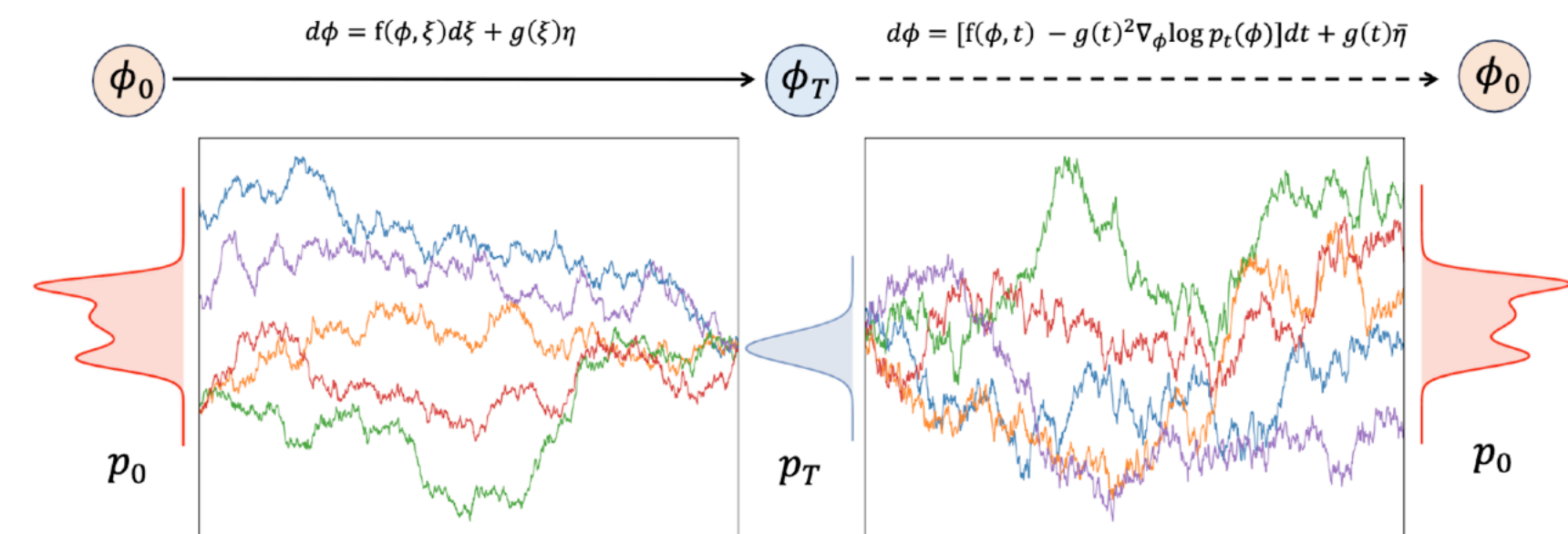
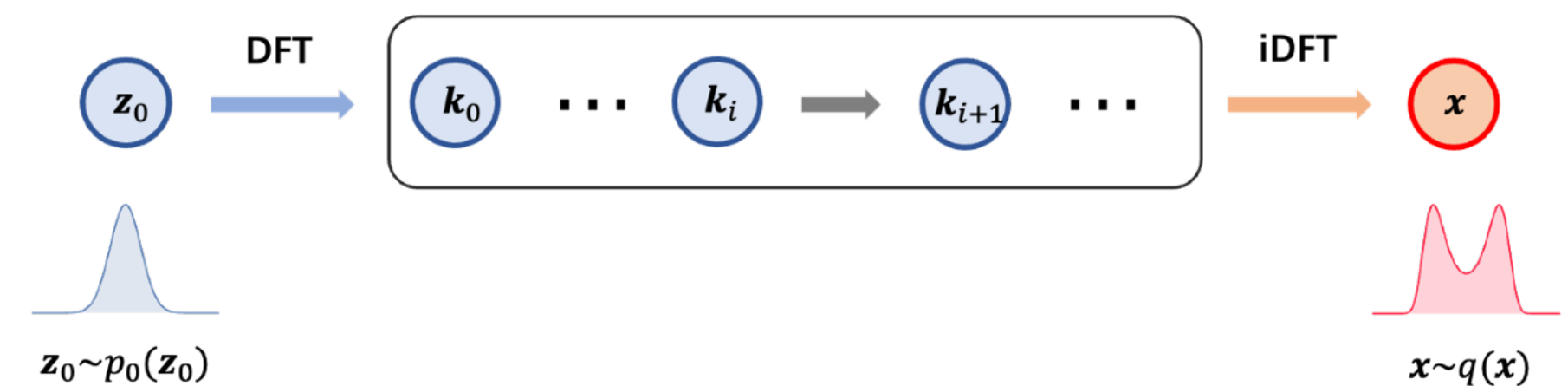
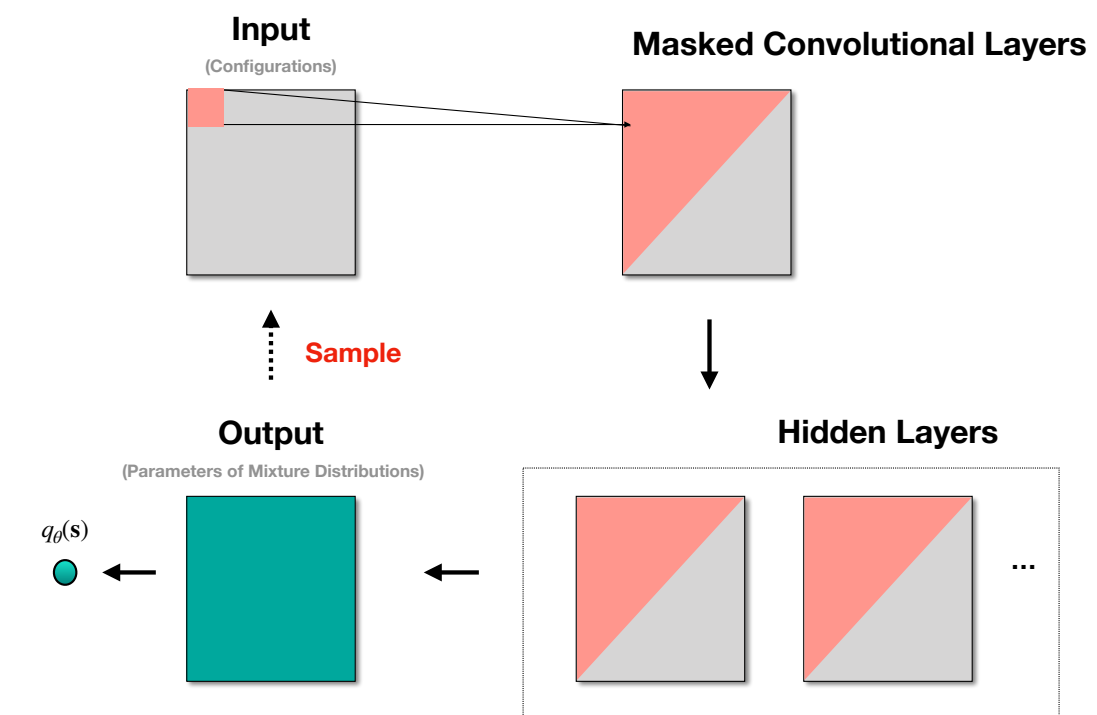
Summary II

- **Generative Models**

- Learn to Sample
- Probabilistic Models
- **Learn to Detect Phase Transition**

- **Future works**

- 2D U(1) Gauge Field
- Complex Langevin Method(CLM)
- Finite Chemical Potential



Thank You !

ML meets Physics, Opportunities and Challenges



Backups I

1. Building Neutron Star EoS

Phys. Rev. D 107, 083028

Uncertainty estimations

- x : reconstructed EoSs given a sample
- $O(x)$: observables, M, R, P

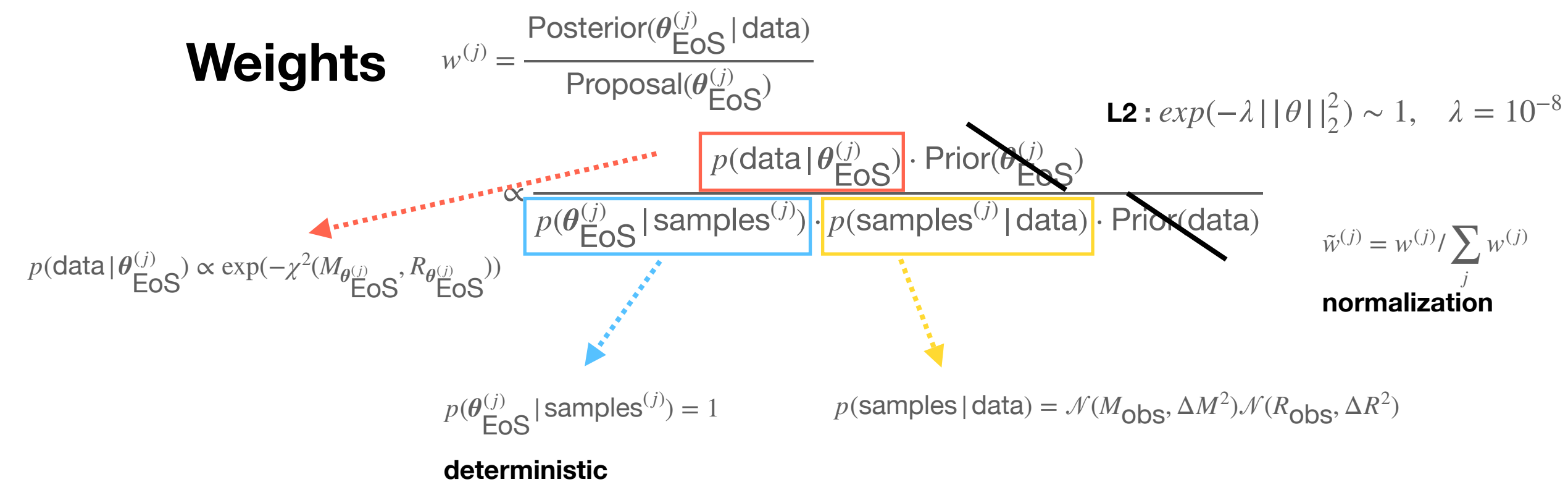
Variance $\sigma(O)^2 = \langle \hat{O}^2 \rangle - \bar{O}^2$

Mean $\bar{O} = \langle \hat{O} \rangle = \sum_j^N w^{(j)} O^{(j)}$

Recall: Importance Sampling

- x : random variables
- $f(x)$: observables
- n : number of samples
- $p(x)$: original(true) distribution
- $q(x)$: reference distribution

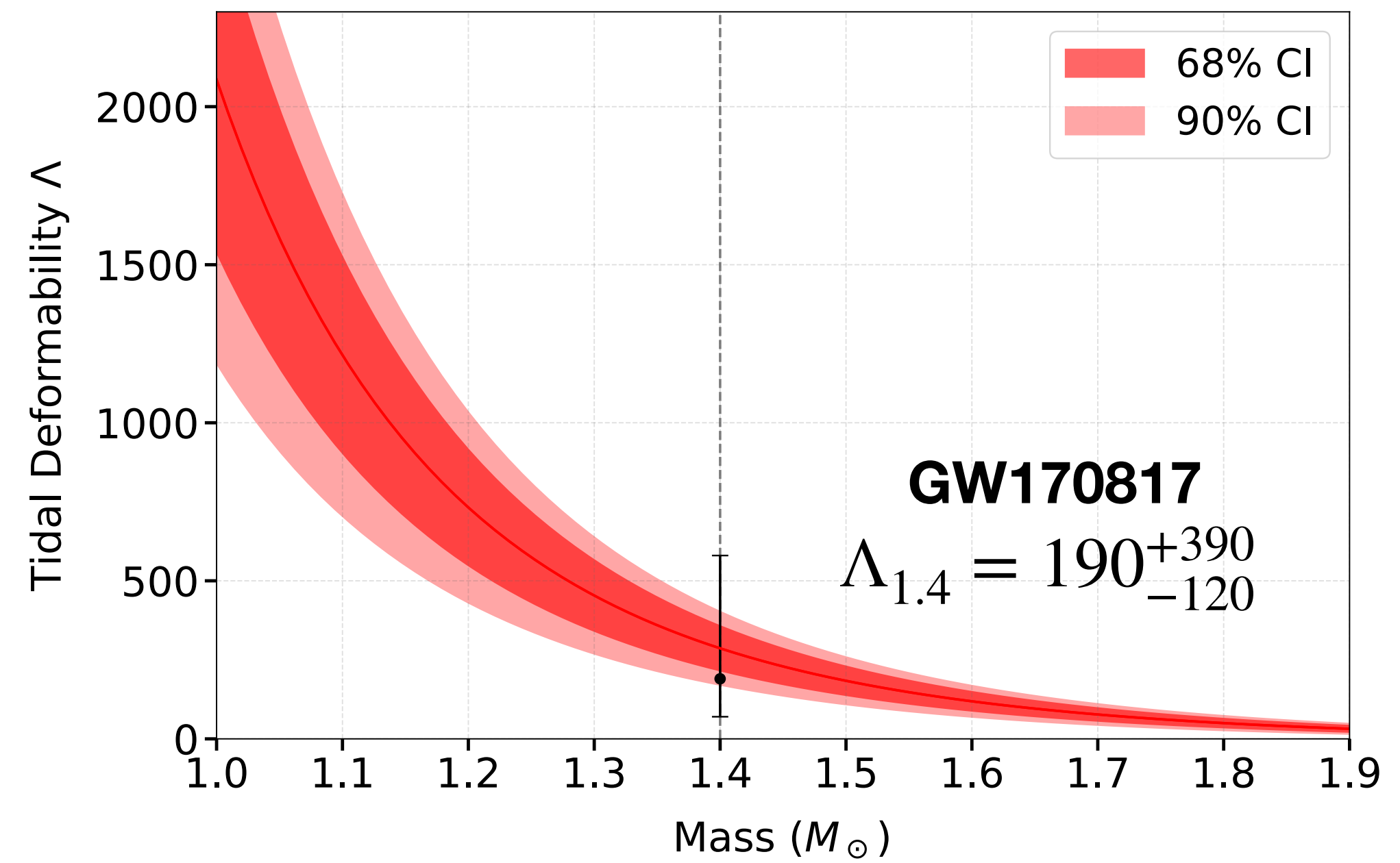
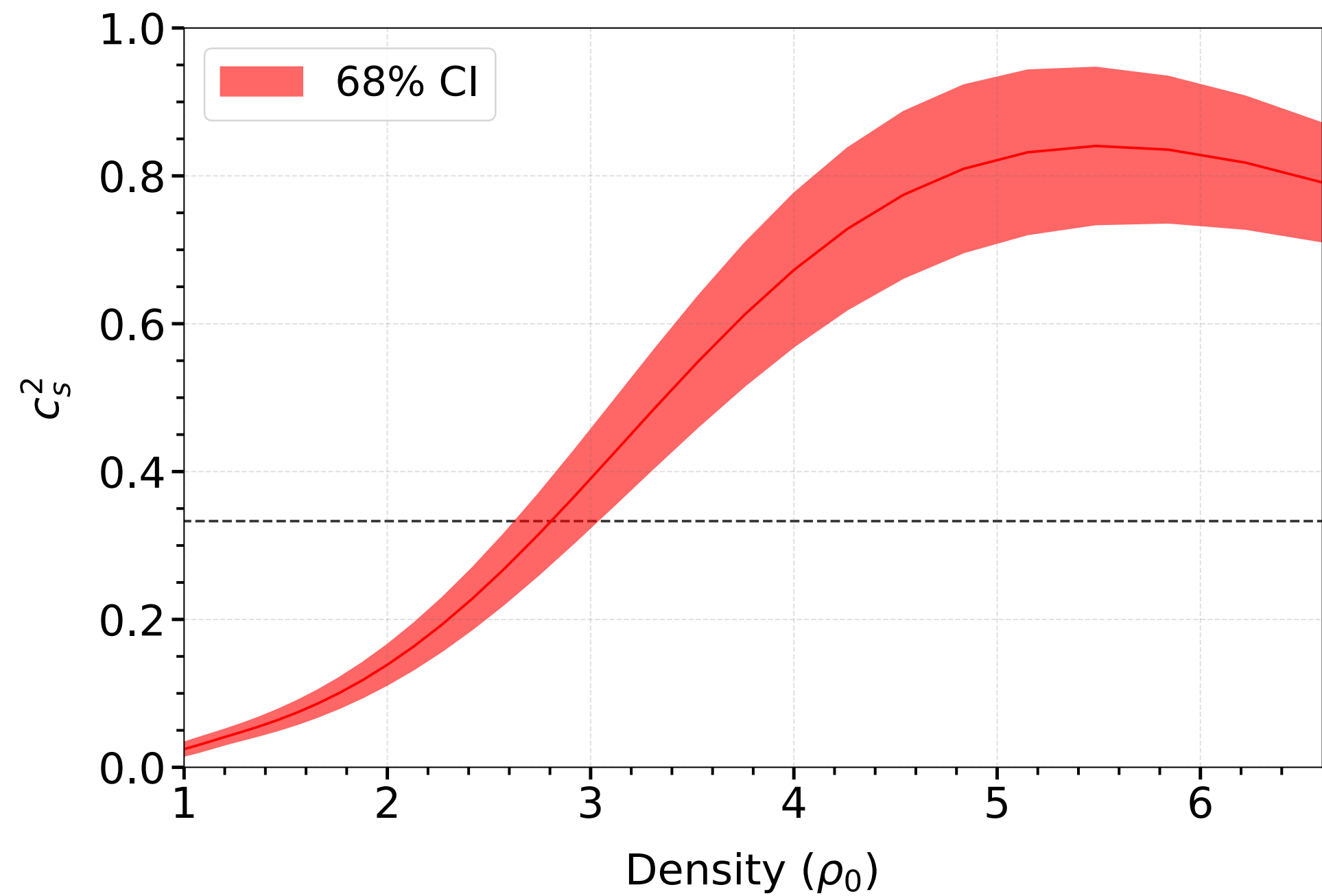
$$E[f(x)] = \int f(x)p(x)dx = \int f(x)\frac{p(x)}{q(x)}q(x)dx$$



Backups I

1. Building Neutron Star EoS

Phys. Rev. D 107, 083028



Our results, $\tilde{\Lambda}_{1.4} = 286.47^{+115.9}_{-115.9}$

Backups I

2. Reconstructing Spectral Function

Comput. Phys. Commun. 282, 108547

- In practice, the Euclidean correlations have **finite number of points** and **with finite precision**;
- The ill-posedness of the spectral reconstruction **fundamentally exists even for continuous correlation functions (infinite observations)**;
- It's caused by the **numerical inaccuracy** of the correlation measurements (induced high degeneracy in solution space).

$$K_{ij}, i \in N_x, j \in N_\omega, N_x < N_\omega$$

$$\vec{D} \equiv K \vec{\rho} \quad \text{highly rectangular}$$

vectorization

$$D(x) \equiv \int_0^\infty K(x, \omega) \rho(\omega) d\omega$$

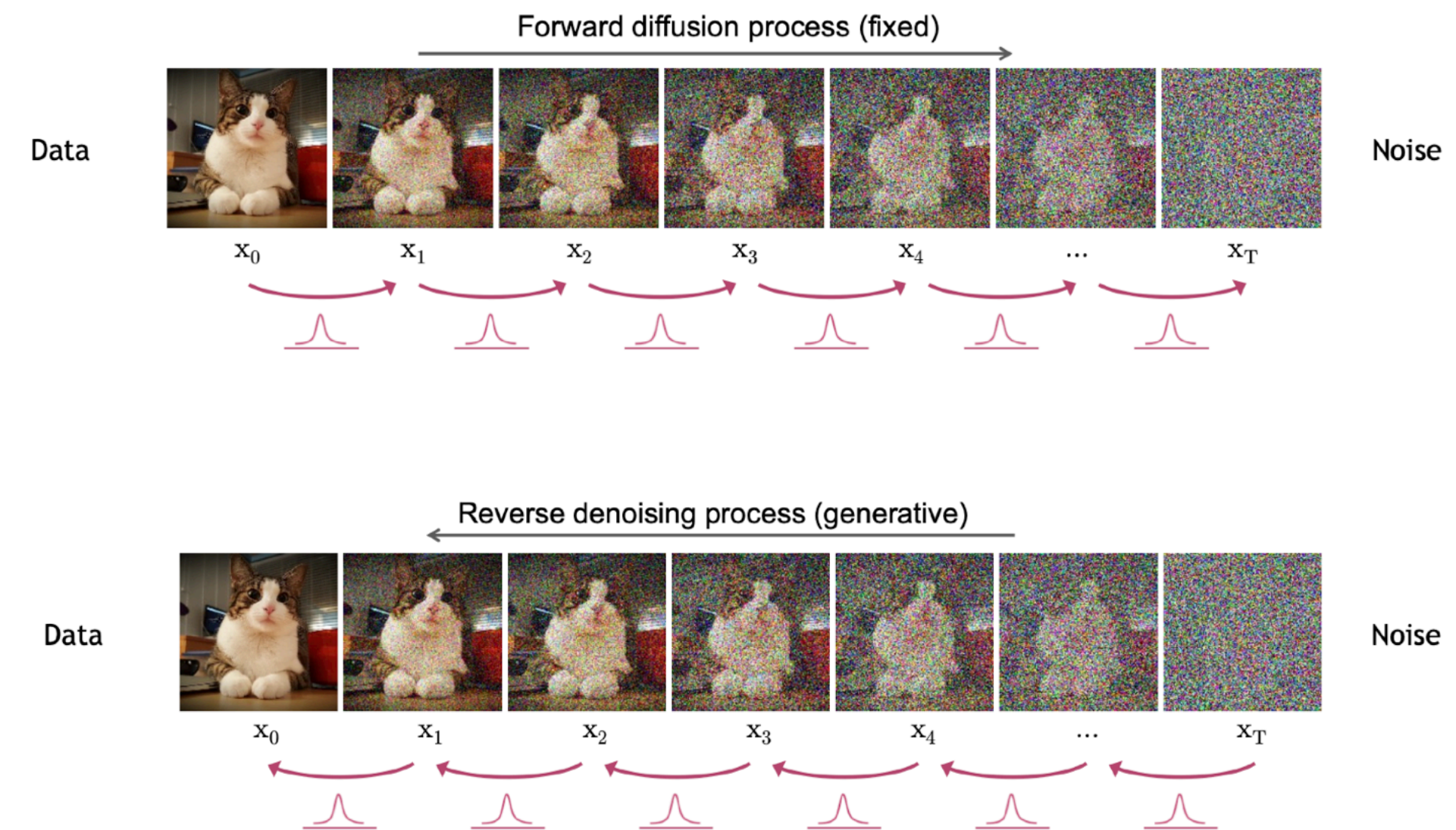
eigenvalue problem

$$\int_0^\infty \psi_s(\omega) K(x, \omega) d\omega = \lambda_s \psi_s(x)$$

J. Phys. A: Math. Gen., Vol. 11, No. 9, 1978. Printed in Great Britain.

Backups II: Diffusion Models

- **Forward** diffusion process **gradually adds noise** to input
- **Reverse** denoising process learns to **generate data by denoising**
- Train **Probabilistic Models** to learn how to **convert a simple distribution to a target distribution**



Ho et al., Denoising Diffusion Probabilistic Models, NeurIPS 2020

Backups II: Diffusion Models

DMs as SQ

JHEP 05(2024)060

- Diffusion models(Reverse SDE):

$$\frac{d\phi}{dt} = -g(t)^2 \nabla_{\phi} \log p_t(\phi) + g(t)\bar{\eta}$$

- Define: $\tau \equiv T - t (d\tau \equiv -dt)$

$$\frac{d\phi}{d\tau} = g_{\tau}^2 \nabla_{\phi} \log q_{\tau}(\phi) + g_{\tau}\bar{\eta}$$

$$\phi(\tau_{n+1}) = \phi(\tau_n) + g_{\tau}^2 \nabla_{\phi} \log q_{\tau_n}[\phi(\tau_n)]\Delta\tau + g_{\tau}\sqrt{\Delta\tau}\bar{\eta}(\tau_n)$$

introducing **Noise scale**: $\langle \bar{\eta}^2 \rangle \equiv 2\bar{\alpha}$, **time scale**: $g_{\tau}^2 \Delta\tau$

- FP equation

$$\frac{\partial p_{\tau}(\phi)}{\partial \tau} = \int d^n x \left\{ g_{\tau}^2 \bar{\alpha} \frac{\delta}{\delta \phi} \left(\frac{\delta}{\delta \phi} + \frac{1}{\bar{\alpha}} \nabla_{\phi} S_{\mathbf{DM}} \right) \right\} p_{\tau}(\phi)$$

$$\nabla_{\phi} S_{\mathbf{DM}} \equiv -\nabla_{\phi} \log q_{\tau}(\phi)$$

$$p_{eq}(\phi) \propto e^{-\frac{S_{DM}}{\bar{\alpha}}}$$

$$p_{\tau=T}(\phi) \rightarrow P[\phi, T]$$

$$O(\bar{\alpha}) \sim O(\hbar)$$

The reverse mode of
a well-trained diffusion model at $\tau \rightarrow T$ serves as
the stochastic quantization for the input

Backups II: Diffusion Models

DM for Scalar Field

JHEP 05(2024)060

- Euclidean action on lattice**

$$S_E = \sum_x a^d \left[\sum_{\mu=1}^d \frac{(\phi_0(x + a\hat{\mu}) - \phi_0(x))^2}{a^2} + \frac{m_0^2}{2} \phi_0^2 + \frac{\lambda_0}{4!} \phi_0^4 \right]$$

- Dimensionless form**

$$S_E = \sum_x \left[-2\kappa \sum_{\mu=1}^d \phi(x)\phi(x + \hat{\mu}) + (1 - 2\lambda)\phi(x)^2 + \lambda\phi(x)^4 \right]$$

$$a^{\frac{d-2}{2}} \phi_0 = (2\kappa)^{1/2} \phi$$

$$(am_0)^2 = \frac{1 - 2\lambda}{\kappa} - 2d, \quad a^{-d+4} \lambda_0 = \frac{6\lambda}{\kappa^2}$$

- Hopping parameter κ , Coupling constant λ**

- Diffusion models**

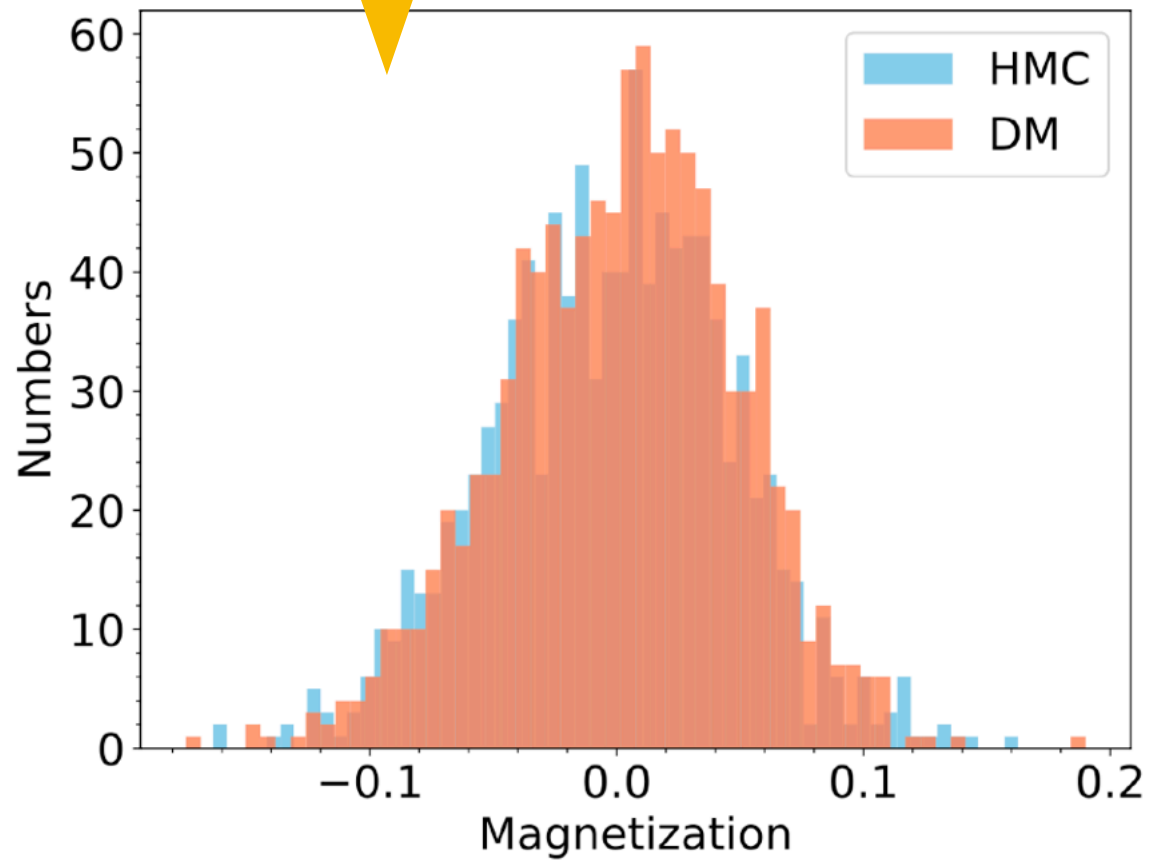
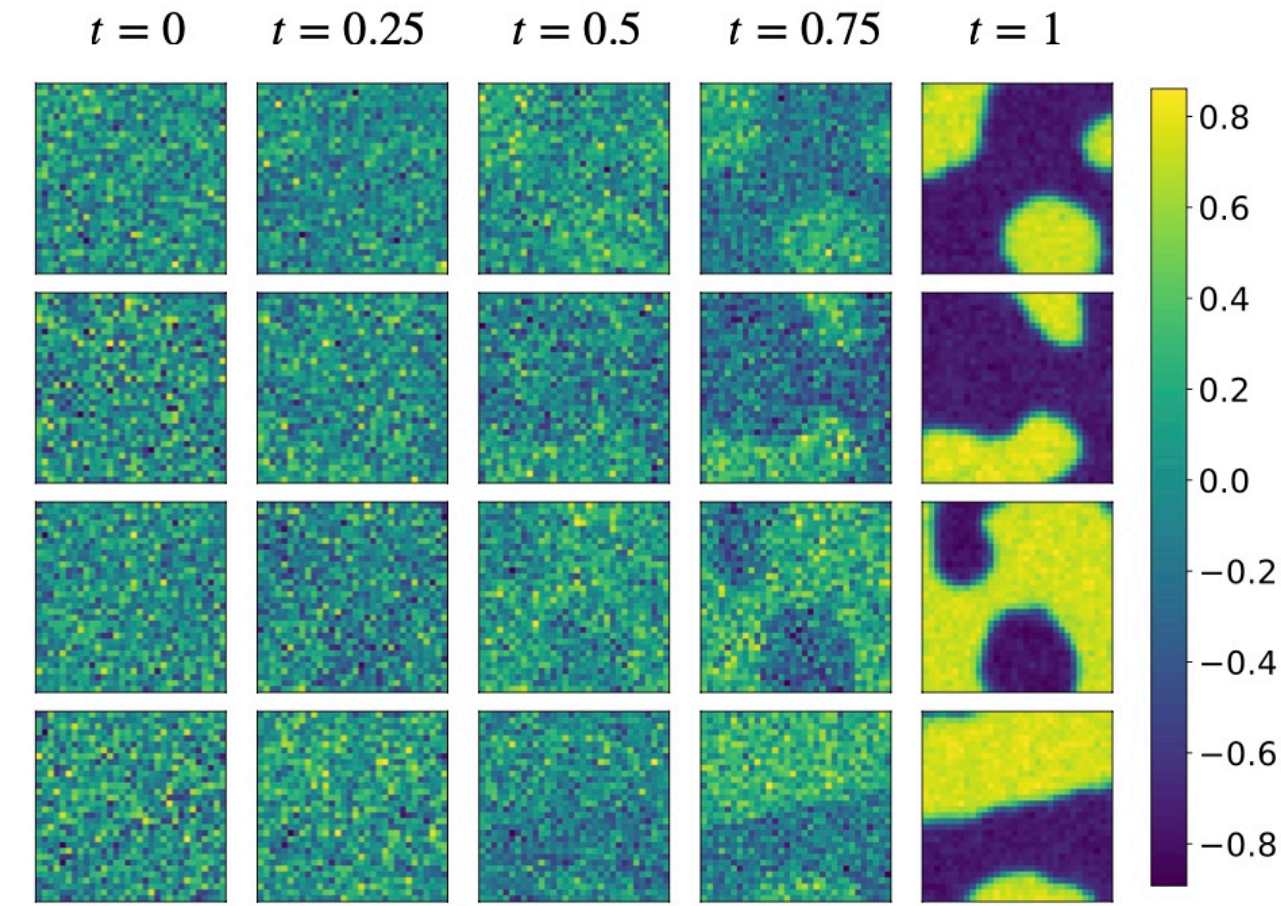
- $T = 1.0, \sigma = 25$

- Data generation**

- 2-d 32x32 lattice; Hamiltonian Monte Carlo(HMC); 5120 configurations for training.

- Broken phase: $\kappa = 1.0, \lambda = 0.022$

- Symmetric phase: $\kappa = 0.21, \lambda = 0.022$



data-set	$\langle M \rangle$	χ_2	U_L
Training(HMC)	0.0012 ± 0.0007	2.5160 ± 0.0457	0.1042 ± 0.0367
Testing(HMC)	0.0018 ± 0.0015	2.4463 ± 0.1099	-0.0198 ± 0.1035
Generated(DM)	0.0017 ± 0.0015	2.4227 ± 0.1035	0.0484 ± 0.0959

Backups II: Autoregressive Networks

arXiv:2007.01037

$$\max_{\theta} \prod_{i=1}^N p_{\theta}(s_i)$$

1. Prepare data-set from **observations**

$$\mathbf{s} \sim q_{\text{data}}$$

2. Put them into the **deep autoregressive network(DAN)**

$$p_{\theta}(\mathbf{s}) = \prod_{i=1}^N p(s_i | s_1, \dots, s_{i-1}, \theta)$$

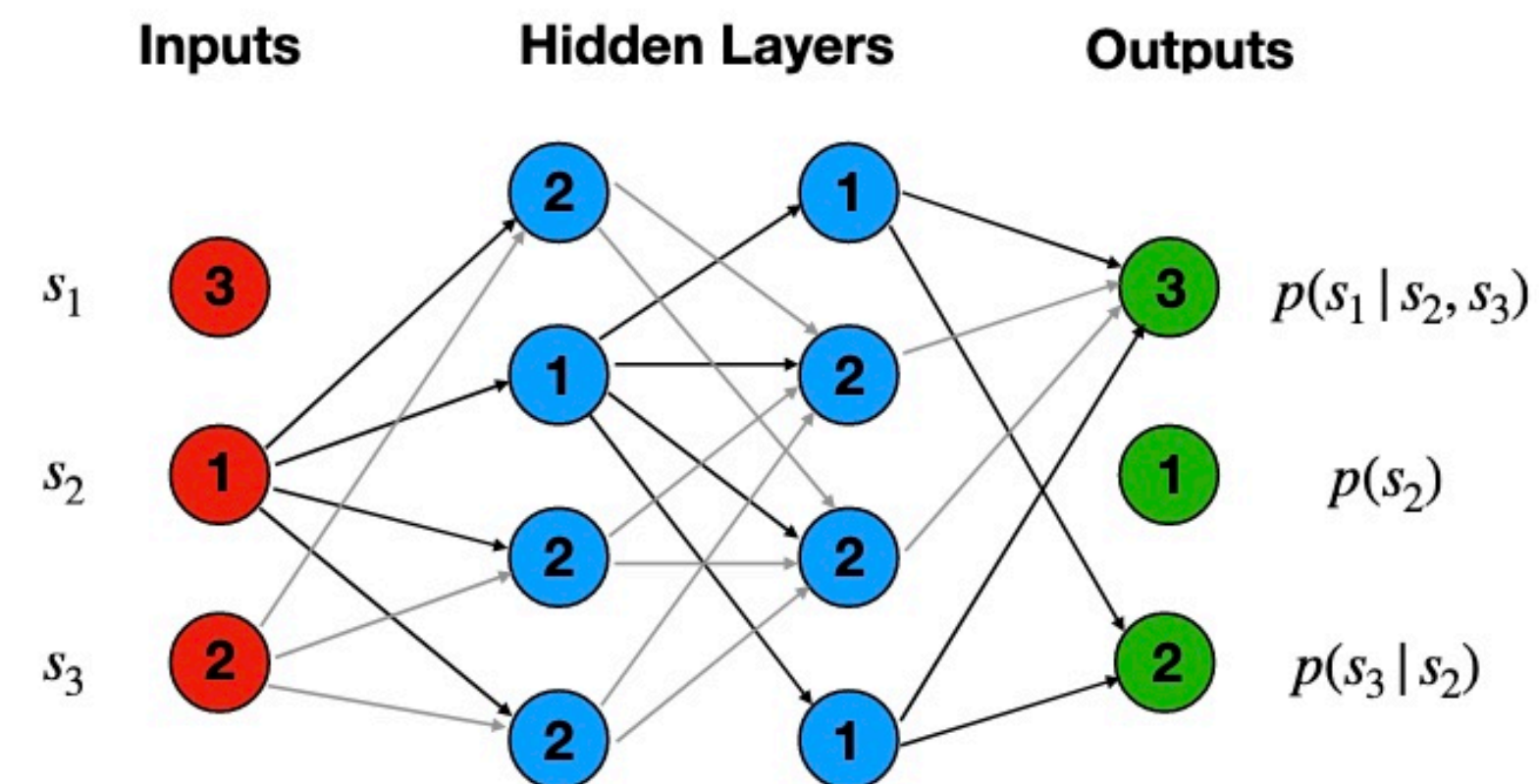
3. Minimize the negative log-likelihood(NLL)

$$\mathcal{L} = - \sum_{\mathbf{s} \sim q_{\text{data}}} \sum_{d=1}^N \log(p(s_d | \mathbf{s}_{<d}, \theta))$$

4. Get your DAN represented Hamiltonian

$$H_{\theta}(\mathbf{s}, T) = -T \ln p_{\theta}(\mathbf{s})$$

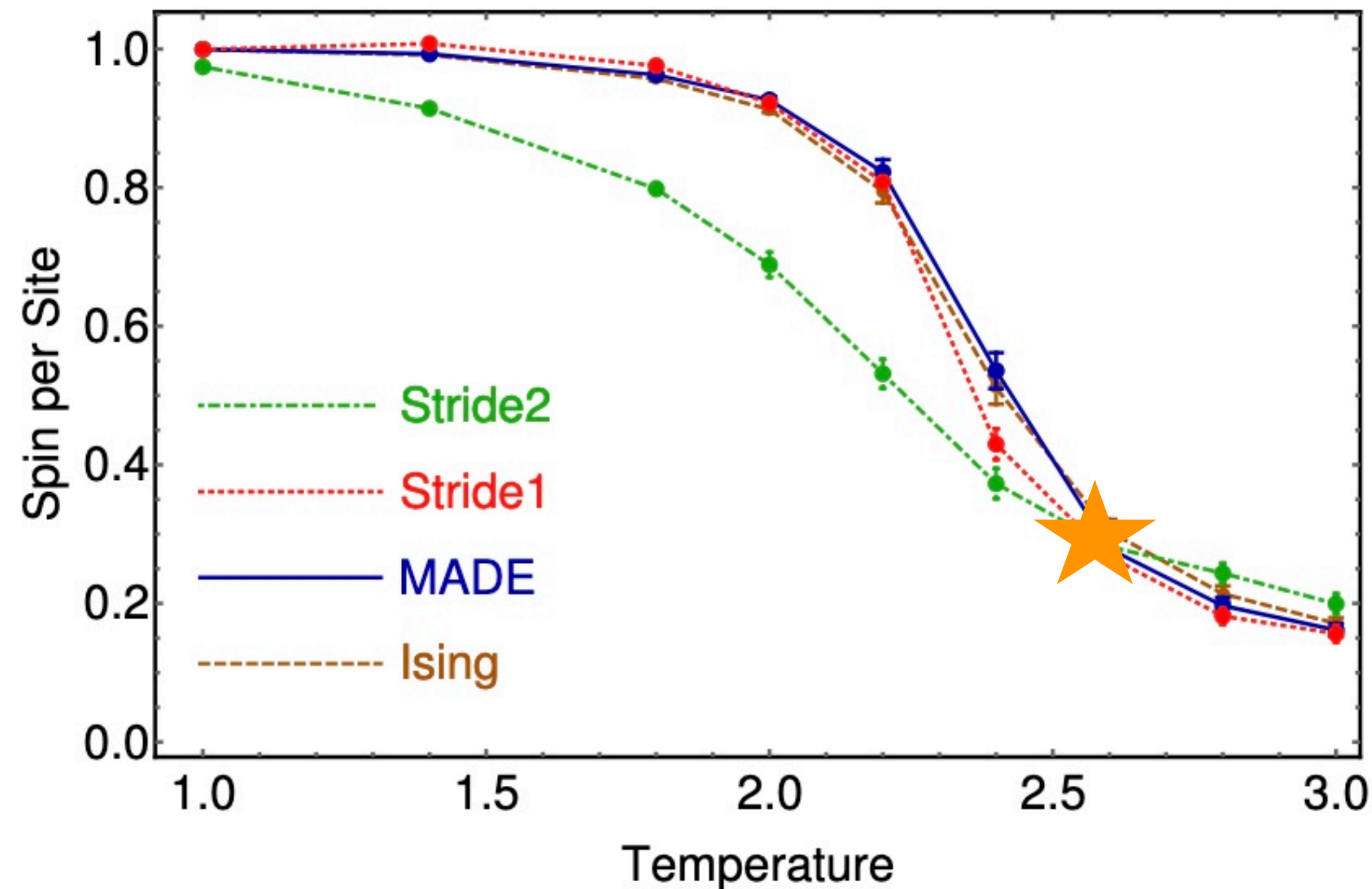
on specific degrees of freedom(*d.o.f.s*)



Backups II: Ferromagnetic Phase Transition

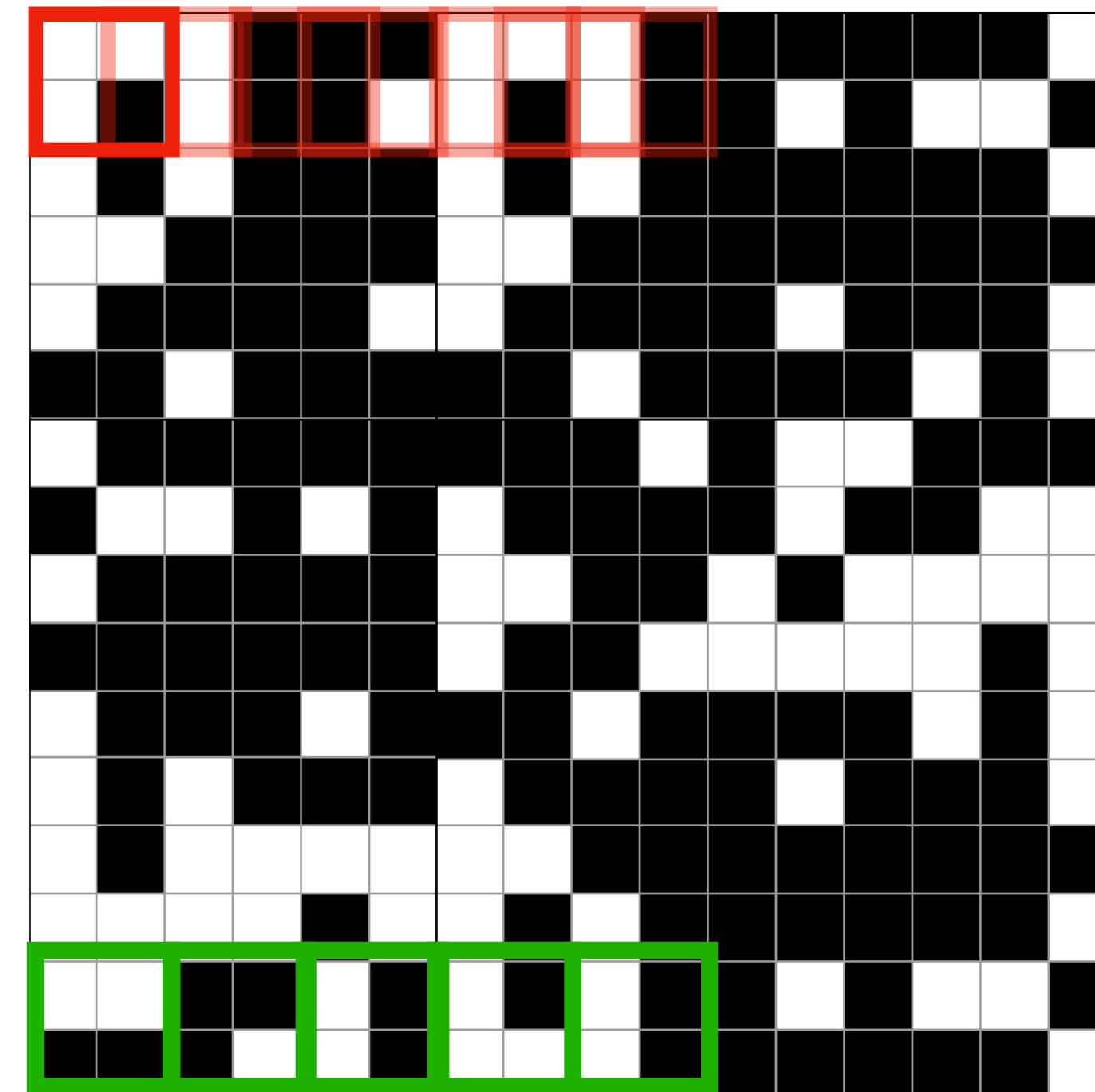
1. 2D Ising Model

arXiv:2007.01037



Masked Autoencoder for Distribution Estimation (**MADE**)

Stride = 1



Stride = 2



2 × 2 spin-block

Backups II: Finite-Temperature Fields

$$Z = \int D\Phi \exp(-S[\Phi])$$

$$S[\Phi] = \sum \Delta\tau(\Delta x)^3 \left[\left(\frac{\Delta\Phi}{\Delta\tau} \right)^2 + (\nabla\Phi)^2 + V(\Phi) \right]$$

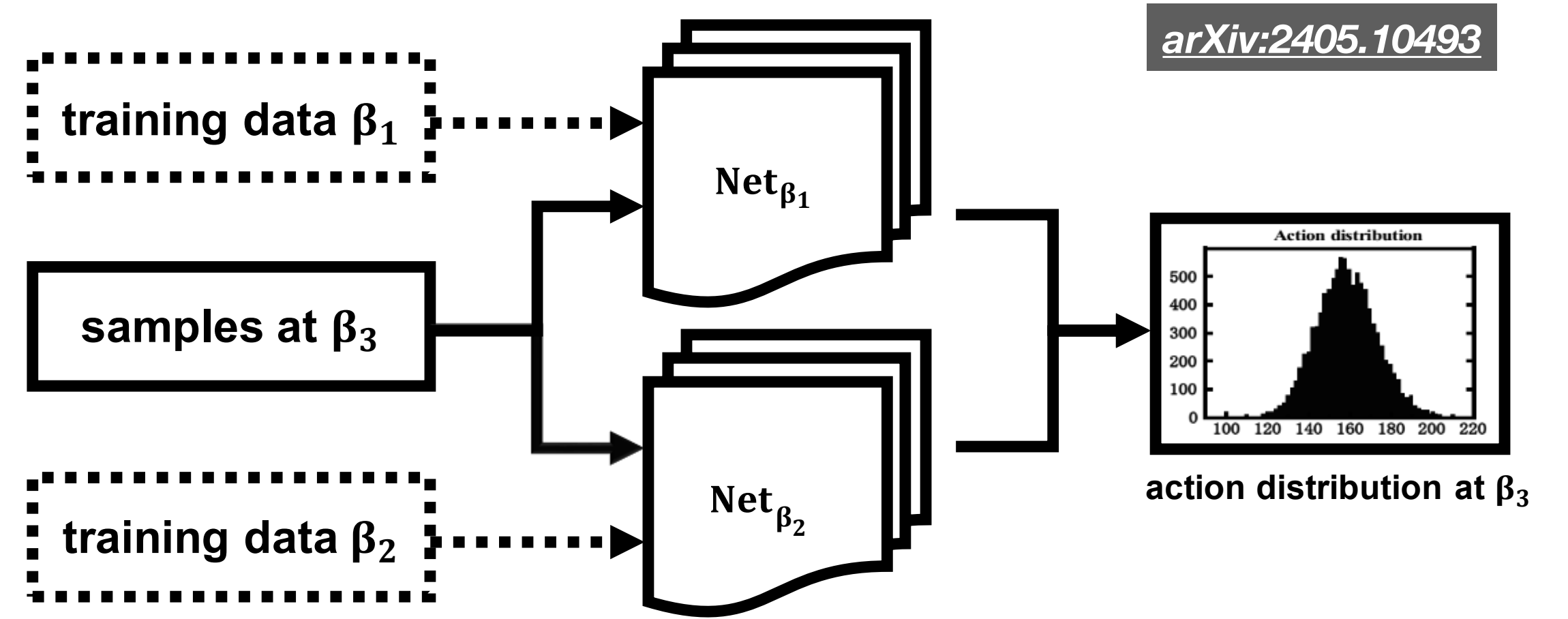
$$= \sum (\Delta x)^3 \left[\frac{(\Delta\Phi)^2}{\Delta\tau} + \Delta\tau((\nabla\Phi)^2 + V(\Phi)) \right]$$

$$= \beta^{-1}K + \beta V$$

$$\Delta\tau = \beta/N_\tau$$

$$K \equiv N_\tau \sum (\Delta x)^3 (\Delta\Phi)^2$$

$$V \equiv N_\tau^{-1} \sum (\Delta x)^3 [(\nabla\Phi)^2 + V(\Phi)]$$



$$S_1[\Phi] = \beta_1^{-1}K[\Phi] + \beta_1 V[\Phi] + C_1$$

$$S_2[\Phi] = \beta_2^{-1}K[\Phi] + \beta_2 V[\Phi] + C_2,$$

$$S_3[\Phi] = \frac{\beta_1(\beta_3^2 - \beta_2^2)}{\beta_3(\beta_1^2 - \beta_2^2)} S_1 + \frac{\beta_2(\beta_1^2 - \beta_3^2)}{\beta_3(\beta_1^2 - \beta_2^2)} S_2 + C_3$$

$$C_3 = \frac{\beta_1(\beta_2^2 - \beta_3^2)}{\beta_3(\beta_1^2 - \beta_2^2)} C_1 + \frac{\beta_2(\beta_3^2 - \beta_1^2)}{\beta_3(\beta_1^2 - \beta_2^2)} C_2$$

Backups II: Finite-Temperature Fields

2. 0+1 D Quantum Field

arXiv:2405.10493

